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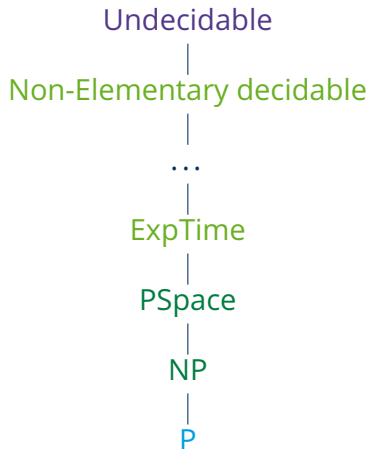
(based on slides by Bernardo Cuenca Grau, Ian Horrocks, Przemysław Wałęga)

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# Description Logics – Syntax and Semantics I

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# Motivation



# Motivation

Many KR applications do not require the full power of FOL

What can we leave out?

- Key reasoning problems should become **decidable**
- Sufficient expressive power to model application domain

Description Logics are a family of FOL fragments that meet these requirements for many applications:

- Underlying formalisms of modern **ontology languages**
- Widely used in bio-medical information systems
- Core component of the **Semantic Web**

# Motivation

Recall our arthritis example:

- A juvenile disease affects only children or teenagers
- Children and teenagers are not adults
- A person is either a child, a teenager, or an adult
- Juvenile arthritis is a kind of arthritis and a juvenile disease
- Every kind of arthritis damages some joint

The important types of objects are given by unary FOL predicates:  
juvenile disease, child, teenager, adult, ...

The types of relationships are given by binary FOL predicates:  
affects, damages, ...

# Motivation

The vocabulary of a Description Logic is composed of

- Unary FOL predicates

*Arthritis, Child, ...*

- Binary FOL predicates

*Affects, Damages, ...*

- FOL constants

*JohnSmith, MaryJones, JRA, ...*

We are already restricting the expressive power of FOL

- No function symbols (of positive arity)
- No predicates of arity greater than 2

# Motivation

Let us take a closer look at the FOL formulas for our example:

$$\forall x.(\text{JuvDis}(x) \rightarrow \forall y.(\text{Affects}(x,y) \rightarrow \text{Child}(y) \vee \text{Teen}(y)))$$

$$\forall x.(\text{Child}(x) \vee \text{Teen}(x) \rightarrow \neg \text{Adult}(x))$$

$$\forall x.(\text{Person}(x) \rightarrow \text{Child}(x) \vee \text{Teen}(x) \vee \text{Adult}(x))$$

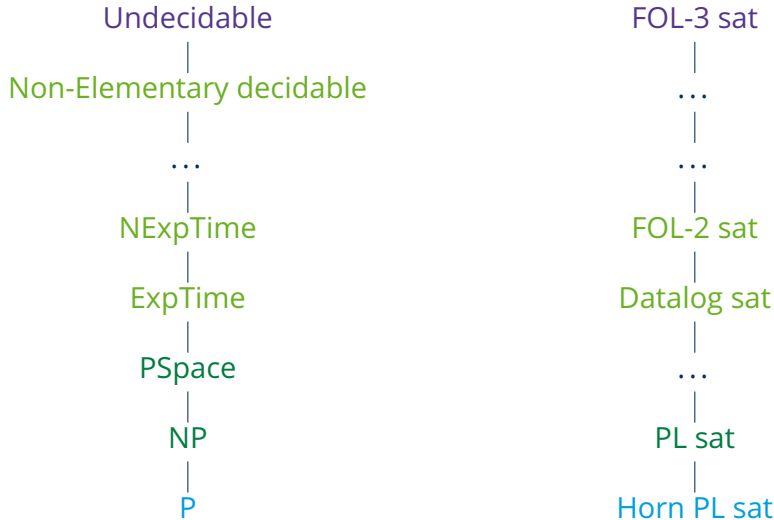
$$\forall x.(\text{JuvArthritis}(x) \rightarrow \text{Arthritis}(x) \wedge \text{JuvDis}(x))$$

$$\forall x.(\text{Arthritis}(x) \rightarrow \exists y.(\text{Damages}(x,y) \wedge \text{Joint}(y)))$$

We can find several **regularities** in these formulas:

- There is an outermost universal quantifier on a single variable  $x$
- The formulas can be split into two parts by the implication symbol  
Each part is a formula with one free variable
- Atomic formulas involving a binary predicate occur only quantified in a syntactically restricted way.

