Finite and Algorithmic Model Theory

Lecture 1 (Dresden 12.10.22, Short version)

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Today's agenda

- **1.** Basics information regarding the course.
- **2.** An informal definition of a logic with examples.
- **3.** Potential applications and further research options.

Query languages?



Formal verification?









- **4.** Recap from BSc studies: Syntax & Semantics of First-Order Logic (FO).
- **5.** Basic notations, provability, and Gödel's theorem " \models equals \vdash ".
- 6. Gödel's Compactness theorem with a proof and an application.



Feel free to ask questions and interrupt me!

Don't be shy! If needed send me an email (bartosz.bednarczyk@cs.uni.wroc.pl) or approach me after the lecture!

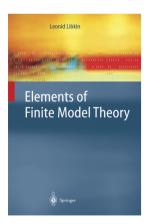
Reminder: this is an advanced lecture. Target: people that had fun learning logic during BSc studies!

Course Information

https://iccl.inf.tu-dresden.de/web/Finite_and_algorithmic_model_theory_(22/23)_(WS2022)/en

Contact me via email: bartosz.bednarczyk@cs.uni.wroc.pl

- 1. Lectures: Wednesday 14:50-16:20 (APB/E007), Tutorials: Thursday 13:00-14:30 (APB/2026) (important)
- **2.** Course website: (at [ICCL]) \leftarrow check for slides, notes, and exercise lists.
- 3. Each week a new exercise list will be published. Do not worry if you can't solve all of them.
- **4.** Oral exam: question about the basic understanding + selected theorems. Intended to be easy!
- **5.** Goal: understand power/limitations of 1st-order logic and selected fragments (with a bit of complexity).



Books and literature.

+ Lecture notes by Martin Otto [HERE] and lecture notes by Erich Grädel [HERE]







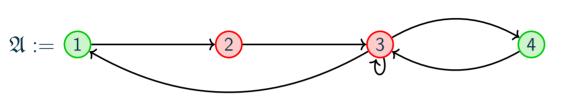


Last but Not Least: I offer MSc/PHD research projects for motivated students!

What is a "logic"? A running example.

Naively: a "formal language" for expressing properties of relational structures (\approx hypergraphs).

Made formal via abstract model theory, c.f. article at ncatlab.org and Lindström's theorems.



over a signature
$$\tau:=\{\mathrm{G}^{(1)},\mathrm{R}^{(1)},\mathrm{E}^{(2)}\}$$

$$\mathrm{G}^{\mathfrak{A}}:=\{1,4\},\qquad \mathrm{R}^{\mathfrak{A}}:=\{2,3\}$$

$$\mathrm{E}^{\mathfrak{A}}:=\{(1,2),(2,3),(3,1),(3,3)(3,4),(4,3)\}$$

A signature contains (at most countably* many) constant and relation symbols (each with a fixed arity).

Structure = Domain + interpretation of symbols, e.g. $\mathfrak{A} := (A, \cdot^{\mathfrak{A}})$ depicted above,

where $A = \{1, 2, 3, 4\}$ and $\cdot^{\mathfrak{A}}(G), \cdot^{\mathfrak{A}}(R), \cdot^{\mathfrak{A}}(E)$ are as above.

Example (of a First-Order Logic (FO) Formula) lours, binary (received in a coloured graph:) Any node is either green or red. $\varphi := \forall x \; (G(x) \lor R(x)) \land (G(x) \leftrightarrow \neg R(x))$

binary (resp. higher-arity) relations
$$\approx$$
 (hyper)edges We write $\mathfrak{A} \models \varphi$ to indicate that . \mathfrak{A} satisfies φ or \mathfrak{A} is a model of φ .

Formulae often employ: Variables: x, y, z, X, Y, \dots Boolean connectives: $\wedge, \vee, \neg, \leftrightarrow, \vee_{i=0}^{\infty}, \dots$

Quantifiers: \forall , \exists , \exists ^{even}, \exists ⁼⁴², \exists ^{35%}, \exists Set, \diamondsuit , Predicates (relational symbols): P, \in , =, \sim , and more?

More examples I.

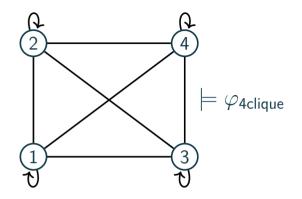
Exercise (An FO[$\{E^{(2)}\}\]$ formula/query testing if a graph is a 4-element clique [here $E = edge\ relation$].)

