



TECHNISCHE  
UNIVERSITÄT  
DRESDEN

# FOUNDATIONS OF SEMANTIC WEB TECHNOLOGIES

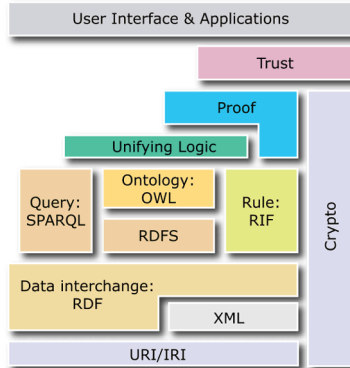
## Semantics of SPARQL

Sebastian Rudolph

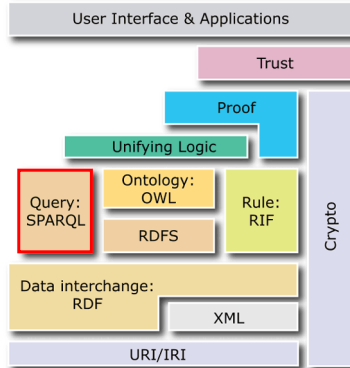


DRESDEN  
concept  
Institute for  
Knowledge  
and Data

# The SPARQL Query Language



# The SPARQL Query Language



# Agenda

- 1 Recap
- 2 Output Formats
- 3 SPARQL Semantics
- 4 Transformation of Queries into Algebra Objects
- 5 Evaluation of the SPARQL Algebra
- 6 Summary

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# Recap: Introduced SPARQL Features

## Basic Structure

PREFIX

WHERE

## Graph Patterns

Basic Graph Patterns

{...}

OPTIONAL

UNION

## Filter

BOUND

isURI

isBLANK

isLITERAL

STR

LANG

DATATYPE

sameTERM

langMATCHES

REGEX

## Modifiers

ORDER BY

LIMIT

OFFSET

DISTINCT

## Output Formats

SELECT

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## Output Format `SELECT`

So far all results have been tables (solution sequences): Output format `SELECT`

Syntax: `SELECT <VariableList> or SELECT *`

### Advantage

Simple sequential processing of the results

### Disadvantage

Structure/relationships between the objects in the results is lost



# Output Format CONSTRUCT

CONSTRUCT creates an RDF graph for the results

## Example Query

```
PREFIX ex: <http://example.org/>
CONSTRUCT { ?person ex:mailbox ?email .
             ?person ex:telephone ?tel . }
WHERE { ?person ex:email ?email .
        ?person ex:tel ?tel . }
```

# Output Format CONSTRUCT

CONSTRUCT creates an RDF graph for the results

## Example Query

```
PREFIX ex: <http://example.org/>
CONSTRUCT { ?person ex:mailbox ?email .
             ?person ex:telephone ?tel . }
WHERE { ?person ex:email ?email .
        ?person ex:tel ?tel . }
```

## Advantage

Structured result data with relationships between the elements

## Disadvantages

- Sequential processing of the results is harder
- No treatment of unbound variables (triples are omitted)

## CONSTRUCT Templates with Blank Nodes

### Data

```
@prefix foaf: <http://xmlns.com/foaf/0.1/> .  
_:a foaf:firstname "Alice" ;  
    foaf:surname "Hacker" .  
_:b foaf:firstname "Bob" ;  
    foaf:surname "Hacker" .
```

## CONSTRUCT Templates with Blank Nodes

### Data

```
@prefix foaf: <http://xmlns.com/foaf/0.1/> .  
_:a foaf:firstname "Alice" ;  
    foaf:surname "Hacker" .  
_:b foaf:firstname "Bob" ;  
    foaf:surname "Hacker" .
```

### Query

```
PREFIX foaf: <http://xmlns.com/foaf/0.1/>  
PREFIX vcard: <http://www.w3.org/2001/vcard-rdf/3.0#>  
CONSTRUCT {  
  ?x vcard:N          _:v .  
  _:v vcard:givenName ?gname ;  
      vcard:familyName ?fname  
} WHERE {  
  ?x foaf:firstname ?gname .  
  ?x foaf:surname   ?fname }
```

## CONSTRUCT Templates with Blank Nodes

### Resulting RDF graph

```
@prefix vcard: <http://www.w3.org/2001/vcard-rdf/3.0#> .  
_:v1 vcard:N _:x .  
_:x vcard:givenName "Alice" ;  
    vcard:familyName "Hacker" .  
_:v2 vcard:N _:z .  
_:z vcard:givenName "Bob" ;  
    vcard:familyName "Hacker" .
```

