

# Finite Model Theory of the Triguarded Fragment and Related Logics

Emanuel Kieroński and Sebastian Rudolph



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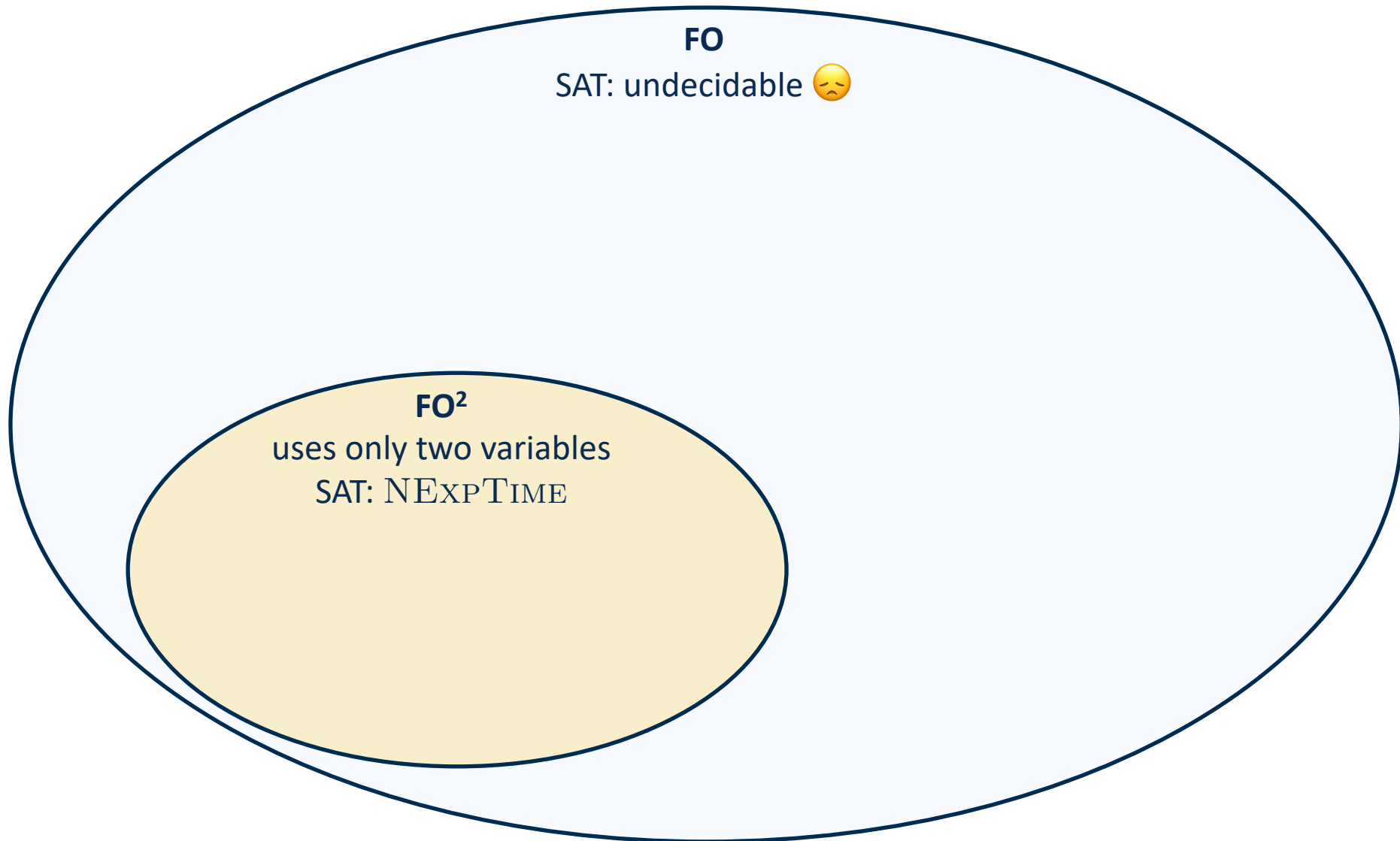


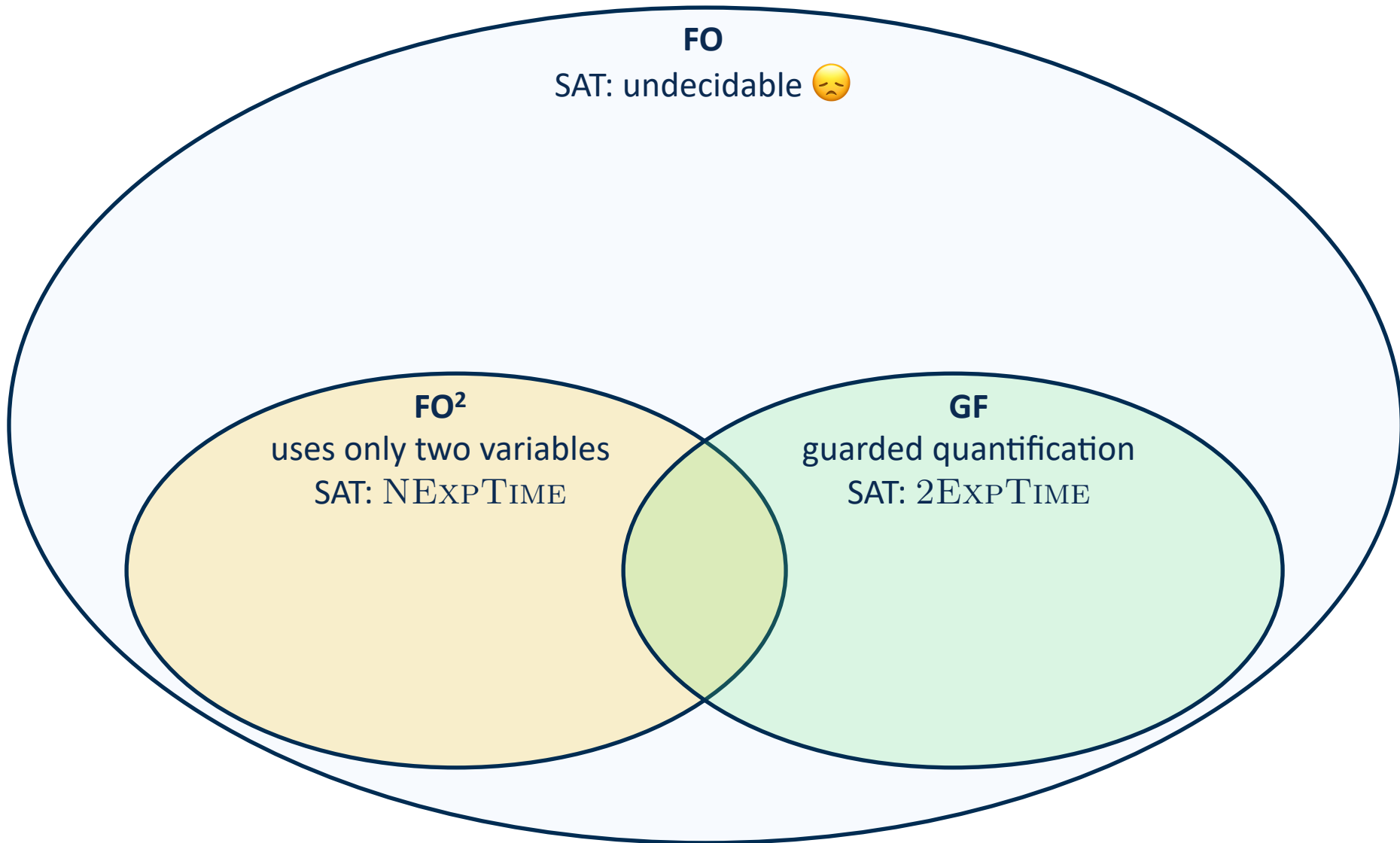