1. There are precisely 4 elements . . .

$$\exists x_{1} \exists x_{2} \exists x_{3} \exists x_{4} \ (x_{1} \neq x_{2} \land x_{1} \neq x_{3} \land x_{1} \neq x_{4} \land x_{2} \neq x_{3} \land x_{2} \neq x_{4} \land x_{3} \neq x_{4} \land x_{4} \land x_{5} \Rightarrow x_{5} \Rightarrow x_{5} \land x_{5} \Rightarrow x_{5} \Rightarrow x_{5} \Rightarrow x_{5} \land x_{5} \Rightarrow x$$

2. and any two of them are linked by E.

 $\wedge \forall x \forall y \ \mathrm{E}(x,y).$



Exercise (Write a formula over $\{E^{(2)}\}$ checking if a graph is two-colorable.)

$$\mathfrak{G} := 1$$
 $= \varphi_{2COL}$

$$\varphi_{2COL} = \exists G \exists \mathbb{R} \ (x \in G \lor x \in \mathbb{R}) \land (x \in G \leftrightarrow x \notin \mathbb{R}) \land \varphi_{ok}$$

$$\varphi_{ok} = \forall x (x \in G \to (\forall y \ E(x, y) \to y \in \mathbb{R})) \land \forall x (x \in \mathbb{R} \to (\forall y \ E(x, y) \to y \in G))$$

Quantification over sessi:= 1

 $\models \varphi_{ok}$

There exists a colouring with G and R and it is correct

More examples II.

Exercise (Write an FO[{ $E^{(2)}, a, b$ }] formula $\varphi_k^{\text{reach}(a,b)}$ testing if there is a path from a to b of length k.)

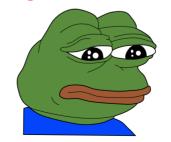
- **1.** Case k=0 is trivial: Take $\varphi_0^{\mathsf{reach}(\mathtt{a},\mathtt{b})} := \mathtt{a} = \mathtt{b}$
- **2.** Case k=1 is easy too: Take $\varphi_1^{\mathsf{reach}(\mathtt{a},\mathtt{b})} := \mathrm{E}(\mathtt{a},\mathtt{b})$
- **3.** Case k=2 is a tiny bit harder: Take $\varphi_2^{\mathsf{reach}(\mathtt{a},\mathtt{b})} := \exists x_1 \mathrm{E}(\mathtt{a},x_1) \wedge \mathrm{E}(x_1,\mathtt{b})$
- **4.** Case k=3 is a similar: Take $\varphi_3^{\mathsf{reach}(\mathtt{a},\mathtt{b})} := \exists x_1 \exists x_2 \mathrm{E}(\mathtt{a},x_1) \wedge \mathrm{E}(x_1,x_2) \wedge \mathrm{E}(x_2,\mathtt{b})$
- **5.** So for any $k \geq 2$ just take: Take $\varphi_k^{\mathsf{reach}(\mathtt{a},\mathtt{b})} := \exists x_1 \ldots \exists x_{k-1} \ \mathrm{E}(\mathtt{a},x_1) \wedge \wedge_{i=1}^{k-2} \ \mathrm{E}(x_i,x_{i+1}) \wedge \mathrm{E}(x_{k-1},\mathtt{b})$

Question (Can we do better in terms the total number of quantifiers?)

Current state of the art: $\log_2(k) - \mathcal{O}(1) \leq ??? \leq 3\log_3(k) + \mathcal{O}(1)$ by Fagin at al. [MFCS 2022]

Exercise (Write a formula φ^{conn} over $\{E^{(2)}\}$ testing if a structure is E-connected.)

$$\varphi^{\mathsf{reach}(\mathtt{a},\mathtt{b})} := \forall x \forall y \ \lor_{i=0}^{\infty} \varphi_k^{\mathsf{reach}(\mathtt{a},\mathtt{b})} [\mathtt{a}/x,\mathtt{b}/y].$$



Is there a chance to get an FO formula?

No. And we will show it today!

Motivations I: why do we care about logic?

Query: Give me IDs of all candidates who applied for "computer science".

SELECT CandID FROM Candidate WHERE Major = "Computer Science"

$$\rightsquigarrow \varphi(i)$$

 $\varphi(i) = \exists n \exists s \; \text{CANDIDATE}(i, n, s) \land \text{APPL}(\text{"Computer Science"}, i)$

Theorem (Codd 1971)

Basic SQL ≈ First-Order Logic



Other useful logic: Datalog \approx SQL + recursion

- 1. VLog: a rule engine for querying data graphs
- 2. Vadalog: querying data graphs based on Datalog

Nice lecture on VadaLog by Gottlob [here], and a course on knowledge graphs by Krötzsch [here].