## Further Output Formats: ASK & DESCRIBE

SPARQL supports two additional output formats:

- ASK only checks whether the query has at least one answer (true/false result)
- DESCRIBE (informative) returns an RDF description for each resulting URI (application dependent)

### Example Query

```
DESCRIBE ?x WHERE { ?x <http://ex.org/emplID> "123" }
```

### Possible Result (prefix declarations omitted):

```
_:a      exOrg:emplID      "123" ;  
         foaf:mbox_sha1sum "ABCD1234" ;  
         vcard:N  
         [ vcard:Family    "Smith" ;  
           vcard:Given     "John" ] .  
foaf:mbox_sha1sum a owl:InverseFunctionalProperty .
```

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# Semantics of Query Languages

So far only informal presentation of SPARQL features

- User: “Which answers can I expect for my query?”
- Developer: “Which behaviour is expected from my SPARQL implementation?”
- Marketing: “Is our product already conformant with the SPARQL standard?”

↪ Formal semantics should clarify these questions . . .



# Logic-based Semantics

Semantics of formal logics:

- Model-theoretic semantics: Which interpretations do satisfy my knowledge base?
- Proof-theoretic semantics: Which derivations can be build from my knowledge base?
- ...

# Logic-based Semantics

Semantics of formal logics:

- Model-theoretic semantics: Which interpretations do satisfy my knowledge base?
- Proof-theoretic semantics: Which derivations can be build from my knowledge base?
- ...

# Semantics of Programming Languages

- Axiomatic semantics: Which logical statements hold for my program?
- Operational semantics: What happens during the processing of my program?
- Denotational semantics: How can we describe the input/output function of the program in an abstract way?

# Semantics of Programming Languages

- Axiomatic semantics: Which logical statements hold for my program?
- Operational semantics: What happens during the processing of my program?
- Denotational semantics: How can we describe the input/output function of the program in an abstract way?

What to do with query languages?

# Semantics of Query Languages (1)

## Query Entailment

- Query as description of allowed results
- Data as set of logical assumptions (axiom set/theory)
- Results as logical entailment

↪ OWL DL and RDF(S) as query languages

↪ conjunctive queries

## Semantics of Query Languages (2)

### Query Algebra

- Query as instruction for computing the results
- Queried data as input
- Results as output

↪ Relational algebra for SQL

↪ SPARQL Algebra

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## Translation into SPARQL Algebra

```
{ ?book ex:price ?price .  
  FILTER (?price < 15)  
  OPTIONAL { ?book ex:title ?title }  
  { ?book ex:author ex:Shakespeare } UNION  
  { ?book ex:author ex:Marlowe }  
}
```

Semantics of a SPARQL query:

- 1 Transformation of the query into an algebra expression
- 2 Evaluation of the algebra expression



## Translation into SPARQL Algebra

```
{ ?book ex:price ?price
  FILTER (?price < 15)
  OPTIONAL { ?book ex:title ?title }
  { ?book ex:author ex:Shakespeare } UNION
  { ?book ex:author ex:Marlowe }
}
```

Attention: Filters apply to the whole group in which they occur

## Translation into SPARQL Algebra

```
{ ?book ex:price ?price
  OPTIONAL { ?book ex:title ?title }
  { ?book ex:author ex:Shakespeare } UNION
  { ?book ex:author ex:Marlowe }
  FILTER (?price < 15)
}
```

- 1 Expand abbreviated IRIs

## Translation into SPARQL Algebra

```
{ ?book <http://ex.org/price> ?price
  OPTIONAL { ?book <http://ex.org/title> ?title }
  { ?book <http://ex.org/author>
      <http://ex.org/Shakespeare> } UNION
  { ?book <http://ex.org/author>
      <http://ex.org/Marlowe> }
  FILTER (?price < 15)
}
```

## Translation into SPARQL Algebra

```
{ ?book <http://ex.org/price> ?price
  OPTIONAL { ?book <http://ex.org/title> ?title }
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      <http://ex.org/Shakespeare> } UNION
  { ?book <http://ex.org/author>
      <http://ex.org/Marlowe> }
  FILTER (?price < 15)
}
```

