

# Concurrency Theory

## Lecture 8: Bisimilarity and Testing

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## Recap: CCS

$\mathcal{N} = \{a, b, c, \dots\}$  ... set of names ( $\tau \notin \mathcal{N}$ )

$\overline{\mathcal{N}} = \{\bar{\alpha} \mid \alpha \in \mathcal{N}\}$  ... set of conames

$Act = \mathcal{N} \cup \overline{\mathcal{N}} \cup \{\tau\}$  (note, there is no  $\bar{\tau}$  and for  $\alpha \in Act \setminus \{\tau\}$ ,  $\bar{\bar{\alpha}} = \alpha$ )

The set of (CCS) processes  $Pr$  is defined by

$$P ::= \mathbf{0} \mid \mu.P \mid P + P \mid P \mid P \mid (\nu a)(P) \mid K$$

where  $\mu \in Act$ ,  $a \in \mathcal{N}$ , and  $K \in \mathcal{K}$ .

Define the language CCS parameterized over  $Act$ ,  $\mathcal{K}$ , and  $\mathcal{T}_{\mathcal{K}} \subseteq \mathcal{K} \times Act \times Pr$ .

$$CCS(Act, \mathcal{K}, \mathcal{T}_{\mathcal{K}})$$

## Recap: SOS of CCS

CCS( $Act, \mathcal{K}, \mathcal{T}_{\mathcal{K}}$ ) specifies an LTS  $(Pr, Act, \rightarrow \cup \mathcal{T}_{\mathcal{K}})$  where  $\rightarrow \subseteq (Pr \setminus \mathcal{K}) \times Act \times Pr$  is the smallest relation satisfying the following rules:

$$\text{(Pref)} \frac{}{\mu.P \xrightarrow{\mu} P}$$

$$\text{(SumL)} \frac{P \xrightarrow{\mu} P'}{P + Q \xrightarrow{\mu} P'}$$

$$\text{(SumR)} \frac{Q \xrightarrow{\mu} Q'}{P + Q \xrightarrow{\mu} Q'}$$

$$\text{(ParL)} \frac{P \xrightarrow{\mu} P'}{P | Q \xrightarrow{\mu} P' | Q}$$

$$\text{(ParR)} \frac{Q \xrightarrow{\mu} Q'}{P | Q \xrightarrow{\mu} P | Q'}$$

$$\text{(Com)} \frac{P \xrightarrow{\mu} P' \quad Q \xrightarrow{\bar{\mu}} Q'}{P | Q \xrightarrow{\tau} P' | Q'}$$

$$\text{(Res)} \frac{P \xrightarrow{\mu} P'}{(\nu a)(P) \xrightarrow{\mu} (\nu a)(P')} \quad \text{if } a \notin \{\mu, \bar{\mu}\}$$

## What about Interaction? Testing (1/2)

- Two processes are *equivalent* if no *experiment* distinguishes them
- Experiment = test, a pattern of demands on the process
- Observer reports about *success* or *failure* of the test, depending on the process behavior
- Our goal: set up a testing scenario such that the distinguishing power of tests is exactly that of bisimilarity

## What about Interaction? Testing (2/2)

- As before, we consider a single LTS  $(Pr, Act, \rightarrow)$ .
- Additionally, we'll assume image-finiteness for the transition system.
- Tests are objects  $T$  that are performed on a process as a form of experiment.
- We use  $\top$  to indicate success and  $\perp$  for lack of success.
- Because of nondeterminism, different runs may produce different results.
- For tests  $T$  and processes  $P$  we, thus, look at observations

$$\mathcal{O}(T, P) \subseteq \{\top, \perp\}$$

- Two processes  $P$  and  $Q$  are *behaviorally equivalent* iff  $\mathcal{O}(T, P) = \mathcal{O}(T, Q)$  for all tests  $T$ .

