



COMPLEXITY THEORY

Lecture 2: Turing Machines and Languages

Stephan Mennicke Knowledge-Based Systems

TU Dresden, 14 October 2025

For the most current version of this course, see https://iccl.inf.tu-dresden.de/web/Complexity_Theory,

Looking for Project or Thesis Topics?

On **Thursday**, **16 Oct 2025 at 2.50pm in APB 3027** we will present possible topics to conduct in the **Knowledge-Based Systems** group as a **study project** (many suitable modules) or **final thesis** (BSc, MSc, Diploma).

Not only theoretical topics but also implementation work.

We also have student job opportunities (SHK/WHK).

You are especially welcome if you are eager to work with Rust or LEAN:)

See also: https://iccl.inf.tu-dresden.de/web/Projekte_und_ Studienarbeiten_Wissensbasierte_Systeme/en

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Example 2.1 (Hilbert's Tenth Problem):

"Given a Diophantine equation with any number of unknown quantities and with rational integral numerical coefficients: To devise a process according to which it can be determined in a finite number of operations whether the equation is solvable in rational integers." (→ Wikipedia)

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How can we model the notion of an algorithm?

Answer

With Turing machines.

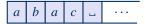
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Definition 2.2: A (deterministic) Turing Machine $\mathcal{M} = \langle Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}} \rangle$ consists of

- a finite set Q of states,
- an input alphabet Σ not containing \square ,
- a tape alphabet Γ such that $\Gamma \supseteq \Sigma \cup \{ \bot \}$.
- a transition function $\delta: Q \times \Gamma \to Q \times \Gamma \times \{L, R\}$
- an initial state $q_0 \in Q$,
- an accepting state $q_{\text{accept}} \in Q$, and
- a rejecting state $q_{\text{reject}} \in Q$ such that $q_{\text{accept}} \neq q_{\text{reject}}$.





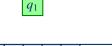
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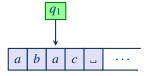
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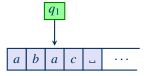




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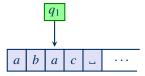


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- The head of the machine is at exactly one position of the tape
- The head can read only one symbol at a time

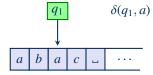
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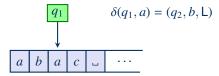
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• The head will stay put when attempting to cross the left tape end

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Configurations

Observation: to describe the current step of a computation of a TM it is enough to know

- the content of the tape,
- the current state, and
- the position of the head

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Some special configurations:

- The **start configuration** for some input word $w \in \Sigma^*$ is the configuration q_0w
- A configuration uqv is **accepting** if $q = q_{accept}$.
- A configuration uqv is **rejecting** if $q = q_{\text{reject}}$.

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Computation

We write

- $C \vdash_{\mathcal{M}} C'$ only if C' can be reached from C by one computation step of \mathcal{M} ;
- $C \vdash_{\mathcal{M}}^* C'$ only if C' can be reached from C in a finite number of computation steps of \mathcal{M} .

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We say that M halts on input w if and only if there is a finite sequence of configurations

$$C_0 \vdash_{\mathcal{M}} C_1 \vdash_{\mathcal{M}} \cdots \vdash_{\mathcal{M}} C_\ell$$

such that C_0 is the start configuration of \mathcal{M} on input w and C_ℓ is an accepting or rejecting configuration. Otherwise \mathcal{M} **loops** on input w.

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We say that \mathcal{M} accepts the input w only if \mathcal{M} halts on input w with an accepting configuration.

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Recognisability and Decidability

Definition 2.5: Let $\mathcal M$ be a Turing machine with input alphabet Σ . The language accepted by $\mathcal M$ is the set

$$\mathbf{L}(\mathcal{M}) := \{ w \in \Sigma^* \mid \mathcal{M} \text{ accepts } w \}.$$

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A language $\mathbf{L} \subseteq \Sigma^*$ is called Turing-recognisable (recursively enumerable) if and only if there exists a Turing machine \mathcal{M} with input alphabet Σ such that $\mathbf{L} = \mathbf{L}(\mathcal{M})$. In this case we say that \mathcal{M} recognises \mathbf{L} .

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A language $\mathbf{L} \subseteq \Sigma^*$ is called Turing-decidable (decidable, recursive) if and only if there exists a Turing machine \mathcal{M} such that $\mathbf{L} = \mathbf{L}(\mathcal{M})$ and \mathcal{M} halts on every input. In this case we say that \mathcal{M} decides \mathbf{L} .

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Example

Claim 2.6: The language $L := \{a^{2^n} \mid n \ge 0\}$ is decidable.

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Proof: A Turing machine ${\mathcal M}$ that decides ${\mathbf L}$ is

 $\mathcal{M} := \text{On input } w, \text{ where } w \text{ is a string}$

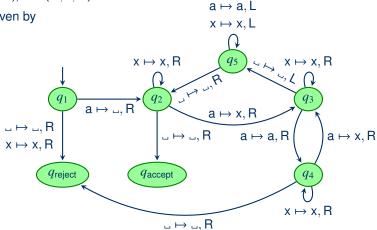
- Go from left to right over the tape and cross off every other a
- If in the first step the tape contained a single a, accept
- If in the first step the number of a's on the tape was odd, reject
- Return the head the beginning of the tape
- Go to the first step

Example (cont'd)

Formally, $\mathcal{M} = (Q, \Sigma, \Gamma, \delta, q_1, q_{\text{accept}}, q_{\text{reject}})$, where

- $Q = \{q_1, q_2, q_3, q_4, q_5, q_{\text{accept}}, q_{\text{reject}}\}$
- $\Sigma = \{a\}, \Gamma = \{a, x, \bot\}$

and δ is given by



Problems as Languages

Observation

- Languages can be used to model computational problems.
- For this, a suitable **encoding** is necessary
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Example 2.7 (Graph-Connectedness): The question whether a graph is connected or not can be seen as the **word problem** of the following language

GCONN := { $\langle G \rangle \mid G \text{ is a connected graph } \}$,

where $\langle G \rangle$ is (for example) the adjacency matrix encoded in binary.

