

DATABASE THEORY

Lecture 5: Complexity of FO Query Answering (II)

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TU Dresden, 22 April 2025

More recent versions of this slide deck might be available. For the most current version of this course, see https://iccl.inf.tu-dresden.de/web/Database_Theory/@

Review: Query Complexity

Query answering as decision problem

→ consider Boolean queries

Various notions of complexity:

- Combined complexity (complexity w.r.t. size of guery and database instance)
- Data complexity (worst case complexity for any fixed query)
- Query complexity (worst case complexity for any fixed database instance)

Various common complexity classes:

$$L \subseteq NL \subseteq P \subseteq NP \subseteq PSpace \subseteq ExpTime$$

Review: FO Combined Complexity

Theorem 4.1 The evaluation of FO queries is PSpace-complete with respect to combined complexity.

We have actually shown something stronger:

Theorem 4.2 The evaluation of FO queries is PSpace-complete with respect to query complexity.

This also holds true when restricting to domain-independent queries.

Data Complexity of FO Query Answering

The algorithm showed that FO query evaluation is in L → can we do any better?

What could be better than L?

$$? \subseteq L \subseteq NL \subseteq P \subseteq ...$$

→ we need to define circuit complexities first

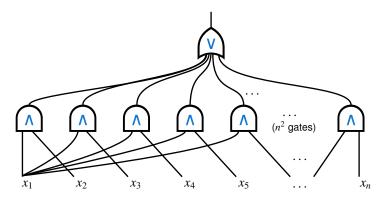
Boolean Circuits

Definition 5.1: A Boolean circuit is a finite, directed, acyclic graph where

- each node that has no predecessors is an input node
- each node that is not an input node is one of the following types of logical gate: AND, OR, NOT
- one or more nodes are designated output nodes
- → we will only consider Boolean circuits with exactly one output
- ightarrow propositional logic formulae are Boolean circuits with one output and gates of fanout ≤ 1

Example

A Boolean circuit over an input string $x_1x_2...x_n$ of length n

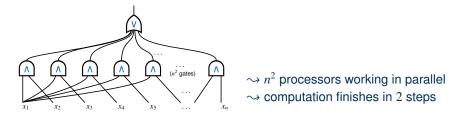


Corresponds to formula $(x_1 \land x_2) \lor (x_1 \land x_3) \lor ... \lor (x_{n-1} \land x_n)$

 \rightarrow accepts all strings with at least two 1s

Circuits as a Model for Parallel Computation

Previous example:



- size: number of gates = total number of computing steps
- depth: longest path of gates = time for parallel computation

→ circuits as a refinement of polynomial time that takes parallelizability into account

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Solving Problems With Circuits

Observation: the input size is "hard-wired" in circuits

- → each circuit only has a finite number of different inputs
- → not a computationally interesting problem

How can we solve interesting problems with Boolean circuits?

Definition 5.2: A uniform family of Boolean circuits is a set of circuits C_n $(n \ge 0)$ that can easily a be computed from n.

A language $\mathcal{L} \subseteq \{0,1\}^*$ is decided by a uniform family $(C_n)_{n\geq 0}$ of Boolean circuits if for each word w of length |w|:

$$w \in \mathcal{L}$$
 if and only if $C_{|w|}(w) = 1$

^aWe don't discuss the details here; see course Complexity Theory.

Measuring Complexity with Boolean Circuits

How to measure the computing power of Boolean circuits?

Relevant metrics:

- size of the circuit: overall number of gates (as function of input size)
- depth of the circuit: longest path of gates (as function of input size)
- fan in: two inputs per gate or any number of inputs per gate?

Important classes of circuits: small-depth circuits

Definition 5.3: $(C_n)_{n\geq 0}$ is a family of small-depth circuits if

- the size of C_n is polynomial in n,
- the depth of C_n is poly-logarithmic in n, that is, $O(\log^k n)$.

The Complexity Classes NC and AC

Two important types of small-depth circuits:

Definition 5.4: NC^k is the class of problems that can be solved by uniform families of circuits $(C_n)_{n\geq 0}$ of fan-in ≤ 2 , size polynomial in n, and depth in $O(\log^k n)$.

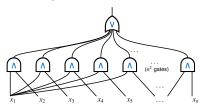
The class NC is defined as NC = $\bigcup_{k>0}$ NC^k.

("Nick's Class" named after Nicholas Pippenger by Stephen Cook)

Definition 5.5: AC^k and AC are defined like NC^k and NC, respectively, but for circuits with arbitrary fan-in.

