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# What Can Approximation Fixpoint Theory Do For (Abstract) Argumentation?

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# Overview

## Preliminaries

Lattice Theory

Abstract Argumentation Frameworks

## Approximating Operators

Approximator

Defining Semantics

## Abstract Dialectical Frameworks

## Stable Operators

Semantics via Fixpoints

## Conclusion

# Motivation: Objective

**Goal:** Define semantics for (rule-based) KR formalisms in the presence of:

## Recursion

- transitive closure
- indirect effects of actions

## Negation

- shorter and more intuitive descriptions
- defaults and assumptions (e.g. closed world, non-effects of actions)

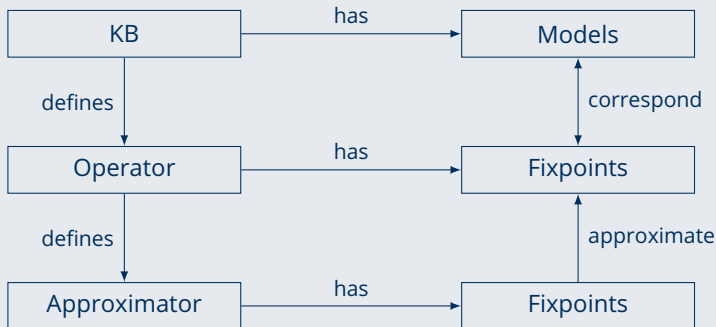
## Recursion **Through** Negation

- mutually exclusive alternatives
- non-deterministic effects of actions

# Motivation: Basic Idea

## Approximation Fixpoint Theory

- Framework for studying semantics of (non-monotonic) KR formalisms
- Due to Denecker, Marek, and Truszczyński [2000, 2003, 2004]
- Based on lattice theory and fixpoint theory:



# Motivation: History and Context

## Approximation Fixpoint Theory

... emerged from similarities in the semantics of

- Default Logic [Reiter, 1980]
- Autoepistemic Logic [Moore, 1985]
- Logic Programs, in particular Stable Models [Gelfond and Lifschitz, 1988]

... and has since been applied to define/reconstruct semantics of ...

- Abstract Argumentation Frameworks
- Abstract Dialectical Frameworks
- Active Integrity Constraints
- Recursive SHACL

# Agenda

## Preliminaries

- Lattice Theory

- Abstract Argumentation Frameworks

## Approximating Operators

- Approximator

- Defining Semantics

## Abstract Dialectical Frameworks

## Stable Operators

- Semantics via Fixpoints

## Conclusion

# Preliminaries

# Partially Ordered Sets

## Definition

A **partially ordered set** is a pair  $(L, \leq)$  with

- $L$  a set, and (carrier set)
- $\leq \subseteq L \times L$  a partial order. (reflexive, antisymmetric, transitive)

A partially ordered set  $(L, \leq)$  has a

- **bottom element**  $\perp \in L$  iff  $\perp \leq x$  for all  $x \in L$ ,
- **top element**  $\top \in L$  iff  $x \leq \top$  for all  $x \in L$ .

## Examples

- $(\mathbb{N}, \leq)$ : natural numbers with “usual” ordering,  $\perp = 0$ , no  $\top$
- $(2^S, \subseteq)$ : any powerset with subset relation,  $\perp = \emptyset$ ,  $\top = S$
- $(\mathbb{N}, |)$ : natural numbers with divisibility relation,  $\perp = 1$ ,  $\top = 0$



# Minimal, Maximal, Least, Greatest

## Definition

Let  $(L, \leq)$  be a partially ordered set with  $S \subseteq L$  and  $x \in S$ . We say that:

- $x$  is a **minimal element** of  $S$  iff for each  $y \in S$ ,  $y \leq x$  implies  $y = x$ , dually,
- $x$  is a **maximal element** of  $S$  iff for each  $y \in S$ ,  $x \leq y$  implies  $y = x$ ;
- $x$  is the **least element** of  $S$  iff for each  $y \in S$ , we have  $x \leq y$ , dually,
- $x$  is the **greatest element** of  $S$  iff for each  $y \in S$ , we have  $y \leq x$ .

## Example

In  $(\mathbb{N}, |)$  (natural numbers with divisibility  $a | b \iff (\exists k \in \mathbb{N}) a \cdot k = b$ ), ...

- the set  $\{2, 3, 6\}$  has minimal elements 2 and 3, greatest element 6,
- the set  $\{2, 4, 6\}$  has least element 2, and maximal elements 4 and 6.



# Least Upper and Greatest Lower Bounds

## Definition

Let  $(L, \leq)$  be a partially ordered set with  $S \subseteq L$  and  $x \in L$ .

- $x$  is an **upper bound** of  $S$  iff for each  $s \in S$ , we have  $s \leq x$ , dually,
- $x$  is a **lower bound** of  $S$  iff for each  $s \in S$ , we have  $x \leq s$ .

The set of all upper bounds of  $S$  is denoted by  $S^u$ , its lower bounds by  $S^l$ .

- If  $S^u$  has a least element  $z \in S$ ,  $z$  is the **least upper bound** of  $S$ , dually,
- if  $S^l$  has a greatest element  $z \in S$ ,  $z$  is the **greatest lower bound** of  $S$ .

We denote the **glb** of  $\{x, y\}$  by  $x \wedge y$ , and the **lub** of  $\{x, y\}$  by  $x \vee y$ .

We denote the glb of  $S$  by  $\bigwedge S$ , and the lub of  $S$  by  $\bigvee S$ .

## Examples

- In  $(2^S, \subseteq)$ ,  $\wedge = \cap$  and  $\vee = \cup$ ;
- in  $(\mathbb{N}, |)$ ,  $\wedge = \text{gcd}$  and  $\vee = \text{lcm}$ , e.g.  $4 \vee 6 = 12$  and  $23 \wedge 42 = 1$ .

# (Complete) Lattices

## Definition

Let  $(L, \leq)$  be a partially ordered set.

1.  $(L, \leq)$  is a **lattice** if and only if for all  $x, y \in L$ , both  $x \wedge y$  and  $x \vee y$  exist;
2.  $(L, \leq)$  is a **complete lattice** iff for all  $S \subseteq L$ , both  $\bigwedge S$  and  $\bigvee S$  exist.

In particular, a complete lattice has  $\bigvee \emptyset = \bigwedge L = \perp$  and  $\bigwedge \emptyset = \bigvee L = \top$ .