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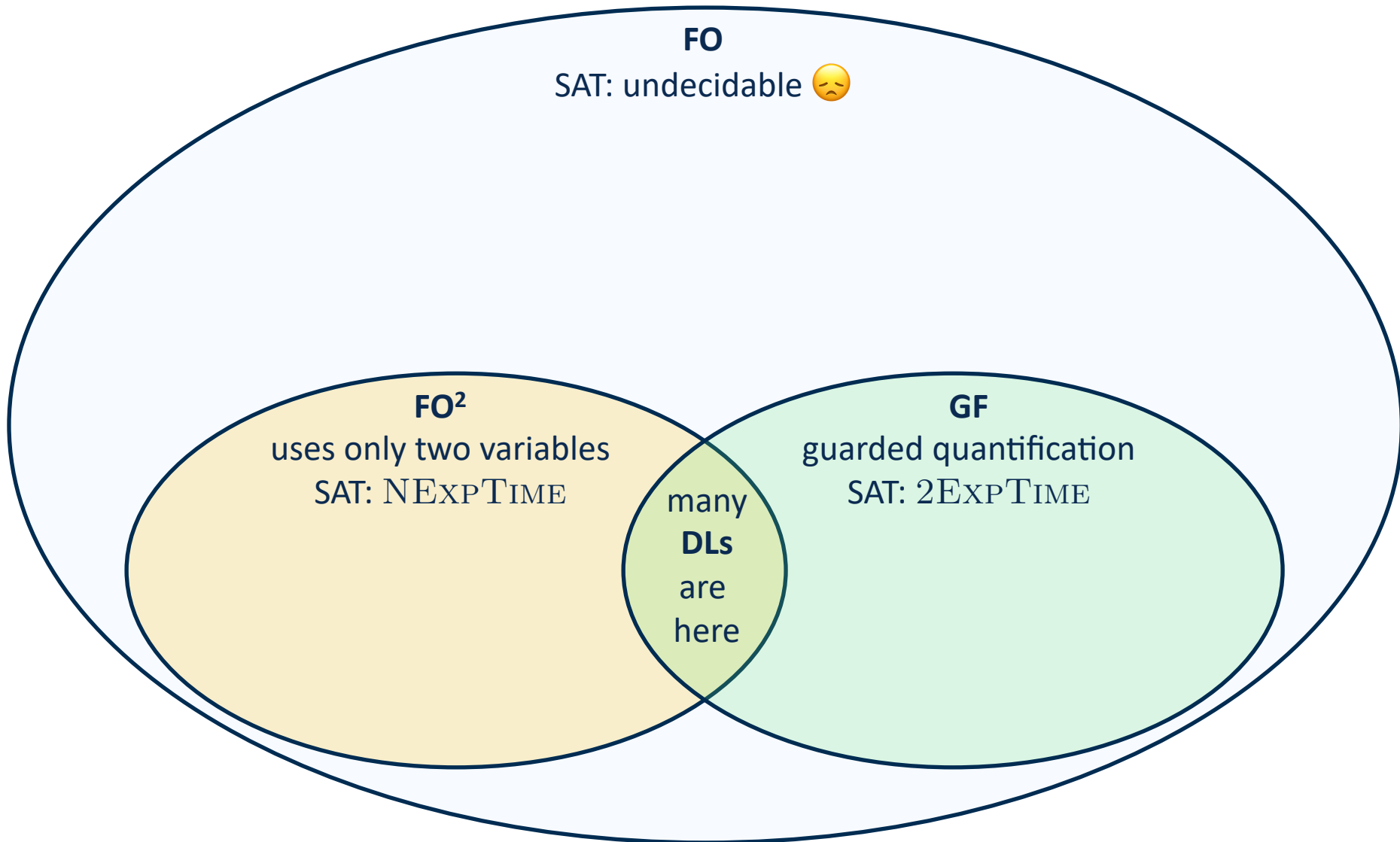