2. Replace triple patterns with operator  $Bgp(\cdot)$

## Translation into SPARQL Algebra

```
{ Bgp(?book <http://ex.org/price> ?price)
  OPTIONAL {Bgp(?book <http://ex.org/title> ?title)}
  {Bgp(?book <http://ex.org/author>
    <http://ex.org/Shakespeare>)} UNION
  {Bgp(?book <http://ex.org/author>
    <http://ex.org/Marlowe>)}
  FILTER (?price < 15)
}
```

## Translation into SPARQL Algebra

```
{ Bgp(?book <http://ex.org/price> ?price)
  OPTIONAL {Bgp(?book <http://ex.org/title> ?title)}
  {Bgp(?book <http://ex.org/author>
      <http://ex.org/Shakespeare>)} UNION
  {Bgp(?book <http://ex.org/author>
      <http://ex.org/Marlowe>)}
  FILTER (?price < 15)
}
```

3. Introduce the LeftJoin(·) operator for optional parts

## Translation into SPARQL Algebra

```
{ LeftJoin(Bgp(?book <http://ex.org/price> ?price),
           Bgp(?book <http://ex.org/title> ?title),
           true)
  {Bgp(?book <http://ex.org/author>
       <http://ex.org/Shakespeare>)} UNION
  {Bgp(?book <http://ex.org/author>
       <http://ex.org/Marlowe>)}
  FILTER (?price < 15)
}
```

## Translation into SPARQL Algebra

```
{ LeftJoin(Bgp(?book <http://ex.org/price> ?price),
           Bgp(?book <http://ex.org/title> ?title),
           true)
  {Bgp(?book <http://ex.org/author>
      <http://ex.org/Shakespeare>)} UNION
  {Bgp(?book <http://ex.org/author>
      <http://ex.org/Marlowe>)}
  FILTER (?price < 15)
}
```

4. Combine alternative graph patterns with Union(.) operator
- ↪ Refers to neighbouring patterns and has higher precedence than conjunction (left associative)



## Translation into SPARQL Algebra

```
{ LeftJoin(Bgp(?book <http://ex.org/price> ?price),
           Bgp(?book <http://ex.org/title> ?title),
           true)
  Union(Bgp(?book <http://ex.org/author>
           <http://ex.org/Shakespeare>),
        Bgp(?book <http://ex.org/author>
           <http://ex.org/Marlowe>))
  FILTER (?price < 15)
}
```

## Translation into SPARQL Algebra

```
{ LeftJoin(Bgp(?book <http://ex.org/price> ?price),  
          Bgp(?book <http://ex.org/title> ?title),  
          true)  
  Union(Bgp(?book <http://ex.org/author>  
        <http://ex.org/Shakespeare>),  
        Bgp(?book <http://ex.org/author>  
            <http://ex.org/Marlowe>))  
  FILTER (?price < 15)  
}
```

5. Apply Join(.) operator to join non-filter elements

## Translation into SPARQL Algebra

```
{ Join(  
  LeftJoin(Bgp(?book <http://ex.org/price> ?price),  
    Bgp(?book <http://ex.org/title> ?title),  
    true),  
  Union(Bgp(?book <http://ex.org/author>  
    <http://ex.org/Shakespeare>),  
    Bgp(?book <http://ex.org/author>  
    <http://ex.org/Marlowe>)))  
FILTER (?price < 15)  
}
```

## Translation into SPARQL Algebra

```
{ Join(  
  LeftJoin(Bgp(?book <http://ex.org/price> ?price),  
    Bgp(?book <http://ex.org/title> ?title),  
    true),  
  Union(Bgp(?book <http://ex.org/author>  
    <http://ex.org/Shakespeare>),  
    Bgp(?book <http://ex.org/author>  
    <http://ex.org/Marlowe>)))  
FILTER (?price < 15)  
}
```

6. Translate a group with filters with the Filter(·) operator

## Translation into SPARQL Algebra

```
Filter(?price < 15,  
  Join(  
    LeftJoin(Bgp(?book <http://ex.org/price> ?price),  
      Bgp(?book <http://ex.org/title> ?title),  
      true),  
    Union(Bgp(?book <http://ex.org/author>  
      <http://ex.org/Shakespeare>),  
      Bgp(?book <http://ex.org/author>  
        <http://ex.org/Marlowe>))))))
```

## Translation into SPARQL Algebra

```
Filter(?price < 15,  
  Join(  
    LeftJoin(Bgp(?book <http://ex.org/price> ?price),  
      Bgp(?book <http://ex.org/title> ?title),  
      true),  
    Union(Bgp(?book <http://ex.org/author>  
      <http://ex.org/Shakespeare>),  
      Bgp(?book <http://ex.org/author>  
        <http://ex.org/Marlowe>))))
```

- Online translation tool:  
<http://sparql.org/query-validator.html>

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# Semantics of the SPARQL Algebra Operations

Now we have an algebra object, but what do the algebra operations mean?