Description logics: a family of logics for knowledge representation.











Motivations II: why do we care about logic?

1. Temporal logics as specification languages

2. COQ: verified algorithms!, c.f. [here]

3. Separation logic: verifying Cpp/Java

Nice lecture [here].(I'm there running with a mic!)

Check also Infer tool by Facebook!

```
vim hello.c
// hello.c
#include <stdlib.h>

void test() {
  int *s = NULL;
  *s = 42;
}
```



Motivations III: why do we care about logic?

In "standard" computational complexity we measure resources, e.g. space and time.

Descriptive complexity: how strong the language must be to describe the problem?

A logic \mathcal{L} characterises the complexity class \mathcal{C} if for every property of finite structures \mathcal{P} :

- **1.** \mathcal{P} is expressible in \mathcal{L} if and only if
- **2.** There is an algorithm in \mathcal{C} deciding \mathcal{P} .

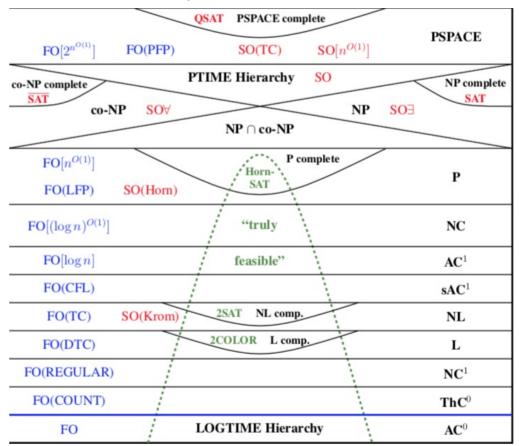
Theorem (Fagin'1973)

Existential Second Order Logic characterises NP.





Is there a logic for PTIME?



Motivations IV: why do we care about logic?

Meta algorithms: say what you want instead of writing a code! Hot topic nowadays!

Is every property of graphs expressible in FO is checkable in linear time for all graphs from class \mathcal{C} ?

Theorem (Courcelle 1990)

 $\mathcal{C} := \mathsf{graphs} \ \mathsf{of} \ \mathsf{bounded}\text{-treewidth}.$

Theorem (Seese 1996)

 $\mathcal{C}:=\mathsf{graphs}$ of bounded-degree.

Theorem (Dvorák et al. 2010)

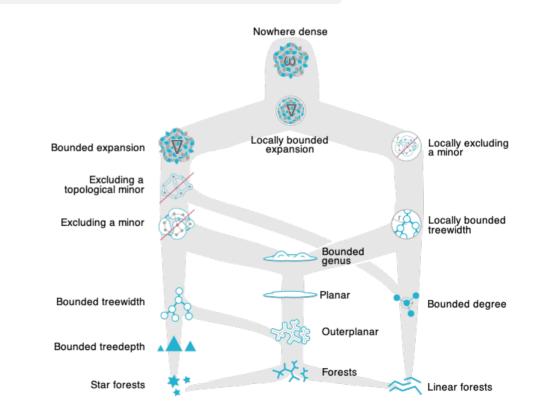
 $\mathcal{C}:=\mathsf{graphs}$ of bounded-expansion.

Theorem (Bonnet et al. 2022)

 $\mathcal{C} := \mathsf{graphs} \ \mathsf{of} \ \mathsf{bounded}\text{-twinwidth}.$

Theorem (Grohe, Kreutzer, Siebertz 2014)

 $\mathcal{O}(|\varphi|^{1+\varepsilon})$ for $\mathcal{C}:=$ nowhere-dense graphs.



Signatures (vocabularies)

Signature σ is a (countable) collection of symbols: $(c_1, c_2, \ldots, R_1, R_2, \ldots)$

Constant symbols, e.g.
$$\emptyset$$
, 7, Bartek •

Relational symbols, e.g. \in , \subseteq , is Even • with an associated arity, e.g. $\operatorname{ar}(\subseteq) = 2$, $\operatorname{ar}(\operatorname{isEven}) = 1$

Structures

Over a signature σ we define σ -structures $\mathfrak{A} = (A, \cdot^{\mathfrak{A}})$ composed of:

- ullet Non-empty set A called the domain of ${\mathfrak A}$ + Interpretation function $\cdot^{{\mathfrak A}}$ such that: ${f E}^{\mathfrak G}$
- **1.** For each constant symbol c, we have $\cdot^{\mathfrak{A}}: c \mapsto (c^{\mathfrak{A}} \in A)$
- **2.** For each relational symbol R, we have $\cdot^{\mathfrak{A}}: R \mapsto (R^{\mathfrak{A}} \subseteq \mathcal{A}^{\operatorname{ar}(R)})$

Morphisms

Let $\mathfrak{A},\mathfrak{B}$ be σ -structures. A homomorphism from \mathfrak{A} to \mathfrak{B} is $\mathfrak{h}:A\to B$ satisfying:

- For all constant symbols $c \in \sigma$ we have $\mathfrak{h}(c^{\mathfrak{A}}) = c^{\mathfrak{B}}$, and
- For all relational symbols $R \in \sigma$, $R^{\mathfrak{A}}(a_1, \ldots, a_{\mathsf{ar}(R)})$ implies $R^{\mathfrak{B}}(\mathfrak{h}(a_1), \ldots, \mathfrak{h}(a_{\mathsf{ar}(R)}))$.

An isomorphism \mathfrak{h} between \mathfrak{A} and \mathfrak{B} is a bijection s.t. $\mathfrak{h}, \mathfrak{h}^{-1}$ are homomorphisms.

In this case we write: $\mathfrak{A} \cong \mathfrak{B}$. Important! $\mathfrak{A} \cong \mathfrak{B}$ implies $\mathfrak{A} \models \varphi \Leftrightarrow \mathfrak{B} \models \varphi$ for all formulae φ .

Syntax of $FO[\sigma]$

- Let $Var := \{x, y, z, u, v, ...\}$ be a countably-infinite set of variables.
- The set of terms is Terms(σ) := $Var \cup \{c \mid c \text{ is a constant from } \sigma\}$.
- The set of atomic formulae Atoms(σ) is the smallest set such that:
- **1.** If t_1, t_2 are terms from Terms(σ) then $t_1 = t_2$ belongs to Atoms(σ).
- **2.** If $t_1, \ldots, t_{\mathsf{ar}(R)} \in \mathsf{Terms}(\sigma)$, and $R \in \sigma$ is relational implies $R(t_1, \ldots, t_{\mathsf{ar}(R)}) \in \mathsf{Atoms}(\sigma)$.
- The set $FO[\sigma]$ of First-Order formulae over σ is the closure of Atoms(σ) under

$$\land, \lor, \rightarrow, \leftrightarrow, \neg, \exists x, \forall x \text{ (for all variables } x \in \text{Var)}.$$

Free variables

$$\exists x \ (E(x, y) \land \forall z \ (E(z, y) \rightarrow x = z))$$

$$\exists x \ (E(x, y) \land \exists y \ \neg E(y, x))$$

Formally, we define the set of free variables of φ , denoted with $FVar(\varphi)$, as follows:

- $FVar(x) = \{x\}, FVar(c) = \emptyset$ for all $x \in Var$ and constant symbols c from σ .
 - $\mathsf{FVar}(t_1 = t_2) = \mathsf{FVar}(t_1) \cup \mathsf{FVar}(t_2)$ for all $t_1, t_2 \in \mathsf{Terms}(\sigma)$.
 - $\mathsf{FVar}(\neg \varphi) = \mathsf{FVar}(\varphi)$ and $\mathsf{FVar}(\varphi \land \psi) = \mathsf{FVar}(\varphi) \cup \mathsf{FVar}(\psi)$. (and similarly for $\to, \leftrightarrow, \lor, \top, \bot$)
 - $\mathsf{FVar}(\exists x \ \varphi) = \mathsf{FVar}(\varphi) \setminus \{x\} \text{ for all } x \in \mathsf{Var}.$

Notation regarding formulae

We write $\varphi(x_1, x_2, \dots, x_k)$ to indicate that the variables x_1, \dots, x_k are free in φ .

Formula without free-variables is called a sentence.

Formula without occurrences of \forall , \exists is called a quantifier-free.

A set of sentences is called a theory.

Semantics of FO

For a σ -structure $\mathfrak A$ we define inductively, for each term $t(x_1,x_2,\ldots,x_n)$

the value of $t^{\mathfrak{A}}(a_1,\ldots,a_n)$, where $(a_1,\ldots,a_n)\in A^n$ as follows:

- **1.** For a constant symbol $c \in \sigma$, the value of c in $\mathfrak A$ is $c^{\mathfrak A}$.
- **2.** The value of x_i in $t^{\mathfrak{A}}(a_1, a_2, \ldots, a_n)$ is a_i .