## Examples

- $(2^S, \subseteq)$  is a complete lattice for every set  $S$ .
- $(\mathbb{N}, |)$  is a complete lattice.
- $(\{M \subseteq \mathbb{N} \mid M \text{ is finite}\}, \subseteq)$  is a lattice.
- Every lattice  $(L, \leq)$  with  $L$  finite is a complete lattice. (induction on  $|S|$ )

Further reading: **davey-priestley**

# Operators and Their Properties

## Definition

Let  $(L, \leq)$  be a partially ordered set. An operator  $O: L \rightarrow L$  is

- **$\leq$ -monotone** iff for all  $x, y \in L$ , we find that  $x \leq y$  implies  $O(x) \leq O(y)$ ;
- **$\leq$ -antimonotone** iff for all  $x, y \in L$ , we find that  $x \leq y$  implies  $O(y) \leq O(x)$ .

Intuition: Operator application preserves/reverses ordering.

## Example

Consider  $(2^{\mathbb{N}}, \subseteq)$  with operator  $O: 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}}$ ,  $M \mapsto \{\bigcap K \mid K \subseteq M, K \text{ finite}\}$ .

- $O(\{2, 3\}) = \{1, 2, 3, 6\}$  and  $O(\{2, 3, 5\}) = \{1, 2, 3, 5, 6, 10, 15, 30\}$ .
- $O$  is  $\subseteq$ -monotone:
  - Let  $M_1 \subseteq M_2 \subseteq \mathbb{N}$  and consider  $k \in O(M_1)$ .
  - Then there is a  $K \subseteq M_1$  with  $k = \bigcap K$ .
  - By  $K \subseteq M_1 \subseteq M_2$ , we get  $k \in O(M_2)$ .

# Fixpoints of Operators

## Definition

Let  $(L, \leq)$  be a partially ordered set and  $O: L \rightarrow L$  be an operator.

- $x \in L$  is a **fixpoint** of  $O$  iff  $O(x) = x$ ;
- $x \in L$  is a **prefixpoint** of  $O$  iff  $O(x) \leq x$ ;
- $x \in L$  is a **postfixpoint** of  $O$  iff  $x \leq O(x)$ .

## Theorem (Knaster/Tarski)

Let  $(L, \leq)$  be a complete lattice and  $O: L \rightarrow L$  be a monotone operator. Then the set  $F$  of fixpoints of  $O$  has a least element and a greatest element.

Order-preserving operators on complete lattices have a fixpoint.

## Example (Continued.)

Consider  $(2^{\mathbb{N}}, \subseteq)$  with operator  $O: 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}}$ ,  $M \mapsto \{\bigcap K \mid K \subseteq M, K \text{ finite}\}$ .  
 $O$  has least and greatest fixpoints:  $O(\{1\}) = \{1\}$  and  $O(\mathbb{N}) = \mathbb{N}$ .

# Fixpoints of Operators (2)

## Theorem (Knaster/Tarski)

Let  $(L, \leq)$  be a complete lattice and  $O: L \rightarrow L$  be a monotone operator. Then the set  $F$  of fixpoints of  $O$  has a least element and a greatest element.

Proof.

Define  $A = \{x \in L \mid O(x) \leq x\}$  and  $\alpha = \bigwedge A$ . ( $A \neq \emptyset$  as  $\top \in A$ .)

- For every  $x \in A$ , we have  $\alpha \leq x$  and by monotonicity  $O(\alpha) \leq O(x) \leq x$ .
- Thus  $O(\alpha)$  is a lower bound of  $A$ .
- Since  $\alpha$  is the greatest lower bound of  $A$ , we get  $O(\alpha) \leq \alpha$ , that is,  $\alpha \in A$ .
- Furthermore, monotonicity yields  $O(O(\alpha)) \leq O(\alpha)$ , whence  $O(\alpha) \in A$ .
- Since  $\alpha$  is a lower bound of  $A$ , we get  $\alpha \leq O(\alpha)$ , thus  $O(\alpha) = \alpha$ .
- Greatest fixpoint  $\beta$  is obtained dually:  $B = \{x \in L \mid x \leq O(x)\}$ ,  $\beta = \bigvee B$ . □

$(F, \leq)$  is a complete lattice: for  $G \subseteq F$ , take  $([\bigvee G, \bigvee L], \leq)$  and  $([\bigwedge L, \bigwedge G], \leq)$ .

# Fixpoints of Operators (3)

Nice to know there is one, but how do we get there?

## Theorem

Let  $(L, \leq)$  be a complete lattice and  $O: L \rightarrow L$  be a  $\leq$ -monotone operator. For ordinals  $\alpha, \beta$ , define

$$O^0(\perp) = \perp$$

$$O^{\alpha+1}(\perp) = O(O^\alpha(\perp)) \quad \text{for successor ordinals}$$

$$O^\beta(\perp) = \bigvee \{O^\alpha(\perp) \mid \alpha < \beta\} \quad \text{for limit ordinals}$$

Then for some ordinal  $\alpha$ , the element  $O^\alpha(\perp)$  is a fixpoint of  $O$ .

## Example (Continued.)

Consider  $(2^{\mathbb{N}}, \subseteq)$  with operator  $O: 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}}$ ,  $M \mapsto \{\bigcap K \mid K \subseteq M, K \text{ finite}\}$ . We obtain the chain  $O^0(\emptyset) = \emptyset \rightsquigarrow O^1(\emptyset) = \{1\} \rightsquigarrow O^2(\emptyset) = O(\{1\}) = \{1\}$ .

# Abstract Argumentation Frameworks

We assume some background reservoir of (abstract) arguments.

Definition (Dung, 1995)

An **argumentation framework** is a pair  $F = (A, R)$  with  $R \subseteq A \times A$ .

A pair  $(a, b) \in R$  expresses that  $a$  **attacks**  $b$ .

Definition (Dung, 1995)

For an AF  $F = (A, R)$ , its **characteristic operator** is given by

$$\Gamma_F: 2^A \rightarrow 2^A, \quad S \mapsto \{a \in A \mid S \text{ defends } a\}$$

$S$  **defends**  $a$  iff  $S$  attacks all attackers of  $a$ .

Example

In  $F_1 = \left( \begin{array}{c} \textcircled{a} \longrightarrow \textcircled{b} \end{array} \right)$ , we have  $\Gamma_{F_1}(\emptyset) = \{a\}$  and  $\Gamma_{F_1}(\{a\}) = \{a\}$ .



# Semantics via Operators

## Observation

- For any AF  $F$ , the operator  $\Gamma_F$  is monotone in the complete lattice  $(2^A, \subseteq)$ .
- Therefore,  $\Gamma_F$  always has a least fixpoint.

## Proposition

Let  $F$  be an argumentation framework.


- The  $\subseteq$ -least fixpoint of  $\Gamma_F$  corresponds to the grounded extension of  $F$ .
- The conflict-free fixpoints of  $\Gamma_F$  correspond to complete extensions of  $F$ .

