

COMPLEXITY THEORY

Lecture 9: Space Complexity and PSPACE

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Knowledge-Based Systems

TU Dresden, 10 Nov 2025

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For the most current version of this course, see
https://iccl.inf.tu-dresden.de/web/Complexity_Theory/en

Review: Space Complexity Classes

Recall our earlier definitions of space complexities:

Definition 9.1: Let $f : \mathbb{N} \rightarrow \mathbb{R}^+$ be a function.

- (1) **DSpace**($f(n)$) is the class of all languages L for which there is an $O(f(n))$ -space bounded Turing machine deciding L .
- (2) **NSpace**($f(n)$) is the class of all languages L for which there is an $O(f(n))$ -space bounded nondeterministic Turing machine deciding L .

Being $O(f(n))$ -space bounded requires a (nondeterministic) TM

- to halt on every input and
- to use $\leq f(|w|)$ tape cells on every computation path.

Space Complexity Classes

Some important space complexity classes:

$$L = \text{LogSpace} = \text{DSpace}(\log n)$$

logarithmic space

$$\text{PSpace} = \bigcup_{d \geq 1} \text{DSpace}(n^d)$$

polynomial space

$$\text{ExpSpace} = \bigcup_{d \geq 1} \text{DSpace}(2^{n^d})$$

exponential space

$$\text{NL} = \text{NLogSpace} = \text{NSpace}(\log n)$$

nondet. logarithmic space

$$\text{NPSpace} = \bigcup_{d \geq 1} \text{NSpace}(n^d)$$

nondet. polynomial space

$$\text{NExpSpace} = \bigcup_{d \geq 1} \text{NSpace}(2^{n^d})$$

nondet. exponential space

The Power of Space

Space seems to be more powerful than time because space can be reused.

Example 9.2: SAT can be solved in linear space:

Just iterate over all possible truth assignments (each linear in size) and check if one satisfies the formula.

Example 9.3: TAUTOLOGY can be solved in linear space:

Just iterate over all possible truth assignments (each linear in size) and check if all satisfy the formula.

More generally: $NP \subseteq PSpace$ and $coNP \subseteq PSpace$

Linear Compression

Theorem 9.4: For every function $f : \mathbb{N} \rightarrow \mathbb{R}^+$, for all $c \in \mathbb{N}$, and for every f -space bounded (deterministic/nondeterministic) Turing machine \mathcal{M} :
there is a $\max\{1, \frac{1}{c}f(n)\}$ -space bounded (deterministic/nondeterministic) Turing machine \mathcal{M}' that accepts the same language as \mathcal{M} .

Proof idea: Similar to (but much simpler than) linear speed-up. □

This justifies using O -notation for defining space classes.

Tape Reduction

Theorem 9.5: For every function $f : \mathbb{N} \rightarrow \mathbb{R}^+$ all $k \geq 1$ and $\mathbf{L} \subseteq \Sigma^*$:

If \mathbf{L} can be decided by an f -space bounded k -tape Turing-machine, then it can also be decided by an f -space bounded 1-tape Turing-machine.

Proof idea: Combine tapes with a similar reduction as for time. Compress space to avoid linear increase. □

Note: We still use a separate read-only input tape to define some space complexities, such as LogSpace.

Time vs. Space

Theorem 9.6: For all functions $f : \mathbb{N} \rightarrow \mathbb{R}^+$:

$$\text{DTime}(f) \subseteq \text{DSpace}(f) \quad \text{and} \quad \text{NTime}(f) \subseteq \text{NSpace}(f)$$

Proof: Visiting a cell takes at least one time step. □

Theorem 9.7: For all functions $f : \mathbb{N} \rightarrow \mathbb{R}^+$ with $f(n) \geq \log n$:

$$\text{DSpace}(f) \subseteq \text{DTime}(2^{O(f)}) \quad \text{and} \quad \text{NSpace}(f) \subseteq \text{DTime}(2^{O(f)})$$

Proof: Based on configuration graphs and a bound on the number of possible configurations. **Proof:** Build the configuration graph (time $2^{O(f(n))}$) and find a path from the start to an accepting stop configuration (time $2^{O(f(n))}$). □

Number of Possible Configurations

Let $\mathcal{M} := (Q, \Sigma, \Gamma, q_0, \delta, q_{\text{start}})$ be a 2-tape Turing machine
(1 read-only input tape + 1 work tape)

Recall: A configuration of \mathcal{M} is a quadruple (q, p_1, p_2, x) where

- $q \in Q$ is the current state,
- $p_i \in \mathbb{N}$ is the head position on tape i , and
- $x \in \Gamma^*$ is the tape content.