## Testing: Syntax and Semantics

A test  $T$  is an expression of the following grammar:

$$T ::= \text{SUCC} \mid \text{FAIL} \mid \mu.T \mid \tilde{\mu}.T \mid T \wedge T \mid T \vee T \mid \forall T \mid \exists T$$

For an arbitrary process  $P$  and test  $T$ , define the observations admitted by  $P$  through  $T$  as:

$$\mathcal{O}(\text{SUCC}, P) = \{\top\}$$

$$\mathcal{O}(\text{FAIL}, P) = \{\perp\}$$

$$\mathcal{O}(\mu.T, P) = \begin{cases} \{\perp\} & \text{if } P \not\rightarrow \\ \cup\{\mathcal{O}(T, P') \mid P \xrightarrow{\mu} P'\} & \text{otherwise.} \end{cases}$$

$$\mathcal{O}(\tilde{\mu}.T, P) = \begin{cases} \{\top\} & \text{if } P \not\rightarrow \\ \cup\{\mathcal{O}(T, P') \mid P \xrightarrow{\mu} P'\} & \text{otherwise.} \end{cases}$$

$$\mathcal{O}(T_1 \wedge T_2, P) = \mathcal{O}(T_1, P) \wedge^* \mathcal{O}(T_2, P)$$

$$\mathcal{O}(T_1 \vee T_2, P) = \mathcal{O}(T_1, P) \vee^* \mathcal{O}(T_2, P)$$

Concurrency Theory – Testing for Bisimilarity

## Testing: Syntax and Semantics

$$T ::= \text{SUCC} \mid \text{FAIL} \mid a.T \mid \tilde{a}.T \mid T \wedge T \mid T \vee T \mid \forall T \mid \exists T$$

$$\mathcal{O}(\text{SUCC}, P) = \{\top\}$$

$$\mathcal{O}(\text{FAIL}, P) = \{\perp\}$$

$$\mathcal{O}(a.T, P) = \begin{cases} \{\perp\} & \text{if } P \not\stackrel{a}{\rightarrow} \\ \bigcup \{\mathcal{O}(T, P') \mid P \stackrel{a}{\rightarrow} P'\} & \text{otherwise.} \end{cases}$$

$$\mathcal{O}(\tilde{a}.T, P) = \begin{cases} \{\top\} & \text{if } P \not\stackrel{a}{\rightarrow} \\ \bigcup \{\mathcal{O}(T, P') \mid P \stackrel{a}{\rightarrow} P'\} & \text{otherwise.} \end{cases}$$

$$\mathcal{O}(T_1 \wedge T_2, P) = \mathcal{O}(T_1, P) \wedge^* \mathcal{O}(T_2, P)$$

$$\mathcal{O}(T_1 \vee T_2, P) = \mathcal{O}(T_1, P) \vee^* \mathcal{O}(T_2, P)$$

$$\mathcal{O}(\forall T, P) = \begin{cases} \{\perp\} & \text{if } \perp \in \mathcal{O}(T, P) \\ \{\top\} & \text{otherwise} \end{cases}$$

$$\mathcal{O}(\exists T, P) = \begin{cases} \{\top\} & \text{if } \top \in \mathcal{O}(T, P) \\ \{\perp\} & \text{otherwise} \end{cases}$$

## Properties of Tests and Observation (1/)

### Theorem 1

Every test  $T$  has an inverse test  $\overline{T}$ , such that for all processes  $P$ ,

1.  $\perp \in \mathcal{O}(T, P)$  if, and only if,  $\top \in \mathcal{O}(\overline{T}, P)$  and
2.  $\top \in \mathcal{O}(T, P)$  if, and only if,  $\perp \in \mathcal{O}(\overline{T}, P)$ .