$\text{Bgp}(P)$	match/evaluate pattern $P$
$\text{Join}(M_1, M_2)$	conjunctive join of solutions $M_1$ and $M_2$
$\text{Union}(M_1, M_2)$	union of solutions $M_1$ with $M_2$
$\text{LeftJoin}(M_1, M_2, F)$	optional join of $M_1$ with $M_2$ with filter constraint $F$ ( <code>true</code> if no filter given)
$\text{Filter}(F, M)$	filter solutions $M$ with constraint $F$
$Z$	empty pattern (identity for join)



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$\text{Filter}(F, M)$	filter solutions $M$ with constraint $F$
$Z$	empty pattern (identity for join)

- Only  $\text{Bgp}(\cdot)$  matches or evaluates graph patterns
- ↪ We can use entailment checking rather than graph matching

# Definition of the SPARQL Operators

How can we define that more formally?

Output:

- “solution set” (formatting irrelevant)

Input:

- Queried (active) graph
- Partial results from previous evaluation steps
- Different parameters according to the operation

↪ How can we formally describe the “results”?

# SPARQL Results

Intuition: Results coded as tables of variable assignments

## Result:

List of solutions (solution sequence)

↪ each solution corresponds to one table row

# SPARQL Results

Intuition: Results coded as tables of variable assignments

## Result:

List of solutions (solution sequence)

↪ each solution corresponds to one table row

## Solution:

Partial function

- Domain: relevant variables
- Range: IRIs  $\cup$  blank nodes  $\cup$  RDF literals

↪ Unbound variables are those that have no assigned value (partial function)

# Evaluation of Basic Graph Patterns

## Definition (Solution)

Let  $P$  be a basic graph pattern. A partial function  $\mu$  is a solution for  $\text{Bgp}(P)$  over the queried (active) graph  $G$  if:

- 1 the domain of  $\mu$  is exactly the set of variables in  $P$ ,
- 2 there exists an assignment  $\sigma$  from blank nodes in  $P$  to IRIs, blank nodes, or RDF literals such that:
- 3 the RDF graph  $\mu(\sigma(P))$  is a subgraph of  $G$ .

# Evaluation of Basic Graph Patterns

- The result of evaluating  $\text{Bgp}(P)$  over  $G$  is written  $\llbracket \text{Bgp}(P) \rrbracket_G$
- The result is a multi set of solutions  $\mu$
- The multiplicity of each solution  $\mu$  corresponds to the number of different assignments  $\sigma$

# Multi Sets

## Definition (Multi Set)

A multi set over a set  $S$  is a total function  $M: S \rightarrow \mathbf{N}^+ \cup \{\omega\}$

- $\mathbf{N}^+$  denotes the positive natural numbers
  - $\omega > n$  for all  $n \in \mathbf{N}^+$
  - $M(s)$  is the multiplicity of  $s \in S$
  - $\omega$ : countably infinite number of occurrences
- 
- We represent a multi set over the set  $S$  also with the set  $\{(s, M(s)) \mid s \in S\}$
  - We write  $(s, n) \in M$  if  $M(s) = n$
  - We assume that  $M(s) = 0$  if  $s \notin S$
  - Alternative notation:  $\dot{\{a, b, b\}}$  corresponds to the multi set  $M$  over the set  $\{a, b\}$  with  $M(a) = 1$  and  $M(b) = 2$

## Solution Mapping Example

```
ex:Birte ex:gives [  
  a ex:Lecture ;  
  ex:hasTopic "SPARQL" ] .  
ex:Sebastian ex:gives [  
  a ex:Lecture ;  
  ex:hasTopic "DLs and OWL" ] .
```

**Bgp**(?who ex:gives \_:x . \_:x ex:hasTopic ?what)



## Solution Mapping Example

```
ex:Birte ex:gives _:a .  
_:a rdf:type ex:Lecture .  
_:a ex:hasTopic "SPARQL" .  
ex:Sebastian ex:gives _:b .  
_:b rdf:type ex:Lecture .  
_:b ex:hasTopic "DLs and OWL" .
```

```
Bgp(?who ex:gives _:x . _:x ex:hasTopic ?what)
```