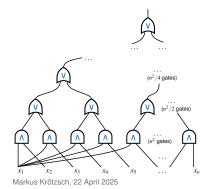
(A is for "Alternating": AND-OR gates alternate in such circuits)

Example



family of polynomial size, constant depth, arbitrary fan-in circuits \sim in AC^0

We can eliminate arbitrary fan-ins by using more layers of gates:



family of polynomial size, logarithmic depth, bounded fan-in circuits
→ in NC¹

Relationships of Circuit Complexity Classes

The previous sketch can be generalised:

$$NC^0 \subseteq AC^0 \subseteq NC^1 \subseteq AC^1 \subseteq ... \subseteq AC^k \subseteq NC^{k+1} \subseteq ...$$

Only few inclusions are known to be proper: $\text{NC}^0 \subset \text{AC}^0 \subset \text{NC}^1$

Direct consequence of above hierarchy: NC = AC

Interesting relations to other classes:

$$NC^0 \subset AC^0 \subset NC^1 \subseteq L \subseteq NL \subseteq AC^1 \subseteq ... \subseteq NC \subseteq P$$

Intuition:

- Problems in NC are parallelisable (known from definition)
- Problems in P \ NC are inherently sequential (educated guess)

However: it is not known if NC ≠ P

Back to Databases ...

Theorem 5.6: The evaluation of FO queries is complete for (logtime uniform) AC⁰ with respect to data complexity.

Proof:

- Membership: For a fixed Boolean FO query, provide a uniform construction for a small-depth circuit based on the size of a database
- Hardness: Show that circuits can be transformed into Boolean FO queries in logarithmic time (not on a standard TM ... not in this lecture)

From Query to Circuit

Assumptions:

- query and database schema is fixed
- database instance (and thus active domain) are variable

Construct circuit uniformly based on size of active domain

Sketch of construction:

- one input node for each possible database tuple (over given schema and active domain)
 → true or false depending on whether tuple is present or not
- Recursively, for each subformula, introduce a gate for each possible tuple (instantiation) of this formula
 - → true or false depending on whether the subformula holds for this tuple or not
- Logical operators correspond to gate types: basic operators obvious, ∀ as generalised conjunction, ∃ as generalised disjunction
- subformula with n free variables → |adom|ⁿ gates
 → especially: |adom|⁰ = 1 output gate for Boolean guery

Example

We consider the formula

$$\exists z.(\exists x.\exists y.R(x,y) \land S(y,z)) \land \neg R(a,z)$$

Over the database instance:

ç.

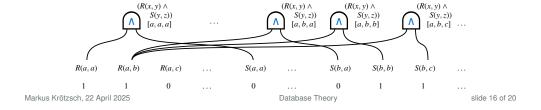
R:

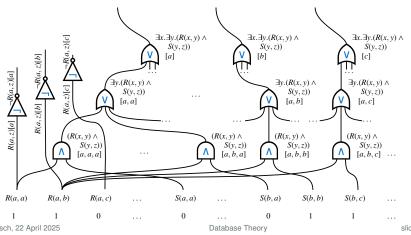
a

a	
b	

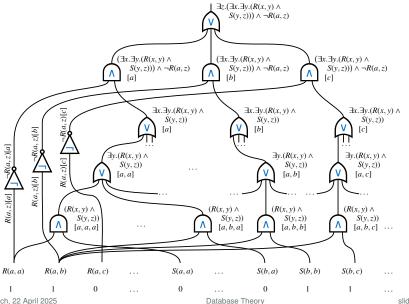
٥.				
b	b			
b	c			

Active domain: $\{a, b, c\}$

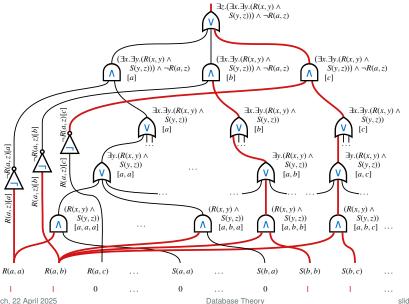




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Summary and Outlook

The evaluation of FO queries is

- PSpace-complete for combined complexity
- PSpace-complete for query complexity
- AC⁰-complete for data complexity

Circuit complexities help to identify highly parallelisable problems in P

Open questions:

- Are there query languages with lower complexities? (next lecture)
- Which other computing problems are interesting?
- How can we study the expressiveness of query languages?