# Complexity



# Motivation

Consider as an example one of our formulas:

$$\forall x.((\textit{Child}(x) \vee \textit{Teen}(x)) \rightarrow \neg \textit{Adult}(x))$$

Let us look at all its sub-formulas at each side of the implication

<i>Child</i> (x)	Set of all children
<i>Teen</i> (x)	Set of all teenagers
<i>Child</i> (x) $\vee$ <i>Teen</i> (x)	Set of all objects that are children or teenagers
<i>Adult</i> (x)	Set of all adults
$\neg$ <i>Adult</i> (x)	Set of all non-adults

Important observations concerning formulas with one free variable:

- Some are **atomic** (e.g., *Child*(x))  
do not contain other formulas as subformulas
- Others are **complex** (e.g., *Child*(x)  $\vee$  *Teen*(x))



# Basic Definitions

Idea: Define **operators** for constructing complex formulas with one free variable out of simple **building blocks**

Atomic Concept: Represents an atomic formula with one free variable

$$\textit{Child} \rightsquigarrow \textit{Child}(x)$$

Complex concepts (part 1):

- Concept Union ( $\sqcup$ ): applies to two concepts

$$\textit{Child} \sqcup \textit{Teen} \rightsquigarrow \textit{Child}(x) \vee \textit{Teen}(x)$$

- Concept Intersection ( $\sqcap$ ): applies to two concepts

$$\textit{Arthritis} \sqcap \textit{JuvDis} \rightsquigarrow \textit{Arthritis}(x) \wedge \textit{JuvDis}(x)$$

- Concept Negation ( $\neg$ ): applies to one concept

$$\neg \textit{Adult} \rightsquigarrow \neg \textit{Adult}(x)$$

# Motivation

Consider examples with binary predicates:

$$\begin{aligned} & \forall x.(\text{Arthritis}(x) \rightarrow \exists y.(\text{Damages}(x,y) \wedge \text{Joint}(y))) \\ & \forall x.(\text{JuvDis}(x) \rightarrow \forall y.(\text{Affects}(x,y) \rightarrow (\text{Child}(y) \vee \text{Teen}(y)))) \end{aligned}$$

- We have a **concept** and a binary predicate (called a **role**) mentioning the concept's free variable
- The role and the concept are connected via conjunction (existential quantification) or implication (universal quantification)
- Nested sub-concepts use a fresh (existentially/universally quantified) variable, and are connected to the surrounding concept by exactly one role atom (often called a **guard**)

# Basic Definitions

Atomic Role: Represents an atom with two free variables

$$\textit{Affects} \rightsquigarrow \textit{Affects}(x,y)$$

Complex concepts (part 2): apply to an atomic role and a concept

- Existential Restriction:

$$\exists \textit{Damages}.\textit{Joint} \rightsquigarrow \exists y.(\textit{Damages}(x,y) \wedge \textit{Joint}(y))$$

- Universal Restriction:

$$\forall \textit{Affects}.\textit{Child} \sqcup \textit{Teen} \rightsquigarrow \forall y.(\textit{Affects}(x,y) \rightarrow (\textit{Child}(y) \vee \textit{Teen}(y)))$$

# ALC Concepts

ALC is the basic description logic

ALC concepts are inductively defined from atomic concepts and roles:

- Every atomic concept is a concept
- $\top$  and  $\perp$  are concepts
- If  $C$  is a concept, then  $\neg C$  is a concept
- If  $C$  and  $D$  are concepts, then so are  $C \sqcap D$  and  $C \sqcup D$
- If  $C$  a concept and  $R$  a role,  $\forall R.C$  and  $\exists R.C$  are concepts.

