

COMPLEXITY THEORY

Lecture 2: Turing Machines and Languages

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Knowledge-Based Systems

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A Model for Computation

Clear

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“Given a Diophantine equation with any number of unknown quantities and with rational integral numerical coefficients: To devise a process according to which it can be determined in a finite number of operations whether the equation is solvable in rational integers.”

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Answer

With Turing machines.

Turing Machines

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Definition 2.2: A (deterministic) **Turing Machine** $\mathcal{M} = \langle Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}} \rangle$ consists of

- a finite set Q of **states**,
- an **input alphabet** Σ not containing \sqcup ,
- a **tape alphabet** Γ such that $\Gamma \supseteq \Sigma \cup \{\sqcup\}$.
- a **transition function** $\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$
- an **initial state** $q_0 \in Q$,
- an **accepting state** $q_{\text{accept}} \in Q$, and
- an **rejecting state** $q_{\text{reject}} \in Q$ such that $q_{\text{accept}} \neq q_{\text{reject}}$.

Turing Machines

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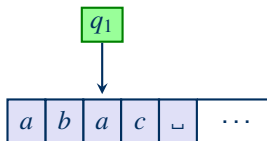
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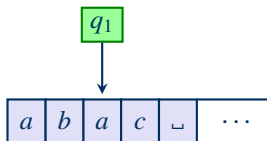
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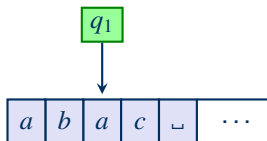
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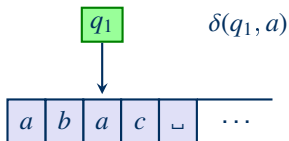
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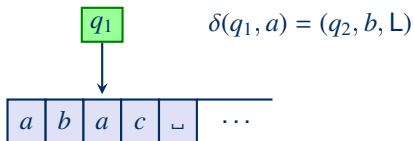
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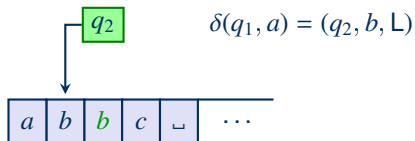
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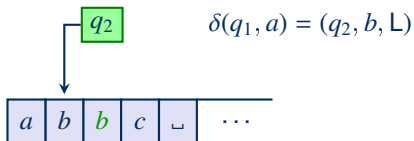
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- The head can read only one symbol at a time
- The head moves and writes according to the transition function δ ; the current state also changes accordingly
- The head will stay put when attempting to cross the left tape end

Configurations

Observation: to describe the current step of a computation of a TM it is enough to know

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Some special configurations:

- The **start configuration** for some input word $w \in \Sigma^*$ is the configuration q_0w
- A configuration uqv is **accepting** if $q = q_{\text{accept}}$.
- A configuration uqv is **rejecting** if $q = q_{\text{reject}}$.

Computation

We write

- $C \vdash_{\mathcal{M}} C'$ only if C' can be reached from C by one computation step of \mathcal{M} ;
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We say that \mathcal{M} **halts** on input w if and only if there is a finite sequence of configurations

$$C_0 \vdash_{\mathcal{M}} C_1 \vdash_{\mathcal{M}} \cdots \vdash_{\mathcal{M}} C_\ell$$

such that C_0 is the start configuration of \mathcal{M} on input w and C_ℓ is an accepting or rejecting configuration. Otherwise \mathcal{M} **loops** on input w .

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We say that \mathcal{M} **accepts** the input w only if \mathcal{M} halts on input w with an accepting configuration.

Recognisability and Decidability

Definition 2.5: Let \mathcal{M} be a Turing machine with input alphabet Σ . The language accepted by \mathcal{M} is the set

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A language $\mathbf{L} \subseteq \Sigma^*$ is called Turing-recognisable (recursively enumerable) if and only if there exists a Turing machine \mathcal{M} with input alphabet Σ^* such that $\mathbf{L} = \mathbf{L}(\mathcal{M})$. In this case we say that \mathcal{M} recognises \mathbf{L} .

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A language $\mathbf{L} \subseteq \Sigma^*$ is called **Turing-decidable** (decidable, recursive) if and only if there exists a Turing machine \mathcal{M} such that $\mathbf{L} = \mathbf{L}(\mathcal{M})$ and \mathcal{M} halts on every input. In this case we say that \mathcal{M} **decides** \mathbf{L} .