## Open Questions

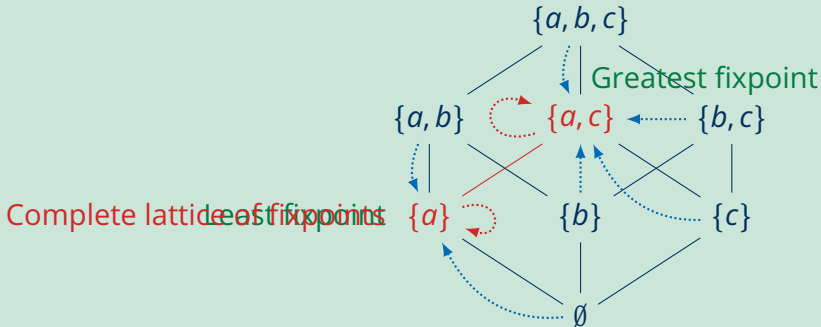
- Can other semantics also be recast in terms of operators?
- Can the extra condition of conflict-freeness be eliminated?

# Characteristic Operator: Example

## Example

Consider  $A = \{a, b, c\}$  and the AF  $F_2 =$  

The operator  $\Gamma_{F_2}$  maps as follows:



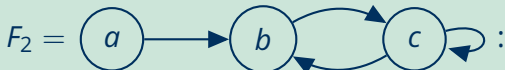
# Pollock's Operator

Definition (Pollock, 1987)

For an AF  $F = (A, R)$ , its **unattacked operator** is given by

$$U_F: 2^A \rightarrow 2^A, \quad S \mapsto A \setminus R(S) \text{ with } R(S) := \{a \in A \mid (b, a) \in R \text{ for some } b \in S\}.$$

Example



| $S$         | $U_{F_2}(S)$  | $S$           | $U_{F_2}(S)$ |
|-------------|---------------|---------------|--------------|
| $\emptyset$ | $\{a, b, c\}$ | $\{a, b\}$    | $\{a\}$      |
| $\{a\}$     | $\{a, c\}$    | $\{a, c\}$    | $\{a\}$      |
| $\{b\}$     | $\{a, b\}$    | $\{b, c\}$    | $\{a\}$      |
| $\{c\}$     | $\{a\}$       | $\{a, b, c\}$ | $\{a\}$      |

Proposition

For any AF  $F = (A, R)$  and  $S, T \subseteq A$ , we have:  $S \subseteq T \implies U_F(T) \subseteq U_F(S)$ .

# Quiz: <https://tud.link/jamqpw>



Recall:  $U_F(S) = A \setminus \{a \in A \mid (b, a) \in R \text{ for some } b \in S\}$ .

Quiz

Consider the argumentation framework  $F_3 = (A, R)$ : ...

# Pollock's Operator: Properties

Lemma 45 (Dung, 1995)

For any argumentation framework  $F = (A, R)$  and  $S \subseteq A$ ,  $\Gamma_F(S) = U_F(U_F(S))$ .

Proof.

$$a \notin \Gamma_F(S) \iff \text{there is a } b \in U_F(S) \text{ with } (b, a) \in R$$

$$\iff a \in R(U_F(S))$$

$$\iff a \notin A \setminus R(U_F(S))$$

$$\iff a \notin U_F(U_F(S))$$

□

Proposition

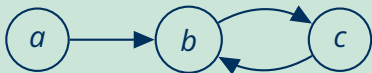
For any AF  $F = (A, R)$  and  $S \subseteq A$ ,

$$S \text{ is conflict-free} \iff S \subseteq U_F(S)$$

# Pollock's Operator: Example

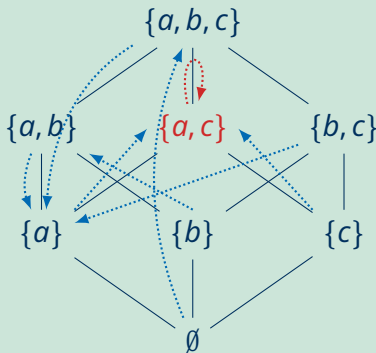
## Example

Consider  $F_4 = (A, R)$ :



Operator  $U_F$  visualised by  $\dots \rightarrow$

$U_F$  has a fixpoint:  
The stable extension of  $F_4$ .



- Does the correspondence fixpoints/stable extensions generalise?
- How to capture more semantics?

# Characterising Semantics via Operators

## Theorem

Let  $F = (A, R)$  be an argumentation framework. A set  $S \subseteq A$  is ...

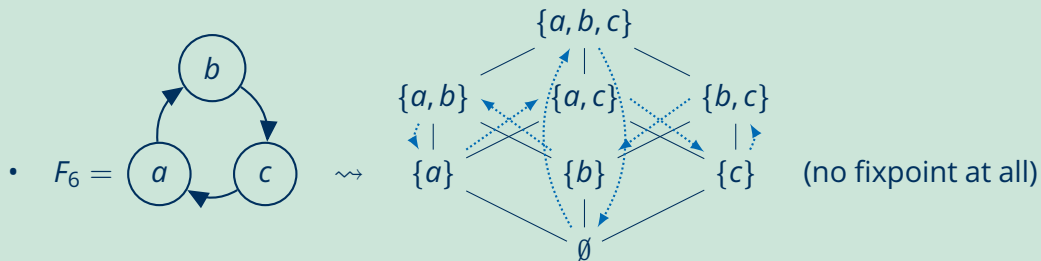
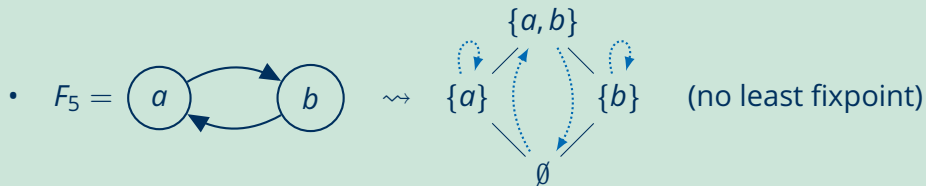
1. conflict-free iff  $S \subseteq U_F(S)$ ;
2. admissible iff  $S \subseteq U_F(S)$  and  $S \subseteq \Gamma_F(S)$ ;
3. complete iff  $S \subseteq U_F(S)$  and  $S = \Gamma_F(S)$ ;
4. stable iff  $S = U_F(S)$ ;
5. grounded iff it is the least fixpoint of  $\Gamma_F$ .