**Proof (of 1):** Define  $\overline{T}$  by

$$\begin{array}{ll} \overline{\text{SUCC}} &= \text{FAIL} & \overline{\text{FAIL}} &= \text{SUCC} \\ \overline{a.T'} &= \tilde{a}.\overline{T'} & \overline{\tilde{a}.T'} &= a.\overline{T'} \\ \overline{T_1 \wedge T_2} &= \overline{T_1} \vee \overline{T_2} & \overline{T_1 \vee T_2} &= \overline{T_1} \wedge \overline{T_2} \\ \overline{\exists T'} &= \forall \overline{T'} & \overline{\forall T'} &= \exists \overline{T'} \end{array}$$

Proof by induction on the structure of  $T$ . Let  $P$  be a process.

**Base:**  $T = \text{FAIL}$ . Then  $\mathcal{O}(T, P) = \{\perp\}$  and  $\mathcal{O}(\overline{T}, P) = \mathcal{O}(\text{SUCC}, P) = \{\top\}$ .



## Properties of Tests and Observations (2/)

$$\begin{array}{ll} \overline{\text{SUCC}} = \text{FAIL} & \overline{\text{FAIL}} = \text{SUCC} \\ \overline{a.T'} = \tilde{a}.\overline{T'} & \overline{\tilde{a}.T'} = a.\overline{T'} \\ \overline{T_1 \wedge T_2} = \overline{T_1} \vee \overline{T_2} & \overline{T_1 \vee T_2} = \overline{T_1} \wedge \overline{T_2} \\ \overline{\exists T'} = \forall \overline{T'} & \overline{\forall T'} = \exists \overline{T'} \end{array}$$

**Step:** By case distinction.

- $T = T_1 \wedge T_2$ :  $\perp \in \mathcal{O}(T, P)$  iff  $\perp \in \mathcal{O}(T_1, P)$  or  $\perp \in \mathcal{O}(T_2, P)$   
iff(IH)  $\top \in \mathcal{O}(\overline{T_1}, P)$  or  $\top \in \mathcal{O}(\overline{T_2}, P)$  iff  $\top \in \mathcal{O}(\overline{T_1} \vee \overline{T_2}, P)$  iff  
 $\top \in \mathcal{O}(\overline{T}, P)$
- $T = T_1 \vee T_2$ :  $\perp \in \mathcal{O}(T, P)$  iff  $\perp \in \mathcal{O}(T_1, P)$  and  $\perp \in \mathcal{O}(T_2, P)$   
iff(IH)  $\top \in \mathcal{O}(\overline{T_1}, P)$  and  $\top \in \mathcal{O}(\overline{T_2}, P)$  iff  $\top \in \mathcal{O}(\overline{T_1} \wedge \overline{T_2}, P)$  iff  
 $\top \in \mathcal{O}(\overline{T}, P)$

## Properties of Tests and Observations (3/)

$$\begin{array}{ll} \overline{\text{SUCC}} = \text{FAIL} & \overline{\text{FAIL}} = \text{SUCC} \\ \overline{a.T'} = \tilde{a}.\overline{T'} & \overline{\tilde{a}.T'} = a.\overline{T'} \\ \overline{T_1 \wedge T_2} = \overline{T_1} \vee \overline{T_2} & \overline{T_1 \vee T_2} = \overline{T_1} \wedge \overline{T_2} \\ \overline{\exists T'} = \forall \overline{T'} & \overline{\forall T'} = \exists \overline{T'} \end{array}$$

**Step:** By case distinction.

- $T = \exists T'$ :  $\perp \in \mathcal{O}(T, P)$  iff  $\mathcal{O}(T', P) = \{\perp\}$  iff(IH)  $\mathcal{O}(\overline{T'}, P) = \{\top\}$  iff  $\top \in \mathcal{O}(\forall \overline{T'}, P)$  iff  $\top \in \mathcal{O}(\overline{T}, P)$ .
- $T = \forall T'$ :  $\perp \in \mathcal{O}(T, P)$  iff  $\perp \in \mathcal{O}(T', P)$  iff(IH)  $\top \in \mathcal{O}(\overline{T'}, P)$  iff  $\top \in \mathcal{O}(\exists \overline{T'}, P)$  iff  $\top \in \mathcal{O}(\overline{T}, P)$ .