Let $w \in \Sigma^*$ be an input to \mathcal{M} and $n := |w|$.

- Then also $p_1 \leq n$.
- If \mathcal{M} is $f(n)$ -space bounded we can assume $p_2 \leq f(n)$ and $|x| \leq f(n)$

Hence, there are at most

$$|Q| \cdot n \cdot f(n) \cdot |\Gamma|^{f(n)} = n \cdot 2^{O(f(n))} = 2^{O(f(n))}$$

different configurations on inputs of length n (the last equality requires $f(n) \geq \log n$).

Configuration Graphs

The possible computations of a TM \mathcal{M} (on input w) form a directed graph:

- Vertices: configurations that \mathcal{M} can reach (on input w)
- Edges: there is an edge from C_1 to C_2 if $C_1 \vdash_{\mathcal{M}} C_2$
(C_2 reachable from C_1 in a single step)

This yields the **configuration graph**:

- Could be infinite in general.
- For $f(n)$ -space bounded 2-tape TMs,
there can be at most $2^{O(f(n))}$ vertices and $(2^{O(f(n))})^2 = 2^{O(f(n))}$ edges

A **computation** of \mathcal{M} on input w corresponds to a **path** in the configuration graph from the **start** configuration to a **stop** configuration.

Hence, to test if \mathcal{M} accepts input w ,

- construct the configuration graph and
- find a path from the start to an accepting stop configuration.

Time vs. Space

Theorem 9.6: For all functions $f : \mathbb{N} \rightarrow \mathbb{R}^+$:

$$\text{DTime}(f) \subseteq \text{DSpace}(f) \quad \text{and} \quad \text{NTime}(f) \subseteq \text{NSpace}(f)$$

Proof: Visiting a cell takes at least one time step. □

Theorem 9.7: For all functions $f : \mathbb{N} \rightarrow \mathbb{R}^+$ with $f(n) \geq \log n$:

$$\text{DSpace}(f) \subseteq \text{DTime}(2^{O(f)}) \quad \text{and} \quad \text{NSpace}(f) \subseteq \text{DTime}(2^{O(f)})$$

Proof: Based on configuration graphs and a bound on the number of possible configurations. **Proof:** Build the configuration graph (time $2^{O(f(n))}$) and find a path from the start to an accepting stop configuration (time $2^{O(f(n))}$). □

Basic Space/Time Relationships

Applying the results of the previous slides, we get the following relations:

$$L \subseteq NL \subseteq P \subseteq NP \subseteq PSpace \subseteq NPSPACE \subseteq ExpTime \subseteq NExpTime$$

We also noted $P \subseteq coNP \subseteq PSpace$.

Open questions:

- What is the relationship between space classes and their co-classes?
- What is the relationship between deterministic and non-deterministic space classes?

Nondeterminism in Space

Most experts think that nondeterministic TMs can solve strictly more problems when given the same amount of time than a deterministic TM:

Most believe that $P \subsetneq NP$

How about nondeterminism in space-bounded TMs?

Theorem 9.8 (Savitch's Theorem, 1970): For any function $f : \mathbb{N} \rightarrow \mathbb{R}^+$ with $f(n) \geq \log n$:

$$\text{NSpace}(f(n)) \subseteq \text{DSpace}(f^2(n)).$$



That is: nondeterminism adds **almost** no power to space-bounded TMs!

Consequences of Savitch's Theorem

Theorem 9.8 (Savitch's Theorem, 1970): For any function $f : \mathbb{N} \rightarrow \mathbb{R}^+$ with $f(n) \geq \log n$:

$$\text{NSpace}(f(n)) \subseteq \text{DSpace}(f^2(n)).$$

Corollary 9.9: $\text{PSpace} = \text{NPSpace}$.

Proof: $\text{PSpace} \subseteq \text{NPSpace}$ is clear. The converse follows since the square of a polynomial is still a polynomial. □

Similarly for “bigger” classes, e.g., $\text{ExpSpace} = \text{NExpSpace}$.