## Proof.

4.  $S$  is stable    iff  $S$  is conflict-free and  $S$  attacks all arguments in  $A \setminus S$   
iff  $S$  is conflict-free and  $R(S) \supseteq A \setminus S$   
iff  $S \subseteq U_F(S)$  and  $A \setminus R(S) \subseteq A \setminus (A \setminus S)$   
iff  $S \subseteq U_F(S)$  and  $U_F(S) \subseteq S$  □

# Why Is This Not Enough?

## Example





# Stocktaking

- Monotone operators in complete lattices have (least and greatest) fixpoints.
- Operators can be associated with knowledge bases such that their fixpoints correspond to models.
- An AF  $F$  induces its characteristic operator  $\Gamma_F$ , whose least fixpoint is exactly the grounded extension of  $F$ .
- An AF  $F$  also induces its unattacked operator  $U_F$ , which characterises conflict-freeness and stable semantics.
- The unattacked operator  $U_F$  can emulate the characteristic operator  $\Gamma_F$ .
- Can semantics be formulated only in terms of  $U_F$ , and in a more uniform manner?

# Approximating Operators

# Approximating Operators

## Main Idea

Use a more fine-grained structure to keep track of (partial) truth values.

## Desiderata

- Preserve “interpretation revision” character of operators
- Preserve correspondence of fixpoints with models
- Obtain useful properties of operators

## Approach

- **Approximate** sets of models by intervals.
- Use an **information ordering** on these approximations.
- Approximate operators by **approximators** – operators on intervals.
- Guarantee that fixpoints of approximators contain original fixpoints.

# From Lattices to Bilattices

## Definition

Let  $(L, \leq)$  be a partially ordered set.

Its associated **information bilattice** is  $(L^2, \leq_i)$  with  $L^2 = L \times L$  and

$$(u, v) \leq_i (x, y) \quad \text{iff} \quad u \leq x \text{ and } y \leq v$$

- A pair  $(x, y)$  is **consistent** iff  $x \leq y$ ; it **approximates** all  $z \in L$  with  $x \leq z \leq y$ .
- For consistent pairs: Information ordering  $\hat{=}$  interval inclusion:

$$(u, v) \leq_i (x, y) \quad \text{iff} \quad [x, y] \subseteq [u, v]$$

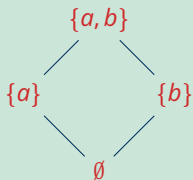
## Proposition

If  $(L, \leq)$  is a complete lattice, then  $(L^2, \leq_i)$  is a complete lattice. For  $S \subseteq L^2$ :

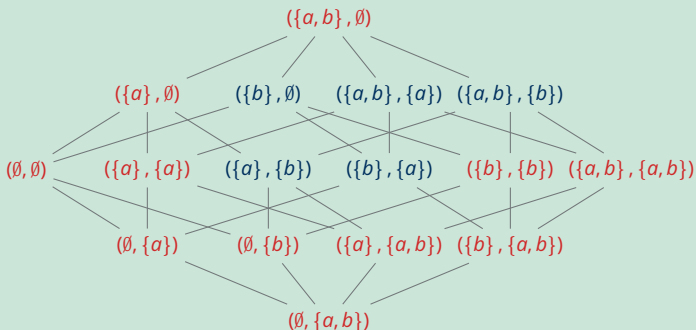
$$\bigwedge_i S = \left( \bigwedge S', \bigvee S'' \right) \quad \text{and} \quad \bigvee_i S = \left( \bigvee S', \bigwedge S'' \right) \quad \begin{array}{l} S' = \{x \mid (x, y) \in S\} \\ S'' = \{y \mid (x, y) \in S\} \end{array}$$

# From Lattice to Bilattice: Example

## Example



Original lattice  $(2^{\{a,b\}}, \subseteq)$



Bilattice  $(2^{\{a,b\}} \times 2^{\{a,b\}}, \leq_i)$

Pairs in the bilattice correspond to **four-valued** interpretations  $v: \{a, b\} \rightarrow \{\mathbf{t}, \mathbf{f}, \mathbf{u}, \mathbf{i}\}$ .

We will mostly be concerned with the **consistent pairs**  $(x, y)$  with  $x \leq y$ .

Elements of the original lattice correspond to **exact pairs**  $(x, x)$ .

# Approximator

Recall approach: Approximate lattice operators on a richer structure.

## Definition

Let  $(L, \leq)$  be a complete lattice and  $O: L \rightarrow L$  be an operator.

An operator  $\mathcal{A}: L^2 \rightarrow L^2$  **approximates**  $O$  iff for all  $x \in L$ , we have

$$\mathcal{A}(x, x) = (O(x), O(x))$$

$\mathcal{A}$  is an **approximator** iff  $\mathcal{A}$  approximates some  $O$  and  $\mathcal{A}$  is  $\leq_i$ -monotone.

Approximator coincides with the operator on exact pairs.

$\mathcal{A}: L^2 \rightarrow L^2$  induces  $\mathcal{A}', \mathcal{A}'': L^2 \rightarrow L$  with  $\mathcal{A}(x, y) = (\mathcal{A}'(x, y), \mathcal{A}''(x, y))$ .

## Definition

An approximator is **symmetric** iff  $\mathcal{A}'(x, y) = \mathcal{A}''(y, x)$ .

If  $\mathcal{A}$  is symmetric, then  $\mathcal{A}(x, y) = (\mathcal{A}'(x, y), \mathcal{A}'(y, x))$ , so  $\mathcal{A}'$  fully specifies  $\mathcal{A}$ .

# Approximator: Example

## Example

An argumentation framework  $F = (A, R)$  induces  $U_F$  with  $U_F(S) = A \setminus R(S)$ .  
The **canonical approximator** of  $U_F$  is

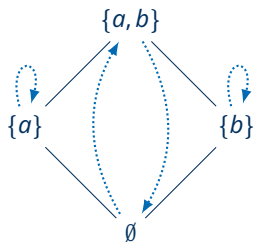
$$\mathcal{U}_F: 2^A \times 2^A \rightarrow 2^A \times 2^A, \quad (X, Y) \mapsto (U_F(Y), U_F(X))$$

In other words,  $\mathcal{U}_F$  is symmetric with  $\mathcal{A}'(X, Y) = U_F(Y)$ .

- $\mathcal{U}_F$  approximates  $U_F$ , as  $\mathcal{U}_F(X, X) = (U_F(X), U_F(X))$ .
- $\mathcal{U}_F$  is  $\leq_i$ -monotone:

$$\begin{aligned}(X_1, Y_1) \leq_i (X_2, Y_2) &\iff X_1 \subseteq X_2 \ \& \ Y_2 \subseteq Y_1 \\ &\implies U_F(X_2) \subseteq U_F(X_1) \ \& \ U_F(Y_1) \subseteq U_F(Y_2) \\ &\iff (U_F(Y_1), U_F(X_1)) \leq_i (U_F(Y_2), U_F(X_2)) \\ &\iff \mathcal{U}_F(X_1, Y_1) \leq_i \mathcal{U}_F(X_2, Y_2)\end{aligned}$$