Concepts describe sets of objects with certain common features:

$Woman \sqcap \exists hasChild.(\exists hasChild.Person)$	Women with a grandchild
$Disease \sqcap \forall Affects.Child$	Diseases affecting only children
$Person \sqcap \neg \exists owns.DetHouse$	People not owning a detached house
$Man \sqcap \exists hasChild.\top \sqcap \forall hasChild.Man$	Fathers having only sons

↪ Very useful idea for Knowledge Representation

# General Concept Inclusion Axioms

Recall our example formulas:

$$\forall x.(\text{JuvDis}(x) \rightarrow \forall y.(\text{Affects}(x,y) \rightarrow \text{Child}(y) \vee \text{Teen}(y)))$$

$$\forall x.(\text{Child}(x) \vee \text{Teen}(x) \rightarrow \neg \text{Adult}(x))$$

$$\forall x.(\text{Person}(x) \rightarrow \text{Child}(x) \vee \text{Teen}(x) \vee \text{Adult}(x))$$

$$\forall x.(\text{JuvArthritis}(x) \rightarrow \text{Arthritis}(x) \wedge \text{JuvDis}(x))$$

$$\forall x.(\text{Arthritis}(x) \rightarrow \exists y.(\text{Damages}(x,y) \wedge \text{Joint}(y)))$$

They are of the following form, with  $\alpha_C(x)$  and  $\alpha_D(x)$  corresponding to  $\mathcal{ALC}$  concepts  $C$  and  $D$ :

$$\forall x.(\alpha_C(x) \rightarrow \alpha_D(x))$$

Such sentences are  $\mathcal{ALC}$  General Concept Inclusion Axioms (GCIs)

$$C \sqsubseteq D$$

where  $C$  and  $D$  are  $\mathcal{ALC}$ -concepts

# General Concept Inclusion Axioms

$\forall x.(JuvDis(x) \rightarrow$

$\forall y.(Affects(x,y) \rightarrow Child(y) \vee Teen(y))) \rightsquigarrow JuvDis \sqsubseteq \forall Affects.(Child \sqcup Teen)$

$\forall x.(Child(x) \vee Teen(x) \rightarrow \neg Adult(x)) \rightsquigarrow Child \sqcup Teen \sqsubseteq \neg Adult$

$\forall x.(Person(x) \rightarrow Child(x) \vee Teen(x) \vee Adult(x)) \rightsquigarrow Person \sqsubseteq Child \sqcup Teen \sqcup Adult$

$\forall x.(JuvArth(x) \rightarrow Arth(x) \wedge JuvDis(x)) \rightsquigarrow JuvArth \sqsubseteq Arth \sqcap JuvDis$

$\forall x.(Arth(x) \rightarrow \exists y.(Damages(x,y) \wedge Joint(y))) \rightsquigarrow Arth \sqsubseteq \exists Damages.Joint$

Note that we often use  $C \equiv D$  as an abbreviation for a symmetrical pair of GCIs  $C \sqsubseteq D$  and  $D \sqsubseteq C$ , e.g.:

$$\left. \begin{array}{l} Arth \sqcap JuvDis \sqsubseteq JuvArth \\ JuvArth \sqsubseteq Arth \sqcap JuvDis \end{array} \right\} \rightsquigarrow JuvArth \equiv Arth \sqcap JuvDis$$

# Terminological Statements

GCI's allow us to represent a surprising variety of terminological statements:

- Sub-type statements

$$\forall x.(JuvArth(x) \rightarrow Arth(x)) \rightsquigarrow JuvArth \sqsubseteq Arth$$

- Full definitions:

$$\forall x.(JuvArth(x) \leftrightarrow Arth(x) \wedge JuvDis(x)) \rightsquigarrow JuvArth \equiv Arth \sqcap JuvDis$$

- Disjointness statements:

$$\forall x.(Child(x) \rightarrow \neg Adult(x)) \rightsquigarrow Child \sqsubseteq \neg Adult$$

- Covering statements:

$$\forall x.(Person(x) \rightarrow Adult(x) \vee Child(x)) \rightsquigarrow Person \sqsubseteq Adult \sqcup Child$$

- Type (domain and range) restrictions:

$$\forall x.(\forall y.(Affects(x,y) \rightarrow Arth(x) \wedge Person(y))) \rightsquigarrow \begin{aligned} \exists Affects.T \sqsubseteq Arth \\ T \sqsubseteq \forall Affects.Person \end{aligned}$$

# Concept Inclusion Axioms & Definitions

Why call  $C \sqsubseteq D$  a concept inclusion axiom?