Corollary 9.10: $\text{NL} \subseteq \text{DSpace}(O(\log^2 n))$.

Note that $\log^2(n) \notin O(\log n)$, so we do not obtain $\text{NL} = \text{L}$ from this.

Proving Savitch's Theorem

Simulating nondeterminism with more space:

- Use configuration graph of nondeterministic space-bounded TM
- Check if an accepting configuration can be reached
- Store only one computation path at a time (depth-first search)

This still requires exponential space. We want quadratic space!

What to do?

Things we can do:

- Store one configuration:
 - one configuration requires $\log n + O(f(n))$ space
 - if $f(n) \geq \log n$, then this is $O(f(n))$ space
- Store $f(n)$ configurations (remember we have $f^2(n)$ space)
- Iterate over all configurations (one by one)

Proving Savitch's Theorem: Key Idea

To find out if we can reach an accepting configuration, we solve a slightly more general question:

YIELDABILITY

Input: TM configurations C_1 and C_2 , integer k

Problem: Can TM get from C_1 to C_2 in at most k steps?

Approach: check if there is an intermediate configuration C' such that

- (1) C_1 can reach C' in $k/2$ steps and
- (2) C' can reach C_2 in $k/2$ steps

~> **Deterministic:** we can try all C' (iteration)

~> **Space-efficient:** we can reuse the same space for both steps

An Algorithm for Yieldability

```
01 CANYIELD( $C_1, C_2, k$ ) {  
02   if  $k = 1$  :  
03     return  $(C_1 = C_2)$  or  $(C_1 \vdash_{\mathcal{M}} C_2)$   
04   else if  $k > 1$  :  
05     for each configuration  $C$  of  $\mathcal{M}$  for input size  $n$  :  
06       if CANYIELD( $C_1, C, k/2$ ) and  
07         CANYIELD( $C, C_2, k/2$ ) :  
08         return true  
09   // eventually, if no success:  
10   return false  
11 }
```

- We only call CanYield only with k a power of 2, so $k/2 \in \mathbb{N}$

Space Requirement for the Algorithm

```
01 CANYIELD( $C_1, C_2, k$ ) {  
02   if  $k = 1$  :  
03     return  $(C_1 = C_2)$  or  $(C_1 \vdash_M C_2)$   
04   else if  $k > 1$  :  
05     for each configuration  $C$  of  $M$  for input size  $n$  :  
06       if CANYIELD( $C_1, C, k/2$ ) and  
07         CANYIELD( $C, C_2, k/2$ ) :  
08         return true  
09   // eventually, if no success:  
10   return false  
11 }
```

- During iteration (line 05), we store one C in $O(f(n))$
- Calls in lines 06 and 07 can reuse the same space
- Maximum depth of recursive call stack: $\log_2 k$

Overall space usage: $O(f(n) \cdot \log k)$

Simulating Nondeterministic Space-Bounded TMs

Input: TM \mathcal{M} that runs in $\text{NSpace}(f(n))$; input word w of length n

Algorithm:

- Modify \mathcal{M} to have a unique accepting configuration C_{accept} :
when accepting, erase tape and move head to the very left
- Select d such that $2^{df(n)} \geq |Q| \cdot n \cdot f(n) \cdot |\Gamma|^{f(n)}$
- Return $\text{CanYield}(C_{\text{start}}, C_{\text{accept}}, k)$ with $k = 2^{df(n)}$

Space requirements:

CanYield runs in space

$$O(f(n) \cdot \log k) = O(f(n) \cdot \log 2^{df(n)}) = O(f(n) \cdot df(n)) = O(f^2(n))$$

Did We Really Do It?

“Select d such that $2^{df(n)} \geq |Q| \cdot n \cdot f(n) \cdot |\Gamma|^{f(n)}$ ”

How does the algorithm actually do this?

- $f(n)$ was not part of the input!
- Even if we knew f , it might not be easy to compute!

Solution: replace $f(n)$ by a parameter ℓ and probe its value

- (1) Start with $\ell = 1$
- (2) Check if \mathcal{M} can reach any configuration with more than ℓ tape cells
(iterate over all configurations of size $\ell + 1$; use CanYield on each)
- (3) If yes, increase ℓ by 1; goto (2)
- (4) Run algorithm as before, with $f(n)$ replaced by ℓ

Therefore: we don't need to know f at all. This finishes the proof.