## Solution Mapping Example

```
ex:Birte ex:gives _:a .
_:a rdf:type ex:Lecture .
_:a ex:hasTopic "SPARQL" .
ex:Sebastian ex:gives _:b .
_:b rdf:type ex:Lecture .
_:b ex:hasTopic "DLs and OWL" .
```

**Bgp**(?who ex:gives \_:x . \_:x ex:hasTopic ?what)

$\mu_1$ :        ?who  $\mapsto$  ex:Birte,                ?what  $\mapsto$  "SPARQL"  
 $\sigma_1$ :        \_:x  $\mapsto$  \_:a

## Solution Mapping Example

```
ex:Birte ex:gives _:a .  
_:a rdf:type ex:Lecture .  
_:a ex:hasTopic "SPARQL" .  
ex:Sebastian ex:gives _:b .  
_:b rdf:type ex:Lecture .  
_:b ex:hasTopic "DLs and OWL" .
```

**Bgp**(?who ex:gives \_:x . \_:x ex:hasTopic ?what)

$\mu_1$ :	?who $\mapsto$ ex: Birte,	?what $\mapsto$ "SPARQL"
$\sigma_1$ :	_:x $\mapsto$ _:a	
$\mu_2$ :	?who $\mapsto$ ex: Sebastian,	?what $\mapsto$ "DLs and OWL"
$\sigma_2$ :	_:x $\mapsto$ _:b	

## Solution Mapping Example

```
ex:Birte ex:gives _:a .  
_:a rdf:type ex:Lecture .  
_:a ex:hasTopic "SPARQL" .  
ex:Sebastian ex:gives _:b .  
_:b rdf:type ex:Lecture .  
_:b ex:hasTopic "DLs and OWL" .
```

**Bgp**(?who ex:gives \_:x . \_:x ex:hasTopic ?what)

$\mu_1$ :	?who $\mapsto$ ex: Birte,	?what $\mapsto$ "SPARQL"
$\sigma_1$ :	_:x $\mapsto$ _:a	
$\mu_2$ :	?who $\mapsto$ ex: Sebastian,	?what $\mapsto$ "DLs and OWL"
$\sigma_2$ :	_:x $\mapsto$ _:b	

Two solutions each with multiplicity 1

## Exercise Solution Sets

```
ex:Birte ex:gives [  
  a ex:Lecture ;  
  ex:hasTopic "SPARQL" ] .
```

```
ex:Birte ex:gives [  
  a ex:Lecture ;  
  ex:hasTopic "SPARQL Algebra" ] .
```

```
Bgp(?who ex:gives _:x . _:x ex:hasTopic _:y)
```

---

# Solution

## Solution

```
ex:Birte ex:gives _:a .
_:a rdf:type ex:Lecture .
_:a ex:hasTopic "SPARQL" .
ex:Birte ex:gives _:b .
_:b rdf:type ex:Lecture .
_:b ex:hasTopic "SPARQL Algebra" .
```

```
Bgp(?who ex:gives _:x . _:x ex:hasTopic _:y)
```

## Solution

```
ex:Birte ex:gives _:a .
_:a rdf:type ex:Lecture .
_:a ex:hasTopic "SPARQL" .
ex:Birte ex:gives _:b .
_:b rdf:type ex:Lecture .
_:b ex:hasTopic "SPARQL Algebra" .
```

**Bgp**(?who ex:gives \_:x . \_:x ex:hasTopic \_:y)

$\mu_1$ :        ?who  $\mapsto$  ex:Birte,

$\sigma_1$ :        \_:x  $\mapsto$  \_:a                    \_:y  $\mapsto$  "SPARQL"



## Solution

```
ex:Birte ex:gives _:a .
_:a rdf:type ex:Lecture .
_:a ex:hasTopic "SPARQL" .
ex:Birte ex:gives _:b .
_:b rdf:type ex:Lecture .
_:b ex:hasTopic "SPARQL Algebra" .
```

**Bgp**(?who ex:gives \_:x . \_:x ex:hasTopic \_:y)

$\mu_1$ : ?who  $\mapsto$  ex:Birte,

$\sigma_1$ :    \_:x  $\mapsto$  \_:a                    \_:y  $\mapsto$  "SPARQL"

$\mu_2$ : ?who  $\mapsto$  ex:Birte,

$\sigma_2$ :    \_:x  $\mapsto$  \_:b                    \_:y  $\mapsto$  "SPARQL Algebra"