Example

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Proof: A Turing machine \mathcal{M} that decides \mathbf{L} is

$\mathcal{M} :=$ On input w , where w is a string

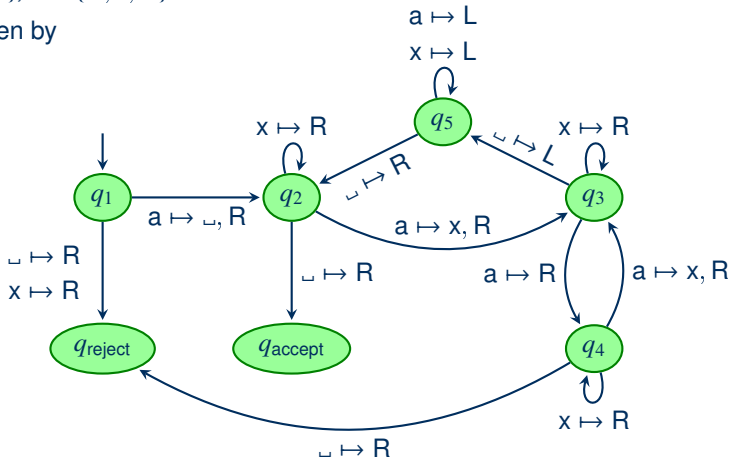
- Go from left to right over the tape and cross off every other a
- If in the first step the tape contained a single a, accept
- If in the first step the number of a's on the tape was odd, reject
- Return the head the beginning of the tape
- Go to the first step

Example (cont'd)

Formally, $\mathcal{M} = (Q, \Sigma, \Gamma, \delta, q_1, q_{\text{accept}}, q_{\text{reject}})$, where

- $Q = \{q_1, q_2, q_3, q_4, q_5, q_{\text{accept}}, q_{\text{reject}}\}$
- $\Sigma = \{a\}, \Gamma = \{a, x, \sqcup\}$

and δ is given by



Problems as Languages

Observation

- Languages can be used to model computational problems.
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Notation 2.8: The encoding of objects O_1, \dots, O_n we denote by $\langle O_1, \dots, O_n \rangle$.

The Church-Turing Thesis

It turns out that Turing-machines are **equivalent** to a number of formalisations of the intuitive notion of an **algorithm**

- λ -calculus
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Because of this it is believed that Turing-machines completely capture the intuitive notion of an algorithm. \leadsto **Church-Turing Thesis:**

“A function on the natural numbers is intuitively computable if and only if it can be computed by a Turing machine.”

(\rightarrow Wikipedia: Church-Turing Thesis)

Variations of Turing-Machines

It has also been shown that deterministic, single-tape Turing machines are equivalent to a wide range of other forms of Turing machines:

- Multi-tape Turing machines
- Nondeterministic Turing machines
- Turing machines with doubly-infinite tape
- Multi-head Turing machines
- Two-dimensional Turing machines
- Write-once Turing machines
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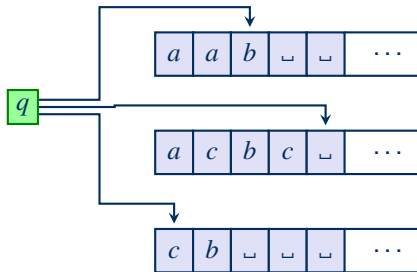
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Definition 2.9: Let $k \in \mathbb{N}$. Then a (deterministic) **k -tape Turing machine** is a tuple $M = (Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$, where

- $Q, \Sigma, \Gamma, q_0, q_{\text{accept}}, q_{\text{reject}}$ are as for TMs
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The notions of a **configuration** and of the **language accepted by M** are defined analogously to the single-tape case.

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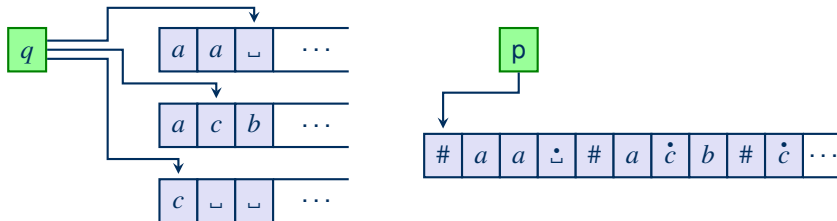
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A nondeterministic TM M **accepts** an input w if and only if **there exists** some accepting computation of M on input w .