## Properties of Tests and Observations (4/)

$$\begin{array}{ll} \overline{\text{SUCC}} = \text{FAIL} & \overline{\text{FAIL}} = \text{SUCC} \\ \overline{a.T'} = \tilde{a}.\overline{T'} & \overline{\tilde{a}.T'} = a.\overline{T'} \\ \overline{T_1 \wedge T_2} = \overline{T_1} \vee \overline{T_2} & \overline{T_1 \vee T_2} = \overline{T_1} \wedge \overline{T_2} \\ \overline{\exists T'} = \forall \overline{T'} & \overline{\forall T'} = \exists \overline{T'} \end{array}$$

**Step (cont'd):** By case distinction.

- $T = a.T'$ :  $\perp \in \mathcal{O}(T, P)$  iff (a)  $P \not\stackrel{a}{\rightarrow}$  or (b)  $\perp \in \mathcal{O}(T', P')$  for some  $P'$  with  $P \stackrel{a}{\rightarrow} P'$ . In case (a),  $\mathcal{O}(\tilde{a}.\overline{T'}, P) = \{\top\}$ . In case (b),  $\top \in \mathcal{O}(\overline{T'}, P')$  by IH. Hence,  $\top \in \mathcal{O}(\tilde{a}.\overline{T'}, P)$  by the arguments for (a) and (b).
- $T = \tilde{a}.T'$ :  $\perp \in \mathcal{O}(T, P)$  iff  $P \stackrel{a}{\rightarrow} P'$  (for some  $P'$ ) and  $\perp \in \mathcal{O}(T', P')$  iff  $\top \in \mathcal{O}(\overline{T'}, P')$  iff  $\top \in \mathcal{O}(a.\overline{T'}, P)$  iff  $\top \in \mathcal{O}(\overline{T}, P)$ .



## Properties of Tests and Observation (5/5)

### Definition 2

$P \sim_T Q$  if, and only if,  $\mathcal{O}(T, P) = \mathcal{O}(T, Q)$  for all tests  $T$ .

### Theorem 3

If  $P \not\sim_T Q$ , then there is a test case  $T$ , such that  $\mathcal{O}(T, P) = \{\perp\}$  and  $\mathcal{O}(T, Q) = \{\top\}$ .

**Proof:** Since  $P \not\sim_T Q$ , there is at least one test case  $T_0$  with  $\mathcal{O}(T_0, P) \neq \mathcal{O}(T_0, Q)$ .

Transform  $T_0$  into the required  $T$  by the following procedure:

1. If  $\mathcal{O}(T_0, Q) = \{\top\}$ , set  $T = \forall T_0$ . If  $\mathcal{O}(T_0, Q) = \{\perp\}$ , set  $\mathcal{O}(\forall \overline{T_0})$ .
2. Otherwise, if  $\mathcal{O}(T_0, P) = \{\perp\}$ , set  $T = \exists T_0$  and if  $\mathcal{O}(T_0, P) = \{\top\}$ , set  $T = \exists \overline{T_0}$ . □

### Theorem 4

$\Leftrightarrow = \sim_T$  on image-finite processes.

## Relationship to Modal Logic (1/3)

*Hennesy-Milner Logic* (HML) is the model logic formed by the following grammar:

$$\varphi ::= \text{true} \mid \text{false} \mid \varphi \wedge \varphi \mid \varphi \vee \varphi \mid [\mu]\varphi \mid \langle \mu \rangle \varphi$$

A process  $P$  satisfies an HML formula  $\varphi$ , denoted  $P \models \varphi$ , iff

- $\varphi = \text{true}$ ;
- $\varphi = \psi_1 \wedge \psi_2$ , and  $P \models \psi_1$  and  $P \models \psi_2$ ;
- $\varphi = \psi_1 \vee \psi_2$ , and  $P \models \psi_1$  or  $P \models \psi_2$ ;
- $\varphi = [\mu]\psi$  and for all  $P'$  with  $P \xrightarrow{\mu} P'$ ,  $P' \models \psi$ ;
- $\varphi = \langle \mu \rangle \psi$  and there is a  $P'$  with  $P \xrightarrow{\mu} P'$  and  $P' \models \psi$ .