Now we define \models for $\varphi(x_1, x_2, \dots, x_n)$:

- If $\varphi \equiv t_1 = t_2$, then $\mathfrak{A} \models \varphi(\overline{a})$ iff $t_1^{\mathfrak{A}}(\overline{a}) = t_2^{\mathfrak{A}}(\overline{a})$.
 - If $\varphi \equiv R(t_1, t_2, \dots, t_n)$, then $\mathfrak{A} \models \varphi(\overline{a})$ iff $(t_1^{\mathfrak{A}}(\overline{a}), \dots, t_n^{\mathfrak{A}}(\overline{a}) \in R^{\mathfrak{A}}$.
 - $\mathfrak{A} \models \neg \varphi$ iff not $\mathfrak{A} \models \varphi$; $\mathfrak{A} \models \varphi \land \psi$ iff $\mathfrak{A} \models \varphi$ and $\mathfrak{A} \models \psi$ (similarly for other connectives)
 - If $\varphi \equiv \exists x \ \psi(x, \overline{y})$, then $\mathfrak{A} \models \varphi(\overline{a})$ iff $\mathfrak{A} \models \psi(a', \overline{a})$ for some $a' \in A$ (similarly for \forall quantifier)

The last bunch of notations. Proof systems.

A formula φ is satisfiable if it has a model (there is a structure \mathfrak{A} s.t. $\mathfrak{A} \models \varphi$).

For a theory \mathcal{T} (set of sentences) we write $\mathfrak{A} \models \mathcal{T}$ instead of $\mathfrak{A} \models \wedge_{\varphi \in \mathcal{T}} \varphi$.

 φ is a tautology iff every structure satisfies φ (written: $\models \varphi$). Note: φ is a tautology iff $\neg \varphi$ is unsatisfiable.

We write $\mathcal{T} \models \varphi$ to say that every model of \mathcal{T} is a model of φ . Note: $\mathcal{T} \models \bot$ iff \mathcal{T} is unSAT.



Warning! Models can be of any size: finite, countably-infinite and larger! Löwenheim–Skolem 1922: If a countable $\mathcal T$ has a model then $\mathcal T$ has a countable one.

FO has dedicated proof systems, e.g. Gentzen's sequents. Check Tim Lyon's lectures! [HERE]

 $\mathcal{T} \vdash \varphi$ means φ is provable from \mathcal{T} with sequents.

(we treat \mathcal{T} as extra axioms, note that proofs are finite)

Gödel 1929:
$$\mathcal{T} \models \varphi$$
 iff $\mathcal{T} \vdash \varphi$

SAT for FO is Recursively Enumerable

$$\frac{Ax}{\forall x[P(x)], \forall x[P(x) \to Q(x)], Q(a) \vdash Q(a)} \frac{\forall x[P(x)], \forall x[P(x) \to Q(x)] \vdash P(a), Q(a)}{\forall x[P(x)], \forall x[P(x) \to Q(x)], \neg P(a) \vdash Q(a)} [\neg \vdash]$$

$$\frac{\forall x[P(x)], \forall x[P(x) \to Q(x)], \neg P(a) \lor Q(a) \vdash Q(a)}{\forall x[P(x)], \forall x[P(x) \to Q(x)], P(a) \to Q(a) \vdash Q(a)} [\neg \vdash r.w.]$$

$$\frac{\forall x[P(x)], \forall x[P(x) \to Q(x)], P(a) \to Q(a) \vdash Q(a)}{\forall x[P(x)], \forall x[P(x) \to Q(x)] \vdash Q(a)} [\vdash \forall]$$

The Gödel's Compactness Theorem

Let \mathcal{T} be an FO-theory and let φ be an FO sentence.

- **1.** If $\mathcal{T} \models \varphi$ then there is a finite $\mathcal{T}_0 \subseteq \mathcal{T}$ such that $\mathcal{T}_0 \models \varphi$.
- **2.** If every *finite* $\mathcal{T}_0 \subseteq \mathcal{T}$ is satisfiable then \mathcal{T} is satisfiable.



Use case: Showing inexpressivity

Proofs are finite



1st excursion: Proving (1)

Assume $\mathcal{T} \models \varphi$. Then by Gödel's completeness theorem $\mathcal{T} \vdash \varphi$. So there is a formal proof \mathcal{P} of $\mathcal{T} \vdash \varphi$. Since proofs are finite the proof \mathcal{P} uses only finitely many axioms of \mathcal{T} . Call them \mathcal{T}_0 .