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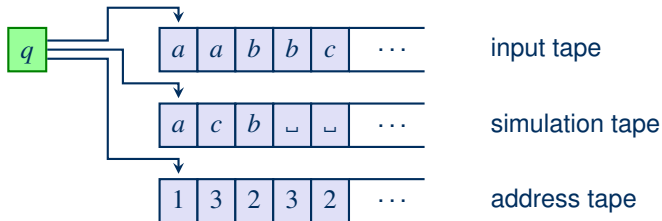
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- For this, successively try out all possible choices of transitions allowed by N .

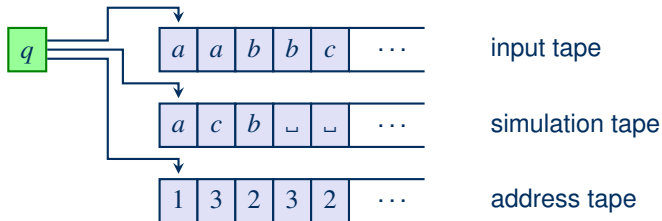
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Let b be the maximal number of choices in δ , i.e.,

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 - If an accepting configuration is reached at the end of the simulation, accept.

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- (3) Simulate one finite computation of N on w on the simulation tape.
 - Interpret the address tape as a list of choices to make during this computation.
 - If a choice is invalid, abort simulation.
 - If an accepting configuration is reached at the end of the simulation, accept.
- (4) Increment the content of the address tape, considered as a number in base b , by 1.
Go to step 2.

Nondeterministic Turing Machines

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Enumerators

Definition 2.12: A multi-tape Turing machine M is an **enumerator** if

- M has a designated write-only **output-tape** on which a symbol, once written, can never be changed and where the head can never move left;
- M has a **marker symbol** $\#$ separating words on the output tape.

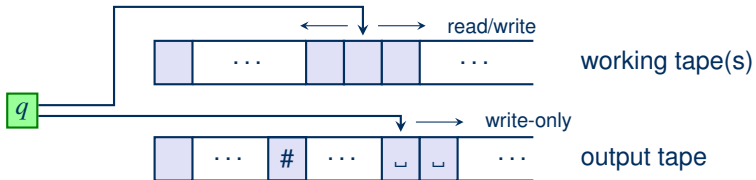
We define the **language generated by M** to be the set $\mathbf{G}(M)$ of all words that eventually appear between two consecutive $\#$ on the output tape of M when started on the empty word as input.

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Theorem 2.13: A language \mathbf{L} is Turing-recognisable if and only if there exists some enumerator E such that $\mathbf{G}(E) = \mathbf{L}$.

Proof: Let E be an enumerator for \mathbf{L} . Then the following TM accepts \mathbf{L} :

$\mathcal{M} :=$ On input w

- Simulate E on the empty input. Compare every string output by E with w
- If w appears in the output of E , accept

Enumerators

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$\mathcal{E} :=$ Ignore the input.

- Repeat for $i = 1, 2, 3, \dots$
 - Run M for i steps on each input s_1, s_2, \dots, s_i
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Theorem 2.14: If \mathbf{L} is Turing-recognisable, then there exists an enumerator for \mathbf{L} that prints each word of \mathbf{L} exactly once.

Enumerators

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Enumerators

Theorem 2.15: A language \mathbf{L} is decidable if and only if there exists an enumerator for \mathbf{L} that outputs exactly the words of \mathbf{L} in some order of non-decreasing length.

Proof: Suppose \mathbf{L} to be decidable, and let M be a TM that decides \mathbf{L} .

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Then M' enumerates exactly the words of \mathbf{L} in some order of non-decreasing length.

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Summary and Outlook

Turing Machines are a simple model of computation

Recognisable (semi-decidable) = recursively enumerable

Decidable = computable = recursive

Many variants of TMs exist – they normally recognise/decide the same languages

What's next?

- A short look into undecidability
- Recursion and self-referentiality
- Actual complexity classes