- Intuitively, every object belonging to  $C$  should belong also to  $D$
- States that  $C$  is more specific than  $D$

Why call it a general concept inclusion axiom?

- It may be interesting to consider restricted forms of inclusion
- E.g., axioms where the l.h.s. is atomic are sometimes called definitions:
  - A concept definition specifies necessary and sufficient conditions for instances, e.g.:

$$JuvArth \equiv Arth \sqcap JuvDis$$

- A primitive concept definition specifies only necessary conditions for instances, e.g.:

$$Arth \sqsubseteq \exists Damages.Joint$$



# Data Assertions

In description logics, we can also represent data:

$Child(JohnSmith)$       John Smith is a child  
 $JuvenileArthritis(JRA)$       JRA is a juvenile arthritis  
 $Affects(JRA, MaryJones)$       Mary Jones is affected by JRA

Usually **data assertions** correspond to FOL ground atoms.

Often written like this:       $JohnSmith : Child$ ,       $(JRA, MaryJones) : Affects$

In  $\mathcal{ALC}$ , we have two types of data assertions, for  $a, b$  individuals:

$C(a) \rightsquigarrow C$  is an  $\mathcal{ALC}$  concept  
 $R(a, b) \rightsquigarrow R$  is an atomic role

Examples of data assertions in  $\mathcal{ALC}$ :

$\exists hasChild.Teacher(John) \rightsquigarrow \exists y.(hasChild(John, y) \wedge Teacher(y))$   
 $HistorySt \sqcup ClassicsSt(John) \rightsquigarrow HistorySt(John) \vee ClassicsSt(John)$

# DL Knowledge Base: TBox + ABox

An  $\mathcal{ALC}$  knowledge base  $\mathcal{K} = (\mathcal{T}, \mathcal{A})$  is composed of:

- A TBox  $\mathcal{T}$  (Terminological Component):  
Finite set of GCIs
- An ABox  $\mathcal{A}$  (Assertional Component):  
Finite set of assertions

TBox:

$JuvArthritis \sqsubseteq Arthritis \sqcap JuvDisease$   
 $Arthritis \sqcap JuvDisease \sqsubseteq JuvArthritis$   
 $Arthritis \sqsubseteq \exists Damages.Joint$   
 $JuvDisease \sqsubseteq \forall Affects.(Child \sqcup Teen)$   
 $Child \sqcup Teen \sqsubseteq \neg Adult$

ABox:

$Child(JohnSmith)$   
 $JuvArthritis(JRA)$   
 $Affects(JRA, MaryJones)$   
 $Child \sqcup Teen(MaryJones)$

# Semantics via FOL Translation

$\mathcal{ALC}$  semantics can be defined via translation into FOL:

- Concepts translated as formulas with one free variable

$$\pi_x(A) = A(x)$$

$$\pi_y(A) = A(y)$$

$$\pi_x(\neg C) = \neg \pi_x(C)$$

$$\pi_y(\neg C) = \neg \pi_y(C)$$

$$\pi_x(C \sqcap D) = \pi_x(C) \wedge \pi_x(D)$$

$$\pi_y(C \sqcap D) = \pi_y(C) \wedge \pi_y(D)$$

$$\pi_x(C \sqcup D) = \pi_x(C) \vee \pi_x(D)$$

$$\pi_y(C \sqcup D) = \pi_y(C) \vee \pi_y(D)$$

$$\pi_x(\exists R.C) = \exists y.(R(x, y) \wedge \pi_y(C))$$

$$\pi_y(\exists R.C) = \exists x.(R(y, x) \wedge \pi_x(C))$$

$$\pi_x(\forall R.C) = \forall y.(R(x, y) \rightarrow \pi_y(C))$$

$$\pi_y(\forall R.C) = \forall x.(R(y, x) \rightarrow \pi_x(C))$$

- GCIs and assertions translated as sentences

$$\pi(C \sqsubseteq D) = \forall x.(\pi_x(C) \rightarrow \pi_x(D))$$

$$\pi(R(a, b)) = R(a, b)$$

$$\pi(C(a)) = \pi_{x/a}(C)$$

- TBoxes, ABoxes and KBs are translated in the obvious way.