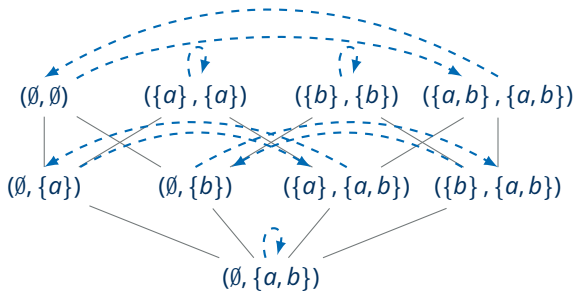
# Approximator $\mathcal{U}_F$ : Example



Original lattice  $(2^{\{a,b\}}, \subseteq)$   
 Argumentation Framework



Operator  $U_F$ :  $\cdots \rightarrow$



Bilattice  $(2^{\{a,b\}} \times 2^{\{a,b\}}, \leq_i)$

Approximator  $\mathcal{U}_F$  for  $U_F$ :  $\cdots \rightarrow$



# Quiz: Approximator $\mathcal{U}_F$

<https://tud.link/8jn6f9>



Recall:  $\mathcal{U}_F(X, Y) = (U_F(Y), U_F(X))$ , with  $U_F(S) = A \setminus R(S)$ .

Quiz

Consider the following argumentation framework: ...

# Approximator: Observations (1)

## Lemma

Let  $(L, \leq)$  be a complete lattice and  $\mathcal{A}$  an approximator on  $(L^2, \leq_i)$ .

1. If  $C$  is a non-empty chain of consistent pairs, then  $\bigvee_i C$  is consistent.
2. If  $(x, y)$  is consistent, then  $\mathcal{A}(x, y)$  is consistent.

Approximators map consistent pairs to consistent pairs.

## Proof.

1. Let  $a, b \in C$ . Since  $C$  is a chain,  $a \leq_i b$  (then  $a' \leq b' \leq b''$ ) or  $b \leq_i a$  (then  $a' \leq a'' \leq b''$ ). In any case,  $a' \leq b''$ . So every  $c'' \in C''$  is an upper bound of  $C'$ , and  $\bigvee C' \leq c''$ . Hence  $\bigvee C'$  is a lower bound of  $C''$  and  $\bigvee C' \leq \bigwedge C''$ .
2. If  $x \leq y$ , then for  $z$  with  $x \leq z \leq y$  we have  $(x, y) \leq_i (z, z)$ .  $\mathcal{A}$  is  $\leq_i$ -monotone, thus  $\mathcal{A}(x, y) \leq_i \mathcal{A}(z, z)$ .  $\mathcal{A}$  approximates some  $O$ , thus  $\mathcal{A}(z, z) = (O(z), O(z))$ . In combination  $\mathcal{A}'(x, y) \leq O(z) \leq \mathcal{A}''(x, y)$ . □

# Approximator: Observations (2)

## Theorem

Let  $(L, \leq)$  be a complete lattice with  $O : L \rightarrow L$ , and  $\mathcal{A}$  an approximator for  $O$ .

1.  $\mathcal{A}$  has a  $\leq_i$ -least fixpoint  $(x^*, y^*)$  with  $x^* \leq y^*$ .
2. Every fixpoint  $z$  of  $O$  satisfies  $x^* \leq z \leq y^*$ .

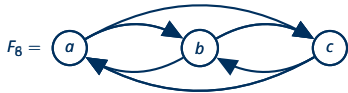
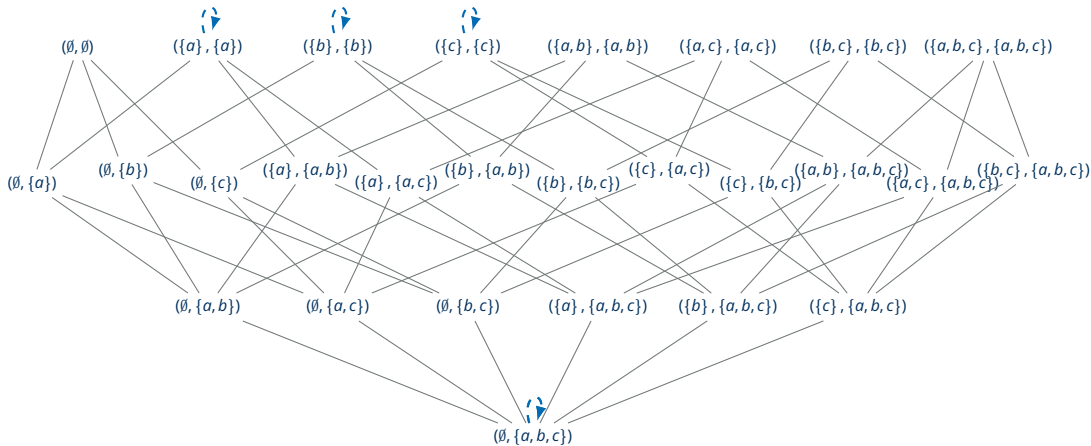
The least fixpoint of  $\mathcal{A}$  is consistent and approximates all fixpoints of  $O$ .

## Proof.

1. By Knaster/Tarski,  $\mathcal{A}$  has a  $\leq_i$ -least fixpoint  $(x^*, y^*)$ . It is also consistent: Define  $Q = \{(x, y) \in L^2 \mid x \leq y \ \& \ (x, y) \leq_i \mathcal{A}(x, y) \ \& \ (x, y) \leq_i (x^*, y^*)\}$ .  $Q$  is non-empty as  $(\perp, \top) \in Q$ . Each non-empty chain in  $Q$  has an upper bound in  $Q$ , therefore by Zorn's Lemma,  $Q$  has a maximal element,  $\rho$ . Since  $\rho$  is maximal,  $\rho \leq_i \mathcal{A}(\rho)$  directly yields  $\mathcal{A}(\rho) = \rho = (x^*, y^*)$ .
2. If  $O(z) = z$  then  $\mathcal{A}(z, z) = (O(z), O(z)) = (z, z)$  and  $(x^*, y^*) \leq_i (z, z)$ . □

Let  $\mathcal{A} \neq \mathcal{C} \subseteq \mathcal{C}$  be a chain. Define  $d = \bigvee \mathcal{C}$ . (1) By the previous lemma,  $d$  is consistent. (2) For every  $c \in \mathcal{C}$  we have  $c \leq_i d$  and thus  $c \leq_i \mathcal{A}(c)$ . Thus  $\mathcal{A}(d)$  is an upper bound of  $\mathcal{C}$ , whence  $d \leq_i \mathcal{A}(d)$ . (3) We know that  $\mathcal{C} \subseteq \mathcal{Q}$  whence  $(x^*, y^*)$  is an upper bound of  $\mathcal{C}$ , thus  $d \leq_i (x^*, y^*)$ .

# Approximator $\mathcal{U}_F$ : Examples



# Recovering Semantics

Approximator fixpoints give rise to several semantics.