□

The Class PSpace

We defined PSpace as:

$$\text{PSpace} = \bigcup_{d \geq 1} \text{DSpace}(n^d)$$

and we observed that

$$\text{P} \subseteq \text{NP} \subseteq \text{PSpace} = \text{NPSpace} \subseteq \text{ExpTime}.$$

We can also define a corresponding notion of PSpace-hardness:

Definition 9.11:

- A language **H** is **PSpace-hard**, if $\mathbf{L} \leq_p \mathbf{H}$ for every language $\mathbf{L} \in \text{PSpace}$.
- A language **C** is **PSpace-complete**, if **C** is PSpace-hard and $\mathbf{C} \in \text{PSpace}$.

Quantified Boolean Formulae (QBF)

A **QBF** is a formula of the following form:

$$Q_1X_1.Q_2X_2.\cdots Q_\ell X_\ell.\varphi[X_1,\dots,X_\ell]$$

where $Q_i \in \{\exists, \forall\}$ are quantifiers, X_i are propositional logic variables, and φ is a propositional logic formula with variables X_1, \dots, X_ℓ and constants \top (true) and \perp (false)

Semantics:

- Propositional formulae without variables (only constants \top and \perp) are evaluated as usual
- $\exists X.\varphi[X]$ is true if either $\varphi[X/\top]$ or $\varphi[X/\perp]$ are true
- $\forall X.\varphi[X]$ is true if both $\varphi[X/\top]$ and $\varphi[X/\perp]$ are true

(where $\varphi[X/\top]$ is “ φ with X replaced by \top , and similar for \perp)

Deciding QBF Validity

TRUE QBF

Input: A quantified Boolean formula φ .

Problem: Is φ true (valid)?

Observation: We can assume that the quantified formula is in CNF or 3-CNF (same transformations possible as for propositional logic formulae)

Consider a propositional logic formula φ with variables X_1, \dots, X_ℓ :

Example 9.12: The QBF $\exists X_1 \dots \exists X_\ell. \varphi$ is true if and only if φ is satisfiable.

Example 9.13: The QBF $\forall X_1 \dots \forall X_\ell. \varphi$ is true if and only if φ is a tautology.

The Power of QBF

Theorem 9.14: **TRUE QBF** is PSpace-complete.

Proof:

(1) **TRUE QBF** \in PSpace:

Give an algorithm that runs in polynomial space.

(2) **TRUE QBF** is PSpace-hard:

Proof by reduction from the word problem of any polynomially space-bounded TM.

□

Solving **TRUE QBF** in PSpace

```
01 TRUEQBF( $\varphi$ ) {  
02   if  $\varphi$  has no quantifiers :  
03     return “evaluation of  $\varphi$ ”  
04   else if  $\varphi = \exists X.\psi$  :  
05     return (TRUEQBF( $\psi[X/\top]$ ) OR TRUEQBF( $\psi[X/\perp]$ ))  
06   else if  $\varphi = \forall X.\psi$  :  
07     return (TRUEQBF( $\psi[X/\top]$ ) AND TRUEQBF( $\psi[X/\perp]$ ))  
08 }
```

- Evaluation in line 03 can be done in polynomial space
- Recursions in lines 05 and 07 can be executed one after the other, reusing space
- Maximum depth of recursion = number of variables (linear)
- Store one variable assignment per recursive call

\leadsto polynomial space algorithm

PSpace-Hardness of **TRUE QBF**

Express TM computation in logic, similar to Cook-Levin

Given:

An arbitrary polynomially space-bounded NTM, that is:

- a polynomial p
- a p -space bounded 1-tape NTM $\mathcal{M} = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}})$

Intended reduction

Given a word w , define a QBF $\varphi_{p, \mathcal{M}, w}$ such that

$\varphi_{p, \mathcal{M}, w}$ is true if and only if \mathcal{M} accepts w in space $p(|w|)$.