Thus $\mathcal{T}_0 \vdash \varphi$ holds (use the same proof as before!). After asking Gödel about " $\models = \vdash$ " again we are done.

Ad absurdum



Employ (1)

2nd excursion: Proving (2)

Towards a contradiction suppose \mathcal{T} is unsatisfiable. So $\mathcal{T} \models \bot$.

By (1) there is a finite $\mathcal{T}_0 \subseteq \mathcal{T}$ such that $\mathcal{T}_0 \models \bot$.

Thus \mathcal{T} has an unsatisfiable finite subset (\mathcal{T}_0) . A contradiction!

Employing compactness I: Reachability in $\{E\}$ -structures

The general proof scheme to show that the property ${\mathcal P}$ is not FO-definable.

Ad absurdum suppose that φ defines \mathcal{P} . \rightsquigarrow Manufacture a theory \mathcal{T} containing φ . \rightsquigarrow

 \rightsquigarrow Prove that \mathcal{T} is unsatisfiable \rightsquigarrow but its every finite subset is satisfiable. \rightsquigarrow Contradict Compactness.

There is no FO[$\{E\}$] formula for connectivity over $\{E\}$ -structures.

So there is no formula saying that between any two nodes there is a directed $\{E\}$ -path.



No info about the finite models!

Proof:

Assume that there is such φ , and let \mathcal{T} be

$$\mathcal{T} := \{\varphi\} \cup \{\neg \varphi_k^{\mathsf{reach}(\mathsf{a},\mathsf{b})} \mid k \ge 0\}.$$

Since a and b are disconnected, \mathcal{T} is unSAT.

Let \mathcal{T}_0 be any non-empty finite subset of \mathcal{T} .

Let N be max such that $\neg \varphi_N^{\text{reach}(a,b)}$ is in \mathcal{T}_0 . Then:



Employ reachability!

$$arphi_0^{\mathsf{reach}(\mathtt{a},\mathtt{b})} := \mathtt{a} = \mathtt{b}$$
, $arphi_1^{\mathsf{reach}(\mathtt{a},\mathtt{b})} := \mathrm{E}(\mathtt{a},\mathtt{b}), arphi_k^{\mathsf{reach}(\mathtt{a},\mathtt{b})} :=$

$$\exists x_1 \ldots \exists x_{k-1} \ \mathrm{E}(\mathtt{a}, x_1) \wedge \wedge_{i=1}^{k-2} \ \mathrm{E}(x_i, x_{i+1}) \wedge \mathrm{E}(x_{k-1}, \mathtt{b})$$



Employing compactness II: Parity of the domain

The previous proof does not give us any information about the finite domain reasoning.

Even worse, Compactness fails in the finite setting (exercise). Can we use it nevertheless?

There is no FO[\emptyset] formula expressing the domain is even over \emptyset -structures.

Proof:

Suppose that such a φ exists. Consider two theories \mathcal{T}_1 and \mathcal{T}_2 :

$$\mathcal{T}_1 := \{\varphi\} \cup \{\lambda_k \mid k \geq 0\}, \quad \mathcal{T}_2 := \{\neg \varphi\} \cup \{\lambda_k \mid k \geq 0\}.$$

It's easy to see that any finite subset of \mathcal{T}_1 and \mathcal{T}_2 is satisfiable (WHY?).

So by compactness \mathcal{T}_1 and \mathcal{T}_2 are also satisfiable (∞ models!).

Thus, by Löwenheim-Skolem, $\mathcal{T}_1, \mathcal{T}_2$ have countably-inf models $\mathfrak A$ and $\mathfrak B$.

By $\mathfrak{A} \models \mathcal{T}_1$ we get $\mathfrak{A} \models \varphi$, and $\mathfrak{A} \models \mathcal{T}_2$ we get $\mathfrak{B} \models \neg \varphi$.

As there is a bijection between any two countably-inf sets, we get $\mathfrak{A}\cong\mathfrak{B}$.

Formulae are preserved by isomorphisms, so $\mathfrak{B} \models \neg \varphi$ implies $\mathfrak{A} \models \neg \varphi$:

By $\mathfrak{A} \models \mathcal{T}_1$ we get $\mathfrak{A} \models \varphi$. A contradiction (with the semantics of \models)!



Exploit ∞

Let λ_k say "there are $\geq k$ elem.".



Löwenheim-Skolem!



 \emptyset -structures = sets

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