## Theorem

Let  $F = (A, R)$  be an argumentation framework and  $X \subseteq Y \subseteq A$ .

- $X$  is stable for  $F$  iff  $\mathcal{U}_F(X, X) = (X, X)$ .
- $(X, Y)$  is complete for  $F$  iff  $\mathcal{U}_F(X, Y) = (X, Y)$ .
- $(X, Y)$  is grounded for  $F$  iff  $(X, Y) = \text{lfp}(\mathcal{U}_F)$ .
- $(X, Y)$  is admissible for  $F$  iff  $(X, Y) \leq_j \mathcal{U}_F(X, Y)$ .

Further semantics (e.g. preferred, ideal) via maximisation/intersection/...

So what does it buy us?

For a new formalism, we only have to define an approximator!

# Abstract Dialectical Frameworks

# Abstract Dialectical Frameworks: Syntax

**Main Idea:** Allow for more flexible specification of argument relationships.

Definition (Brewka and Woltran, 2010)

An **abstract dialectical framework** (ADF) is a triple  $D = (S, L, C)$  with

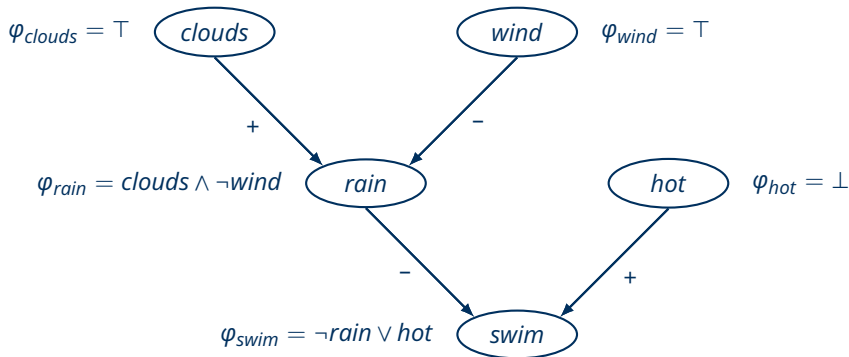
- a finite set  $S$  of **statements** (arguments),
- a set  $L \subseteq S \times S$  of **links**,  $(par(s) = \{r \in S \mid (r, s) \in L\})$
- a family  $C = \{C_s\}_{s \in S}$  of **acceptance conditions**  $C_s: 2^{par(s)} \rightarrow \{\mathbf{t}, \mathbf{f}\}$ .

A set  $M \subseteq S$  is a **model** for  $D$  iff

for all  $s \in S$ , we have  $s \in M$  iff  $C_s(M \cap par(s)) = \mathbf{t}$ .

- For  $M \subseteq par(s)$ ,  $C_s(M) = \mathbf{t}$  expresses that  $s$  can be **accepted** if all statements in  $M$  are accepted (and all statements in  $par(s) \setminus M$  are not accepted).
- An acceptance condition  $C_s$  is typically **represented** by a propositional formula  $\varphi_s$  over  $par(s)$ , with all  $M \subseteq par(s)$  satisfying  $C_s(M) = \mathbf{t}$  iff  $M \models \varphi_s$ .

# Abstract Dialectical Frameworks: Example



Single model:  $M = \{clouds, wind, swim\}$

**Bipolar:** All links are **attacking** (-) or **supporting** (+).

Link  $(r, s)$  is **attacking** iff for all  $M \subseteq par(s)$ , if  $C_S(M) = \mathbf{f}$  then  $C_S(M \cup \{r\}) = \mathbf{f}$ ;

link  $(r, s)$  is **supporting** iff for all  $M \subseteq par(s)$ , if  $C_S(M) = \mathbf{t}$  then  $C_S(M \cup \{r\}) = \mathbf{t}$ .



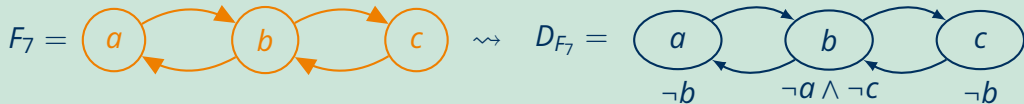
# From AFs to ADFs: Translation

## Definition

Let  $F = (A, R)$  be an argumentation framework. Define its corresponding ADF  $D_F = (S, L, C)$  by setting  $S = A$ ,  $L = R$ , and for every  $s \in S$ :

$$C_s: 2^{\text{par}(s)} \rightarrow \{\mathbf{t}, \mathbf{f}\}, \quad M \mapsto \begin{cases} \mathbf{t} & \text{if } M = \emptyset, \\ \mathbf{f} & \text{otherwise.} \end{cases}$$

## Example



## Proposition

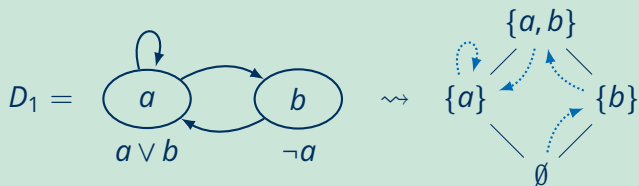
For any  $F = (A, R)$ :  $M \subseteq A$  is stable for  $F$  iff  $M$  is a model of  $D_F$ .

# ADFs: Operator

## Definition

Let  $D = (S, L, C)$  be an abstract dialectical framework. A consequence operator is given by  $G_D: 2^S \rightarrow 2^S$  with  $M \mapsto \{s \in S \mid C_s(M \cap \text{par}(s)) = \mathbf{t}\}$ .

## Example



## Proposition

Let  $D = (S, L, C)$  be an abstract dialectical framework. For any  $M \subseteq S$ :  
 $G_D(M) = M$  if and only if  $M$  is a model for  $D$ .

Quiz: <https://tud.link/djuy7e>



Recall:  $G_D(M) = \{s \in S \mid C_s(M \cap \text{par}(s)) = \mathbf{t}\}$

Quiz

Consider the following ADF: ...

# ADFs: Approximator

## Main Benefit of Approximation Fixpoint Theory

To obtain semantics for ADFs, we only need to define an approximator.

### Definition

Let  $D = (S, L, C)$  be an ADF. Define approximator  $\mathcal{G}_D: (2^S \times 2^S) \rightarrow (2^S \times 2^S)$  via

$$(X, Y) \mapsto \left( \bigcap_{X \subseteq Z \subseteq Y} G_D(Z), \bigcup_{X \subseteq Z \subseteq Y} G_D(Z) \right)$$

- $\mathcal{G}_D$  approximates  $G_D$ , as  $\mathcal{G}_D(X, X) = (G_D(X), G_D(X))$ .
- $\mathcal{G}_D$  is  $\leq_i$ -monotone:  $(X_1, Y_1) \leq_i (X_2, Y_2)$  implies  $X_1 \subseteq X_2 \subseteq Z \subseteq Y_2 \subseteq Y_1$ .
- This construction is known as **ultimate** approximation (Denecker, Marek, and Truszczyński, 2004).