Notes

- We show the reduction for NTMs, which is more than needed, but makes little difference in logic and allows us to reuse our previous formulae from Cook-Levin
- The proof actually shows many reductions, one for every polyspace NTM, showing PSpace-hardness from first principles

Review: Encoding Configurations

Use propositional variables for describing configurations:

Q_q for each $q \in Q$ means “ M is in state $q \in Q$ ”

P_i for each $0 \leq i < p(n)$ means “the head is at Position i ”

$S_{a,i}$ for each $a \in \Gamma$ and $0 \leq i < p(n)$ means “tape cell i contains Symbol a ”

Represent configuration $(q, p, a_0 \dots a_{p(n)})$

by assigning truth values to variables from the set

$$\overline{C} := \{Q_q, P_i, S_{a,i} \mid q \in Q, \quad a \in \Gamma, \quad 0 \leq i < p(n)\}$$

using the truth assignment β defined as

$$\beta(Q_s) := \begin{cases} 1 & s = q \\ 0 & s \neq q \end{cases} \quad \beta(P_i) := \begin{cases} 1 & i = p \\ 0 & i \neq p \end{cases} \quad \beta(S_{a,i}) := \begin{cases} 1 & a = a_i \\ 0 & a \neq a_i \end{cases}$$

Review: Validating Configurations

We define a formula $\text{Conf}(\overline{C})$ for a set of configuration variables

$$\overline{C} = \{Q_q, P_i, S_{a,i} \mid q \in Q, \quad a \in \Gamma, \quad 0 \leq i < p(n)\}$$

as follows:

$$\text{Conf}(\overline{C}) :=$$

“the assignment is a valid configuration”:

$$\bigvee_{q \in Q} (Q_q \wedge \bigwedge_{q' \neq q} \neg Q_{q'})$$

“TM in exactly one state $q \in Q$ ”

$$\wedge \bigvee_{p < p(n)} (P_p \wedge \bigwedge_{p' \neq p} \neg P_{p'})$$

“head in exactly one position $p < p(n)$ ”

$$\wedge \bigwedge_{0 \leq i < p(n)} \bigvee_{a \in \Gamma} (S_{a,i} \wedge \bigwedge_{b \neq a \in \Gamma} \neg S_{b,i})$$

“exactly one $a \in \Gamma$ in each cell”

Review: Validating Configurations

For an assignment β defined on variables in \overline{C} define

$$\text{conf}(\overline{C}, \beta) := \left\{ (q, p, w_0 \dots w_{p(n)}) \mid \begin{array}{l} \beta(Q_q) = 1, \\ \beta(P_p) = 1, \\ \beta(S_{w_i, i}) = 1 \text{ for all } 0 \leq i < p(n) \end{array} \right\}$$

Note: β may be defined on other variables besides those in \overline{C} .

Lemma 9.15: If β satisfies $\text{Conf}(\overline{C})$ then $|\text{conf}(\overline{C}, \beta)| = 1$.

We can therefore write $\text{conf}(\overline{C}, \beta) = (q, p, w)$ to simplify notation.

Observations:

- $\text{conf}(\overline{C}, \beta)$ is a potential configuration of \mathcal{M} , but it may not be reachable from the start configuration of \mathcal{M} on input w .
- Conversely, every configuration $(q, p, w_1 \dots w_{p(n)})$ induces a satisfying assignment β for which $\text{conf}(\overline{C}, \beta) = (q, p, w_1 \dots w_{p(n)})$.

Review: Transitions Between Configurations

Consider the following formula $\text{Next}(\overline{C}, \overline{C}')$ defined as

$$\text{Conf}(\overline{C}) \wedge \text{Conf}(\overline{C}') \wedge \text{NoChange}(\overline{C}, \overline{C}') \wedge \text{Change}(\overline{C}, \overline{C}').$$

$$\text{NoChange} := \bigvee_{0 \leq p < p(n)} \left(P_p \wedge \bigwedge_{i \neq p, a \in \Gamma} (S_{a,i} \rightarrow S'_{a,i}) \right)$$

$$\text{Change} := \bigvee_{0 \leq p < p(n)} \left(P_p \wedge \bigvee_{\substack{q \in Q \\ a \in \Gamma}} (Q_q \wedge S_{a,p} \wedge \bigvee_{(q', b, D) \in \delta(q, a)} (Q'_{q'} \wedge S'_{b,p} \wedge P'_{D(p)})) \right)$$

where $D(p)$ is the position reached by moving in direction D from p .