# Semantics via FOL Translation

Note **redundancy** in concept-forming operators:

$$\begin{aligned}\perp &\rightsquigarrow \neg\top \\ C \sqcup D &\rightsquigarrow \neg(\neg C \sqcap \neg D) \\ \forall R.C &\rightsquigarrow \neg(\exists R.\neg C)\end{aligned}$$

These equivalences can be proved using FOL semantics:

$$\begin{aligned}\pi_x(\neg\exists R.\neg C) &= \neg\exists y.(R(x,y) \wedge \neg\pi_y(C)) \\ &\equiv \forall y.(\neg(R(x,y) \wedge \neg\pi_y(C))) \\ &\equiv \forall y.(\neg R(x,y) \vee \pi_y(C)) \\ &\equiv \forall y.(R(x,y) \rightarrow \pi_y(C)) \\ &= \pi_x(\forall R.C)\end{aligned}$$

We can define the syntax of  $\mathcal{ALC}$  using (e.g.) **only conjunction, negation, and existential restriction**.

# Direct (Model-Theoretic) Semantics

**Direct semantics:** An alternative (and convenient) way of specifying semantics

**DL interpretation**  $\mathcal{J} = \langle \Delta^{\mathcal{J}}, \cdot^{\mathcal{J}} \rangle$  is a FOL interpretation over the DL vocabulary:

- Each individual  $a$  interpreted as an object  $a^{\mathcal{J}} \in \Delta^{\mathcal{J}}$ .
- Each atomic concept  $A$  interpreted as a set  $A^{\mathcal{J}} \subseteq \Delta^{\mathcal{J}}$ .
- Each atomic role  $R$  interpreted as a binary relation  $R^{\mathcal{J}} \subseteq \Delta^{\mathcal{J}} \times \Delta^{\mathcal{J}}$ .

The mapping  $\cdot^{\mathcal{J}}$  is extended to  $\top$ ,  $\perp$  and compound concepts as follows:

$$\top^{\mathcal{J}} = \Delta^{\mathcal{J}}$$

$$\perp^{\mathcal{J}} = \emptyset$$

$$(\neg C)^{\mathcal{J}} = \Delta^{\mathcal{J}} \setminus C^{\mathcal{J}}$$

$$(C \sqcap D)^{\mathcal{J}} = C^{\mathcal{J}} \cap D^{\mathcal{J}}$$

$$(C \sqcup D)^{\mathcal{J}} = C^{\mathcal{J}} \cup D^{\mathcal{J}}$$

$$(\exists R.C)^{\mathcal{J}} = \{u \in \Delta^{\mathcal{J}} \mid \exists w \in \Delta^{\mathcal{J}} \text{ s.t. } \langle u, w \rangle \in R^{\mathcal{J}} \text{ and } w \in C^{\mathcal{J}}\}$$

$$(\forall R.C)^{\mathcal{J}} = \{u \in \Delta^{\mathcal{J}} \mid \forall w \in \Delta^{\mathcal{J}}, \langle u, w \rangle \in R^{\mathcal{J}} \text{ implies } w \in C^{\mathcal{J}}\}$$

# Direct (Model-Theoretic) Semantics

Consider the interpretation  $\mathcal{J} = \langle \Delta^{\mathcal{J}}, \cdot^{\mathcal{J}} \rangle$

$$\begin{aligned}\Delta^{\mathcal{J}} &= \{u, v, w\} \\ \text{JuvDis}^{\mathcal{J}} &= \{u\} \\ \text{Child}^{\mathcal{J}} &= \{w\} \\ \text{Teen}^{\mathcal{J}} &= \emptyset \\ \text{Affects}^{\mathcal{J}} &= \{\langle u, w \rangle\}\end{aligned}$$