# From AFs to ADFs: Defining Semantics

## Definition

Let  $D = (S, L, C)$  be an ADF. A pair  $(X, Y)$  is ...

- **admissible** iff  $(X, Y) \leq_i \mathcal{G}_D(X, Y)$ ;
- **complete** iff  $\mathcal{G}_D(X, Y) = (X, Y)$ ;
- **preferred** iff  $(X, Y)$  is  $\leq_j$ -maximal w.r.t.  $\mathcal{G}_D(X, Y) = (X, Y)$ ;
- **grounded** iff  $(X, Y) = \text{lfp}(\mathcal{G}_D)$ .

## Theorem

Let  $F = (A, R)$  be an AF and  $D_F$  its corresponding ADF, and  $X \subseteq Y \subseteq A$ .

- $(X, Y)$  is admissible for  $F$  iff  $(X, Y)$  is admissible for  $D_F$ ;
- $(X, Y)$  is complete for  $F$  iff  $(X, Y)$  is complete for  $D_F$ ;
- $(X, Y)$  is grounded for  $F$  iff  $(X, Y)$  is grounded for  $D_F$ ;
- $(X, X)$  is stable for  $F$  iff  $X$  is a model of  $D_F$ .

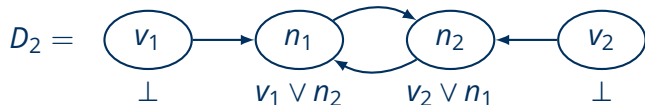
# Towards Stable Model Semantics

Consider this simplified model of a fuel system for an aircraft:

Node  $n_1$  is pressurised by valve  $v_1$  or node  $n_2$ ; symmetrically for node  $n_2$ .



We can model the behaviour of this system as an ADF as follows:



What are the models of  $D_2$ ?

There are two models.

Is this desired?

# Stable Operators

# Stable Operator: Intuition

The Gelfond-Lifschitz Reduct of a logic program  $P$  ...

- ... starts out with a two-valued interpretation  $M \subseteq S$ ;
  - ... removes all rules requiring some  $a \in M$  to be false;
  - ... assumes all  $a \notin M$  to be false in the remaining rules.
- 
- To obtain ADF reduct  $D^M$ , assume all and only atoms  $a \notin M$  to be **false**.
  - Using  $D^M$ , try to constructively prove all and only atoms  $a \in M$  to be **true**.
  - Try to ensure that  $G_{D^M}$  is a  $\subseteq$ -monotone operator on  $(2^S, \subseteq)$ .

Expressing the Reduct via an Operator

- For pair  $(X, Y)$ , an  $a \in S$  is **true** iff  $a \in X$ ; atom  $a$  is **false** iff  $a \notin Y$ .
- Use  $\mathcal{G}_{D'}$  to reconstruct what is true, fixing the upper bound to  $M$ :

$$\mathcal{G}_{D'}(\cdot, M): 2^A \rightarrow 2^A, \quad X \mapsto \mathcal{G}_{D'}(X, M)$$



# Stable Operator: Preparation

## Proposition

Let  $(L, \leq)$  be a complete lattice and  $\mathcal{A}$  be an approximator on  $(L^2, \leq_i)$ . For every pair  $(x, y) \in L^2$ , the following operators are  $\leq$ -monotone:

$$\mathcal{A}'(\cdot, y): L \rightarrow L, \quad z \mapsto \mathcal{A}'(z, y) \quad \text{and} \quad \mathcal{A}''(x, \cdot): L \rightarrow L, \quad z \mapsto \mathcal{A}''(x, z)$$

## Proof.

1. Let  $x_1 \leq x_2$  and  $y \in L$ .  
Then  $(x_1, y) \leq_i (x_2, y)$  and  $\mathcal{A}(x_1, y) \leq_i \mathcal{A}(x_2, y)$ , thus  $\mathcal{A}'(x_1, y) \leq \mathcal{A}'(x_2, y)$ .
2. Let  $x \in L$  and  $y_1 \leq y_2$ .  
Then  $(x, y_2) \leq_i (x, y_1)$  and  $\mathcal{A}(x, y_2) \leq_i \mathcal{A}(x, y_1)$ , thus  $\mathcal{A}''(x, y_1) \leq \mathcal{A}''(x, y_2)$ . □

- $\mathcal{A}'(\cdot, y)$  has a  $\leq$ -least fixpoint, denoted  $\text{lfp}(\mathcal{A}'(\cdot, y))$ ;
- $\mathcal{A}''(x, \cdot)$  has a  $\leq$ -least fixpoint, denoted  $\text{lfp}(\mathcal{A}''(x, \cdot))$ .

# Stable Operator: Definition

## Definition

Let  $(L, \leq)$  be a complete lattice and  $\mathcal{A}$  be an approximator on  $(L^2, \leq_i)$ . The **stable approximator** for  $\mathcal{A}$  is given by  $\mathcal{S}\mathcal{A}: L^2 \rightarrow L^2$  with

$$\begin{aligned}\mathcal{S}\mathcal{A}': L^2 &\rightarrow L, & (x, y) &\mapsto \text{lfp}(\mathcal{A}'(\cdot, y)) \\ \mathcal{S}\mathcal{A}'': L^2 &\rightarrow L, & (x, y) &\mapsto \text{lfp}(\mathcal{A}''(x, \cdot))\end{aligned}$$

- $\mathcal{S}\mathcal{A}'$ : improve lower bound for all fixpoints of  $\mathcal{O}$  at or below upper bound;
- $\mathcal{S}\mathcal{A}''$ : obtain tightmost new upper bound (eliminate non-minimal fixpoints).

## Proposition

Let  $(x, y)$  be a postfixpoint of approximator  $\mathcal{A}$ . Then

$$a \in [\perp, y] \text{ implies } \mathcal{A}'(a, y) \in [\perp, y] \quad \text{and} \quad b \in [x, \top] \text{ implies } \mathcal{A}''(x, b) \in [x, \top].$$

In particular,  $\text{lfp}(\mathcal{A}'(\cdot, y)) \leq y$  and  $x \leq \text{lfp}(\mathcal{A}''(x, \cdot))$ .