Lemma 9.16: For any assignment β defined on $\overline{C} \cup \overline{C}'$:

β satisfies $\text{Next}(\overline{C}, \overline{C}')$ if and only if $\text{conf}(\overline{C}, \beta) \vdash_{\mathcal{M}} \text{conf}(\overline{C}', \beta)$

Review: Start and End

Defined so far:

- $\text{Conf}(\overline{C})$: \overline{C} describes a potential configuration
- $\text{Next}(\overline{C}, \overline{C}')$: $\text{conf}(\overline{C}, \beta) \vdash_{\mathcal{M}} \text{conf}(\overline{C}', \beta)$

Start configuration: Let $w = w_0 \cdots w_{n-1} \in \Sigma^*$ be the input word

$$\text{Start}_{\mathcal{M}, w}(\overline{C}) := \text{Conf}(\overline{C}) \wedge Q_{q_0} \wedge P_0 \wedge \bigwedge_{i=0}^{n-1} S_{w_i, i} \wedge \bigwedge_{i=n}^{p(n)-1} S_{\perp, i}$$

Then an assignment β satisfies $\text{Start}_{\mathcal{M}, w}(\overline{C})$ if and only if \overline{C} represents the start configuration of \mathcal{M} on input w .

Accepting stop configuration:

$$\text{Acc-Conf}(\overline{C}) := \text{Conf}(\overline{C}) \wedge Q_{q_{\text{accept}}}$$

Then an assignment β satisfies $\text{Acc-Conf}(\overline{C})$ if and only if \overline{C} represents an accepting configuration of \mathcal{M} .

Simulating Polynomial Space Computations

For Cook-Levin, we used one set of configuration variables for every computing step:
polynomial time \leadsto polynomially many variables

Problem: For polynomial space, we have $2^{O(p(n))}$ possible steps ...

What would Savitch do?

Define a formula $\text{CanYield}_i(\overline{C}_1, \overline{C}_2)$ to state that \overline{C}_2 is reachable from \overline{C}_1 in at most 2^i steps:

$$\text{CanYield}_0(\overline{C}_1, \overline{C}_2) := (\overline{C}_1 = \overline{C}_2) \vee \text{Next}(\overline{C}_1, \overline{C}_2)$$

$$\text{CanYield}_{i+1}(\overline{C}_1, \overline{C}_2) := \exists \overline{C}. \text{Conf}(\overline{C}) \wedge \text{CanYield}_i(\overline{C}_1, \overline{C}) \wedge \text{CanYield}_i(\overline{C}, \overline{C}_2)$$

But what is $\overline{C}_1 = \overline{C}_2$ supposed to mean here? It is short for:

$$\bigwedge_{q \in Q} Q_q^1 \leftrightarrow Q_q^2 \wedge \bigwedge_{0 \leq i < p(n)} P_i^1 \leftrightarrow P_i^2 \wedge \bigwedge_{a \in \Gamma, 0 \leq i < p(n)} S_{a,i}^1 \leftrightarrow S_{a,i}^2$$

Putting Everything Together

We define the formula $\varphi_{p,\mathcal{M},w}$ as follows:

$$\varphi_{p,\mathcal{M},w} := \exists \bar{C}_1. \exists \bar{C}_2. \text{Start}_{\mathcal{M},w}(\bar{C}_1) \wedge \text{Acc-Conf}(\bar{C}_2) \wedge \text{CanYield}_{dp(n)}(\bar{C}_1, \bar{C}_2)$$

where we select d to be the least number such that \mathcal{M} has less than $2^{dp(n)}$ configurations in space $p(n)$.

Lemma 9.17: $\varphi_{p,\mathcal{M},w}$ is satisfiable if and only if \mathcal{M} accepts w in space $p(|w|)$.

Did we do it?

Note: we used only existential quantifiers when defining $\varphi_{P, \mathcal{M}, w}$:

$$\text{CanYield}_0(\overline{C}_1, \overline{C}_2) := (\overline{C}_1 = \overline{C}_2) \vee \text{Next}(\overline{C}_1, \overline{C}_2)$$

$$\text{CanYield}_{i+1}(\overline{C}_1, \overline{C}_2) := \exists \overline{C}. \text{Conf}(\overline{C}) \wedge \text{CanYield}_i(\overline{C}_1, \overline{C}) \wedge \text{CanYield}_i(\overline{C}, \overline{C}_2)$$

$$\varphi_{P, \mathcal{M}, w} := \exists \overline{C}_1. \exists \overline{C}_2. \text{Start}_{\mathcal{M}, w}(\overline{C}_1) \wedge \text{Acc-Conf}(\overline{C}_2) \wedge \text{CanYield}_{dp(n)}(\overline{C}_1, \overline{C}_2)$$

Now that's quite interesting . . .