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Notation 2.8: The encoding of objects O_1, \ldots, O_n we denote by $\langle O_1, \ldots, O_n \rangle$.

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The Church-Turing Thesis

It turns out that Turing-machines are **equivalent** to a number of formalisations of the intuitive notion of an **algorithm**

- λ-calculus
- while-programs
- μ -recursive functions
- Random-Access Machines
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Because of this it is believed that Turing-machines completely capture the intuitive notion of an algorithm. \sim **Church-Turing Thesis**:

"A function on the natural numbers is intuitively computable if and only if it can be computed by a Turing machine."

(→ Wikipedia: Church-Turing Thesis)

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Variations of Turing-Machines

It has also been shown that deterministic, single-tape Turing machines are equivalent to a wide range of other forms of Turing machines:

- Multi-tape Turing machines
- Nondeterministic Turing machines
- Turing machines with doubly-infinite tape
- Multi-head Turing machines
- Two-dimensional Turing machines
- Write-once Turing machines
- Two-stack machines
- Two-counter machines
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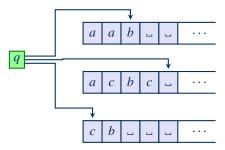
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Definition 2.9: Let $k \in \mathbb{N} \setminus \{0\}$. Then a (deterministic) k-tape Turing machine is a tuple $\mathcal{M} = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$, where

- Q, Σ , Γ , q_0 , q_{accept} , q_{reject} are as for TMs
- δ is a transition function for k tapes, i.e.,

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The notions of a **configuration** and of the **language accepted by** \mathcal{M} are defined analogously to the single-tape case.

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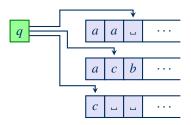
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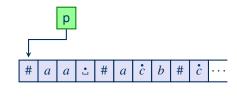
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Approach

Change transition function from

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A nondeterministic TM \mathcal{M} accepts an input w if and only if there exists some accepting computation of \mathcal{M} on input w.

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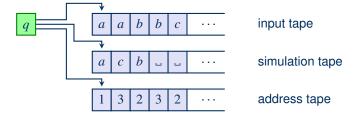
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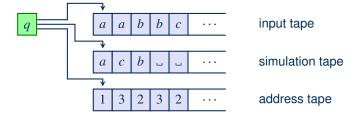
Idea

- *D* deterministically traverses in breadth-first order the tree of configuration of *N*, where each branch represents a different possibility for *N* to continue.
- For this, successively try out all possible choices of transitions allowed by N.

Sketch of *D*:



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Let b be the maximal number of choices in δ , i.e.,

$$b := \max\{ |\delta(q, x)| \mid q \in Q, x \in \Gamma \}.$$

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- (4) Simulate one finite computation of N on w on the simulation tape.

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 - If a choice is invalid, abort simulation.

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- (1) Start: input tape contains input w, simulation and address tape empty
- (2) Initialise the address tape with 0.
- (3) Copy w to the simulation tape.
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Nondeterministic Turing Machines

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Definition 2.12: A multi-tape Turing machine $\mathcal M$ is an enumerator if

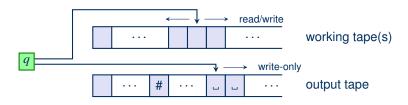
- *M* has a designated write-only output-tape on which a symbol, once written, can never be changed and where the head can never move left;
- \mathcal{M} has a marker symbol # separating words on the output tape.

We define the language generated by \mathcal{M} to be the set $\mathbf{G}(\mathcal{M})$ of all words that eventually appear between two consecutive # on the output tape of \mathcal{M} when started on the empty word as input.

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Proof: Let \mathcal{E} be an enumerator for **L**. Then the following TM accepts **L**:

 $\mathcal{M} := \mathsf{On} \; \mathsf{input} \; w$

- Simulate $\mathcal E$ on the empty input. Compare every string output by $\mathcal E$ with w
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- Print the first # to initialise the output.
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Theorem 2.14: If **L** is Turing-recognisable, then there exists an enumerator for **L** that prints each word of **L** exactly once.

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Theorem 2.15: A language L is decidable if and only if there exists an enumerator for L that outputs exactly the words of L in some order of non-decreasing length.

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Then ${\mathcal E}$ enumerates exactly the words of ${\bf L}$ in some order of non-decreasing length.

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Stephan Mennicke; 14 October 2025

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- If **L** is infinite, then we define a decider \mathcal{M} for it as follows.

Stephan Mennicke; 14 October 2025 Complexity Theory

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Summary and Outlook

Turing Machines are a simple model of computation

Recognisable (semi-decidable) = recursively enumerable

Decidable = computable = recursive

Many variants of TMs exist – they normally recognise/decide the same languages

What's next?

- A short look into undecidability
- Recursion and self-referentiality
- Actual complexity classes