# Stable Operator: Observations

## Theorem

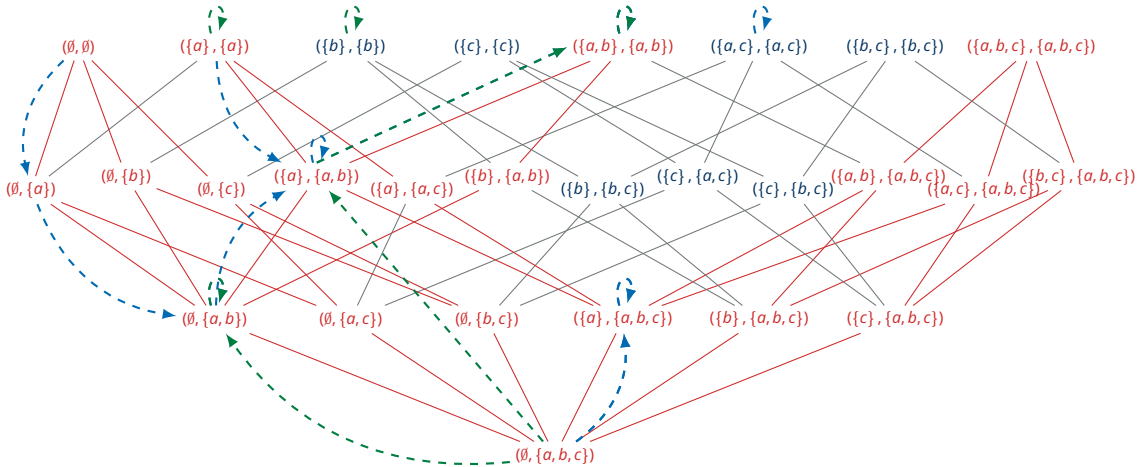
Let  $(L, \leq)$  be a complete lattice and  $\mathcal{A}$  be an approximator on  $(L^2, \leq_i)$ .

1.  $\mathcal{S}\mathcal{A}$  is  $\leq_i$ -monotone.
2. If  $(x, y)$  is a consistent postfixpoint of  $\mathcal{A}$ , then  $\mathcal{S}\mathcal{A}(x, y)$  is consistent.

## Proof.

1. Let  $(u, v) \leq_i (x, y)$ . Now  $y \leq v$  implies  $\mathcal{A}'(z, v) \leq \mathcal{A}'(z, y)$  for all  $z \in L$  since  $\mathcal{A}$  is  $\leq_i$ -monotone. In particular, for  $z^* = \text{lfp}(\mathcal{A}'(\cdot, y))$ ,  $\mathcal{A}'(z^*, v) \leq \mathcal{A}'(z^*, y) = z^*$  whence  $z^*$  is a prefixpoint of  $\mathcal{A}'(\cdot, v)$ . Thus  $\text{lfp}(\mathcal{A}'(\cdot, v)) \leq z^* = \text{lfp}(\mathcal{A}'(\cdot, y))$ . In combination,  $\mathcal{S}\mathcal{A}'(u, v) = \text{lfp}(\mathcal{A}'(\cdot, v)) \leq \text{lfp}(\mathcal{A}'(\cdot, y)) = \mathcal{S}\mathcal{A}'(x, y)$ .  $\mathcal{S}\mathcal{A}''$ : dual.
2. Let  $x \leq y$  with  $(x, y) \leq_i \mathcal{A}(x, y)$ . For every  $z \in L$  with  $x \leq z \leq y$ , we have  $\mathcal{S}\mathcal{A}'(x, y) \leq \mathcal{S}\mathcal{A}'(z, z) = \text{lfp}(\mathcal{A}'(\cdot, z)) \leq z \leq \text{lfp}(\mathcal{A}''(z, \cdot)) = \mathcal{S}\mathcal{A}''(z, z) \leq \mathcal{S}\mathcal{A}''(x, y)$ .  $\square$

# Stable Operator $\mathcal{S}\mathcal{G}_D$ : Example



$$D_a: \quad \varphi_a = \top, \varphi_b = \top, \varphi_c = \top, \varphi_{\emptyset} = \perp$$

$$\mathcal{S}\mathcal{G}_D(\varphi) = \{ \emptyset, \{a\}, \{a, b\}, \{a, b, c\} \}$$

# Stable Semantics: Definition via Operators

## Definition

Let  $(L, \leq)$  be a complete lattice,  $O: L \rightarrow L$  be an operator.

Let  $\mathcal{A}: L^2 \rightarrow L^2$  be an approximator of  $O$  in  $(L^2, \leq_i)$ . A pair  $(x, y) \in L^2$  is

- a **two-valued stable model** of  $\mathcal{A}$  iff  $x = y$  and  $\mathcal{S}\mathcal{A}(x, y) = (x, y)$ ;
- a **three-valued stable model** of  $\mathcal{A}$  iff  $x \leq y$  and  $\mathcal{S}\mathcal{A}(x, y) = (x, y)$ ;
- the **well-founded model** of  $\mathcal{A}$  iff it is the least fixpoint of  $\mathcal{S}\mathcal{A}$ .

The names are inspired by notions from logic programming.

## Theorem

1.  $\text{lfp}(\mathcal{A}) \leq_i \text{lfp}(\mathcal{S}\mathcal{A})$ ;
2.  $\mathcal{S}\mathcal{A}(x, y) = (x, y)$  implies  $\mathcal{A}(x, y) = (x, y)$ ;
3. if  $\mathcal{S}\mathcal{A}(x, x) = (x, x)$  then  $x$  is a  $\leq$ -minimal fixpoint of  $O$ ;

# Reprise: How to Find an Approximator?

## Definition

Let  $O: L \rightarrow L$  be an operator in a complete lattice  $(L, \leq)$ .

Define the **ultimate approximator of  $O$**  as follows:

$$\mathcal{X}_O: L^2 \rightarrow L^2, \quad (x, y) \mapsto \left( \bigwedge \{O(z) \mid x \leq z \leq y\}, \bigvee \{O(z) \mid x \leq z \leq y\} \right)$$

Intuition: Consider glb and lub of applying  $O$  pointwise to given interval.

## Theorem

For every approximator  $\mathcal{A}$  of  $O$  and consistent pair  $(x, y) \in L^2$ , we find

$$\mathcal{A}(x, y) \leq_i \mathcal{X}_O(x, y)$$

Ultimate approximator is most precise approximator possible.

Used e.g. for standard semantics of ADFs (Brewka et al., 2013).

# Conclusion

# Conclusion

## Summary

- Operators in complete lattices can be used to define semantics of KR formalisms.
- Approximation fixpoint theory provides a general account of operator-based semantics.
- Stable approximator reconstructs well-founded and stable model semantics of logic programming.
- To define semantics for new formalisms, only an approximator needs to be defined, AFT does the rest.
- With ultimate approximation, only a consequence operator needs to be defined.



# Outlook

## What else can Approximation Fixpoint Theory do for Argumentation?

### Open Topics

AFT could be used to analyse/define/compare semantics of ...

- ... argumentation frameworks with set attacks;
- ... argumentation frameworks with supports/necessities;
- ... gradual and probabilistic argumentation;
- ... assumption-based argumentation;
- ... the formalism you are interested in?

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