- With only (non-negated) \exists quantifiers, **TRUE QBF** coincides with **SAT**
- **SAT** is in NP
- So we showed that the word problem for PSpace NTMs to be in NP

So we found that **NP = PSpace!**

Strangely, most textbooks claim that this is not known to be true . . .

Are we up for the next Turing Award, or did we make a **mistake?**

Size

How big is $\varphi_{p, \mathcal{M}, w}$?

$$\text{CanYield}_0(\overline{C}_1, \overline{C}_2) := (\overline{C}_1 = \overline{C}_2) \vee \text{Next}(\overline{C}_1, \overline{C}_2)$$

$$\text{CanYield}_{i+1}(\overline{C}_1, \overline{C}_2) := \exists \overline{C}. \text{Conf}(\overline{C}) \wedge \text{CanYield}_i(\overline{C}_1, \overline{C}) \wedge \text{CanYield}_i(\overline{C}, \overline{C}_2)$$

$$\varphi_{p, \mathcal{M}, w} := \exists \overline{C}_1. \exists \overline{C}_2. \text{Start}_{\mathcal{M}, w}(\overline{C}_1) \wedge \text{Acc-Conf}(\overline{C}_2) \wedge \text{CanYield}_{dp(n)}(\overline{C}_1, \overline{C}_2)$$

Size of CanYield_{i+1} is more than twice the size of CanYield_i

\leadsto Size of $\varphi_{p, \mathcal{M}, w}$ is in $2^{O(p(n))}$. Oops.

A correct reduction: We redefine CanYield by setting

$$\text{CanYield}_{i+1}(\overline{C}_1, \overline{C}_2) :=$$

$$\exists \overline{C}. \text{Conf}(\overline{C}) \wedge$$

$$\forall \overline{Z}_1. \forall \overline{Z}_2. (((\overline{Z}_1 = \overline{C}_1 \wedge \overline{Z}_2 = \overline{C}) \vee (\overline{Z}_1 = \overline{C} \wedge \overline{Z}_2 = \overline{C}_2)) \rightarrow \text{CanYield}_i(\overline{Z}_1, \overline{Z}_2))$$

Size

Let's analyse the size more carefully this time:

$$\begin{aligned}\text{CanYield}_{i+1}(\bar{C}_1, \bar{C}_2) &:= \\ \exists \bar{C}. \text{Conf}(\bar{C}) \wedge \\ \forall \bar{Z}_1. \forall \bar{Z}_2. (((\bar{Z}_1 = \bar{C}_1 \wedge \bar{Z}_2 = \bar{C}) \vee (\bar{Z}_1 = \bar{C} \wedge \bar{Z}_2 = \bar{C}_2)) \rightarrow \text{CanYield}_i(\bar{Z}_1, \bar{Z}_2))\end{aligned}$$

- $\text{CanYield}_{i+1}(\bar{C}_1, \bar{C}_2)$ extends $\text{CanYield}_i(\bar{C}_1, \bar{C}_2)$ by parts that are linear in the size of configurations \leadsto growth in $O(p(n))$
- Maximum index i used in $\varphi_{p, \mathcal{M}, w}$ is $dp(n)$, that is in $O(p(n))$
- Therefore: $\varphi_{p, \mathcal{M}, w}$ has size $O(p^2(n))$ – and thus can be computed in polynomial time

Exercise:

Why can we just use $dp(n)$ in the reduction? Don't we have to compute it somehow?
Maybe even in polynomial time?

Summary: Relationships of Space and Time

Summing up, we get the following relations:

$$L \subseteq NL \subseteq P \subseteq NP \subseteq PSpace = NPSPACE \subseteq ExpTime \subseteq NExpTime$$

We also noted $P \subseteq coNP \subseteq PSpace$.

Open questions:

- Is Savitch's Theorem tight?
- Are there any interesting problems in these space classes?
- We have $PSpace = NPSPACE = coNPSPACE$.
But what about L , NL , and $coNL$?

↪ the first: **nobody knows** (YCTBF); the others: see upcoming lectures