## Relationship to Modal Logic (2/3)

*Hennessy-Milner Logic* (HML) is the model logic formed by the following grammar:

$$\varphi ::= \text{true} \mid \text{false} \mid \varphi \wedge \varphi \mid \varphi \vee \varphi \mid [\mu]\varphi \mid \langle \mu \rangle \varphi$$

Define a test in our framework from every HML formula via structural induction:

- $\llbracket \text{true} \rrbracket = \text{SUCC}$  and  $\llbracket \text{false} \rrbracket = \text{FAIL}$ ;
- $\llbracket \psi_1 \wedge \psi_2 \rrbracket = \llbracket \psi_1 \rrbracket \wedge \llbracket \psi_2 \rrbracket$  and  $\llbracket \psi_1 \vee \psi_2 \rrbracket = \llbracket \psi_1 \rrbracket \vee \llbracket \psi_2 \rrbracket$ ;
- $\llbracket [\mu]\psi \rrbracket = \forall \mu. \llbracket \psi \rrbracket$  and  $\llbracket \langle \mu \rangle \psi \rrbracket = \exists \mu. \llbracket \psi \rrbracket$ .

## Relationship to Modal Logic (3/3)

- $\llbracket \text{true} \rrbracket = \text{SUCC}$  and  $\llbracket \text{false} \rrbracket = \text{FAIL}$ ;
- $\llbracket \psi_1 \wedge \psi_2 \rrbracket = \llbracket \psi_1 \rrbracket \wedge \llbracket \psi_2 \rrbracket$  and  $\llbracket \psi_1 \vee \psi_2 \rrbracket = \llbracket \psi_1 \rrbracket \vee \llbracket \psi_2 \rrbracket$ ;
- $\llbracket [\mu] \psi \rrbracket = \forall \mu. \llbracket \psi \rrbracket$  and  $\llbracket \langle \mu \rangle \psi \rrbracket = \exists \mu. \llbracket \psi \rrbracket$ .

### Theorem 5

For every HML formula  $\varphi$  and process  $P$ ,

1.  $P \models \varphi$  iff  $\mathcal{O}(\llbracket \varphi \rrbracket, P) = \{\top\}$ ;
2.  $P \not\models \varphi$  iff  $\mathcal{O}(\llbracket \varphi \rrbracket, P) = \{\perp\}$ .

Two processes  $P$  and  $Q$  are HML-equivalent, denoted  $P \sim_{\text{HML}} Q$ , iff for all HML formulae  $\varphi$ ,  $P \models \varphi$  iff  $Q \models \varphi$ .

### Theorem 6 (Hennessy-Milner Theorem)

On image-finite processes,  $\sim_{\text{HML}}$  and  $\Leftrightarrow$  coincide.

## What about (Completed) Traces?

The Hennessy-Milner Logic:

$$\varphi ::= \text{true} \mid \text{false} \mid \varphi \wedge \varphi \mid \varphi \vee \varphi \mid [\mu] \varphi \mid \langle \mu \rangle \varphi$$

The Trace Logic:

$$\varphi ::= \text{true} \mid \langle \mu \rangle \varphi$$

The Completed Trace Logic:

$$\varphi ::= \text{true} \mid \langle \mu \rangle \varphi \mid [\text{Act}] \text{false}$$



## Summary & Outlook

- Tests and logical formulae characterize bisimilarity
- They give insights in what is needed to distinguish processes for a certain equivalence relation

Next:

- Alternative model: Carl Adam Petri and his Nets
- What is decidable about Petri nets?
- Enhancing CCS: the  $\pi$ -calculus