We can then interpret any concept as a subset of  $\Delta^{\mathcal{J}}$ :

$$\begin{aligned}(\text{JuvDis} \sqcap \text{Child})^{\mathcal{J}} &= \emptyset \\ (\text{Child} \sqcup \text{Teen})^{\mathcal{J}} &= \{w\} \\ (\exists \text{Affects} . (\text{Child} \sqcup \text{Teen}))^{\mathcal{J}} &= \{u\} \\ (\neg \text{Child})^{\mathcal{J}} &= \{u, v\} \\ (\forall \text{Affects} . \text{Teen})^{\mathcal{J}} &= \{v, w\}\end{aligned}$$

# Direct (Model-Theoretic) Semantics

We can now determine whether  $\mathcal{J}$  is a **model of ...**

- A General Concept Inclusion Axiom  $C \sqsubseteq D$ :

$$\mathcal{J} \models (C \sqsubseteq D) \quad \text{iff} \quad C^{\mathcal{J}} \subseteq D^{\mathcal{J}}$$

- An assertion  $C(a)$ :

$$\mathcal{J} \models C(a) \quad \text{iff} \quad a^{\mathcal{J}} \in C^{\mathcal{J}}$$

- An assertion  $R(a, b)$ :

$$\mathcal{J} \models R(a, b) \quad \text{iff} \quad \langle a^{\mathcal{J}}, b^{\mathcal{J}} \rangle \in R^{\mathcal{J}}$$

- A TBox  $\mathcal{T}$ , ABox  $\mathcal{A}$ , and knowledge base  $\mathcal{K} = (\mathcal{T}, \mathcal{A})$ :

$$\mathcal{J} \models \mathcal{T} \quad \text{iff} \quad \mathcal{J} \models \tau \text{ for each } \tau \in \mathcal{T}$$

$$\mathcal{J} \models \mathcal{A} \quad \text{iff} \quad \mathcal{J} \models a \text{ for each } a \in \mathcal{A}$$

$$\mathcal{J} \models \mathcal{K} \quad \text{iff} \quad \mathcal{J} \models \mathcal{T} \text{ and } \mathcal{J} \models \mathcal{A}$$

# Direct (Model-Theoretic) Semantics: Examples

Consider our previous example interpretation:

$$\begin{aligned}\Delta^{\mathcal{J}} &= \{u, v, w\} & \textit{Affects}^{\mathcal{J}} &= \{\langle u, w \rangle\} \\ \textit{JuvDis}^{\mathcal{J}} &= \{u\} & \textit{Child}^{\mathcal{J}} &= \{w\} & \textit{Teen}^{\mathcal{J}} &= \emptyset\end{aligned}$$

$\mathcal{J}$  is a model of the following axioms:

$$\begin{aligned}\textit{JuvDis} \sqsubseteq \exists \textit{Affects}. \textit{Child} &\rightsquigarrow \{u\} \subseteq \{u\} \\ \textit{Child} \sqsubseteq \neg \textit{Teen} &\rightsquigarrow \{w\} \subseteq \{u, v, w\} \\ \textit{JuvDis} \sqsubseteq \forall \textit{Affects}. \textit{Child} &\rightsquigarrow \{u\} \subseteq \{u, v, w\}\end{aligned}$$

However  $\mathcal{J}$  is not a model of the following axioms:

$$\begin{aligned}\textit{JuvDis} \sqsubseteq \exists \textit{Affects}. (\textit{Child} \sqcap \textit{Teen}) &\rightsquigarrow \{u\} \not\subseteq \emptyset \\ \neg \textit{Teen} \sqsubseteq \textit{Child} &\rightsquigarrow \{u, v, w\} \not\subseteq \{w\} \\ \exists \textit{Affects}. \top \sqsubseteq \textit{Teen} &\rightsquigarrow \{u\} \not\subseteq \emptyset\end{aligned}$$



# Conclusion

- Description Logics are a family of knowledge representation languages
- They can be seen as syntactic fragments of first-order predicate logic
- Only unary and binary predicate symbols, no function symbols (of positive arity)
- Use of quantification is restricted by **guards** (cf. guarded fragment of FOL)
- $\mathcal{ALC}$  is the basic description logic
- Syntax of DLs: concepts (atomic/complex), general concept inclusions
- DL knowledge bases: consist of TBox and ABox
- Semantics of DLs: direct model-theoretic semantics (or translation to FOL)