# Solution

```
ex:Birte ex:gives _:a .
_:a rdf:type ex:Lecture .
_:a ex:hasTopic "SPARQL" .
ex:Birte ex:gives _:b .
_:b rdf:type ex:Lecture .
_:b ex:hasTopic "SPARQL Algebra" .
```

**Bgp**(?who ex:gives \_:x . \_:x ex:hasTopic \_:y)

$\mu_1$ :	?who $\mapsto$ ex: Birte,	
$\sigma_1$ :	_:x $\mapsto$ _:a	_:y $\mapsto$ "SPARQL"
$\mu_2$ :	?who $\mapsto$ ex: Birte,	
$\sigma_2$ :	_:x $\mapsto$ _:b	_:y $\mapsto$ "SPARQL Algebra"

One solution with multiplicity 2

## Union of Solutions (1)

### Definition (Compatibility)

Two solutions  $\mu_1$  and  $\mu_2$  are compatible if  
 $\mu_1(x) = \mu_2(x)$  for all  $x$ , for which  $\mu_1$  and  $\mu_2$  are defined

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$\mu_1: ?x \mapsto ex : a, ?y \mapsto ex : b$

$\mu_2: ?y \mapsto ex : b, ?z \mapsto ex : c$

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 $\mu_2: ?y \mapsto ex : b, ?z \mapsto ex : c \quad \checkmark$

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$\mu_1: ?x \mapsto ex : a, ?y \mapsto ex : b$

$\mu_2: ?y \mapsto ex : b, ?z \mapsto ex : c$  ✓

$\mu_1: ?x \mapsto ex : a, ?y \mapsto ex : b$

$\mu_2: ?x \mapsto ex : b, ?z \mapsto ex : c$

## Union of Solutions (1)

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$\mu_1: ?x \mapsto ex : a, ?y \mapsto ex : b$

$\mu_2: ?y \mapsto ex : b, ?z \mapsto ex : c$  ✓

$\mu_1: ?x \mapsto ex : a, ?y \mapsto ex : b$

$\mu_2: ?x \mapsto ex : b, ?z \mapsto ex : c$  ✗

## Union of Solutions (1)

### Definition (Compatibility)

Two solutions  $\mu_1$  and  $\mu_2$  are compatible if  
 $\mu_1(x) = \mu_2(x)$  for all  $x$ , for which  $\mu_1$  and  $\mu_2$  are defined

$\mu_1: ?x \mapsto ex : a, ?y \mapsto ex : b$

$\mu_2: ?y \mapsto ex : b, ?z \mapsto ex : c$  ✓

$\mu_1: ?x \mapsto ex : a, ?y \mapsto ex : b$

$\mu_2: ?x \mapsto ex : b, ?z \mapsto ex : c$  ✗

$\mu_1: ?x \mapsto ex : a$

$\mu_2: ?y \mapsto ex : b$



## Union of Solutions (1)

### Definition (Compatibility)

Two solutions  $\mu_1$  and  $\mu_2$  are compatible if  
 $\mu_1(x) = \mu_2(x)$  for all  $x$ , for which  $\mu_1$  and  $\mu_2$  are defined

$\mu_1: ?x \mapsto ex : a, ?y \mapsto ex : b$

$\mu_2: ?y \mapsto ex : b, ?z \mapsto ex : c$  ✓

$\mu_1: ?x \mapsto ex : a, ?y \mapsto ex : b$

$\mu_2: ?x \mapsto ex : b, ?z \mapsto ex : c$  ✗

$\mu_1: ?x \mapsto ex : a$

$\mu_2: ?y \mapsto ex : b$  ✓

## Union of Solutions (2)

Union of two compatible solutions  $\mu_1$  and  $\mu_2$ :

$$(\mu_1 \cup \mu_2)(x) = \begin{cases} \mu_1(x) & \text{if } x \in \text{dom}(\mu_1) \\ \mu_2(x) & \text{otherwise} \end{cases}$$

↪ simple intuition: union of matching table rows

- Next lecture: Evaluation of the main algebra operators

# Agenda

- 1 Recap
- 2 Output Formats
- 3 SPARQL Semantics
- 4 Transformation of Queries into Algebra Objects
- 5 Evaluation of the SPARQL Algebra
- 6 Summary**

# Summary

- SPARQL queries are translated into algebra objects
- The BGPs generate solutions
- Other algebra operators combine solutions
- Details in the next lecture