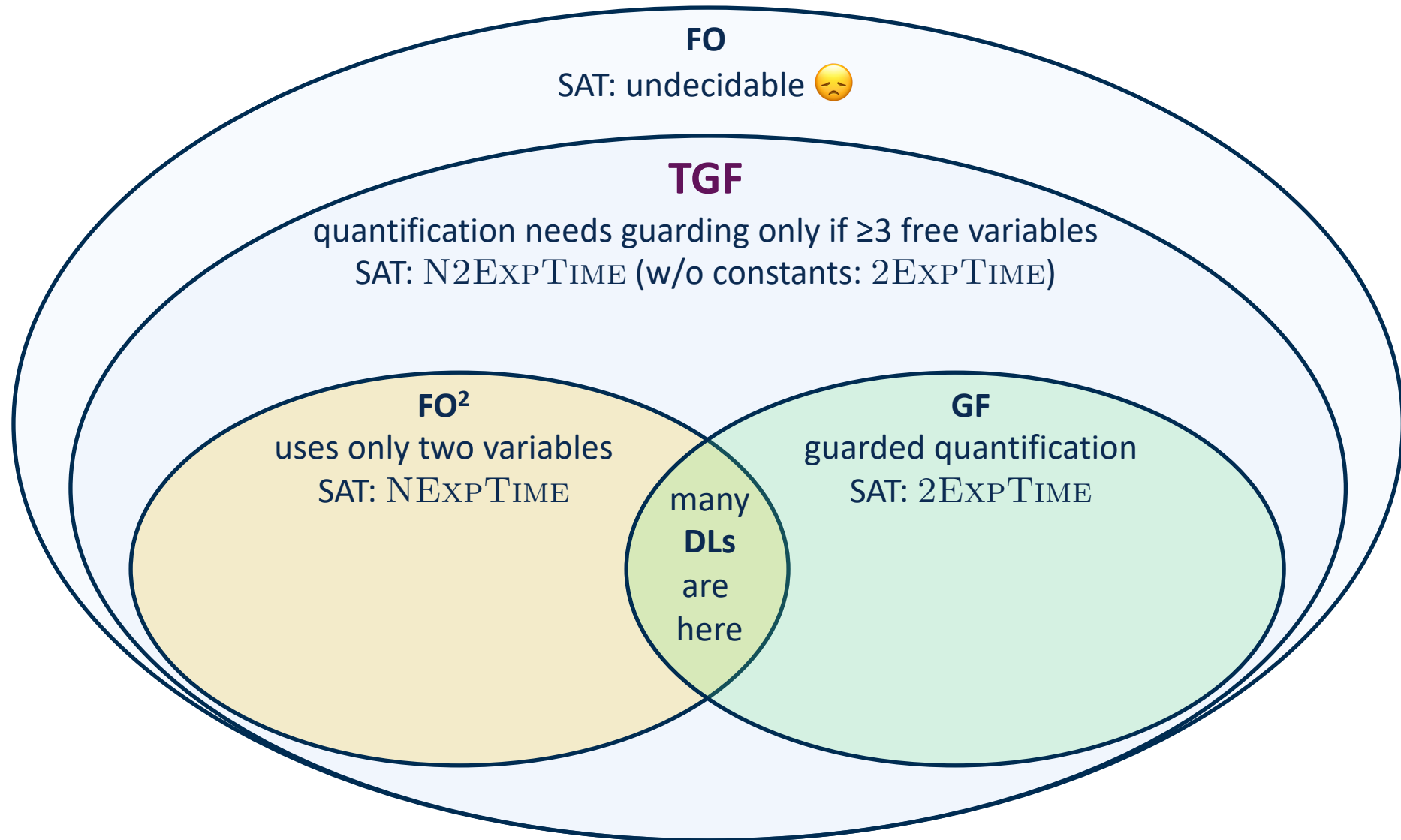
**FO**

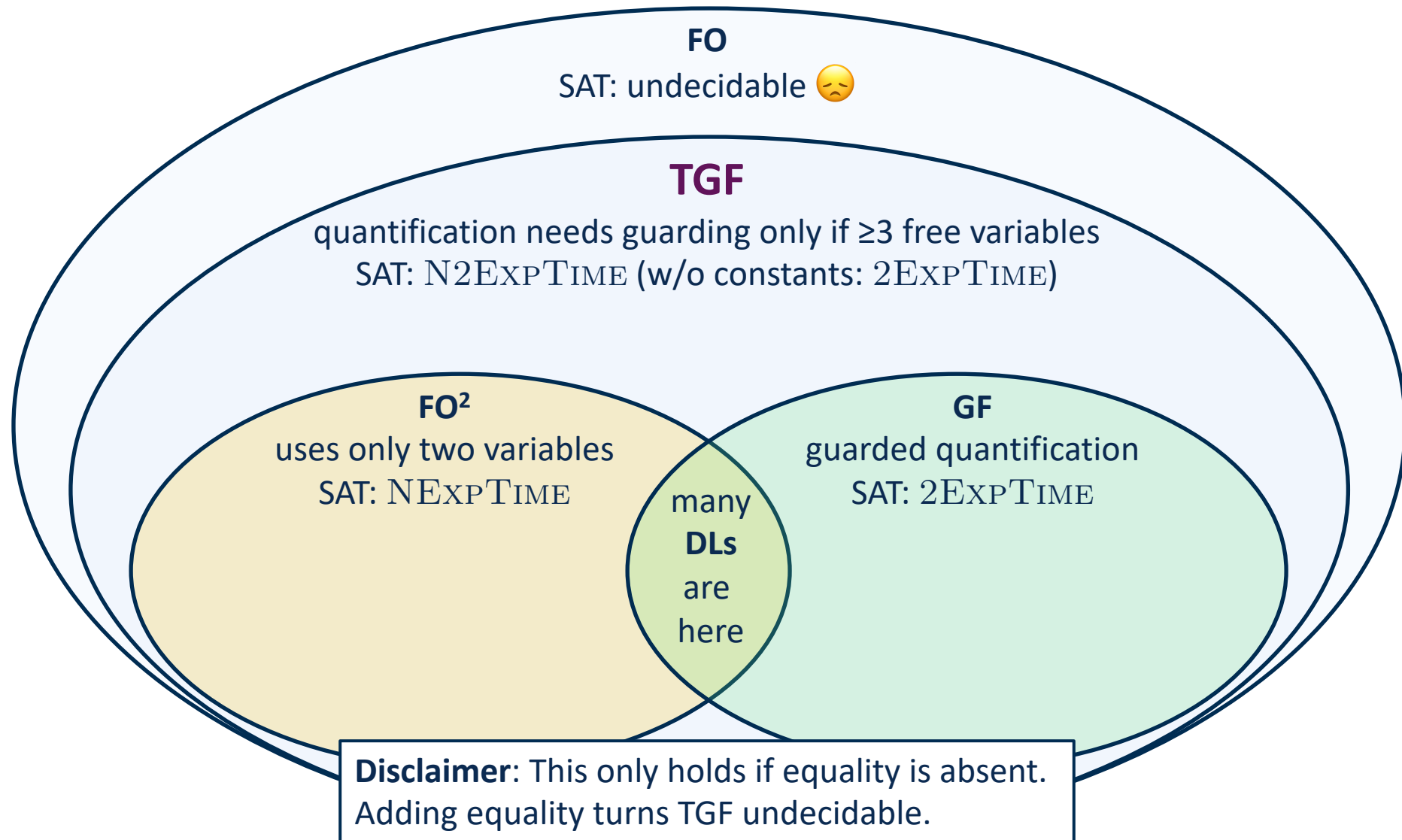
SAT: undecidable 🙄

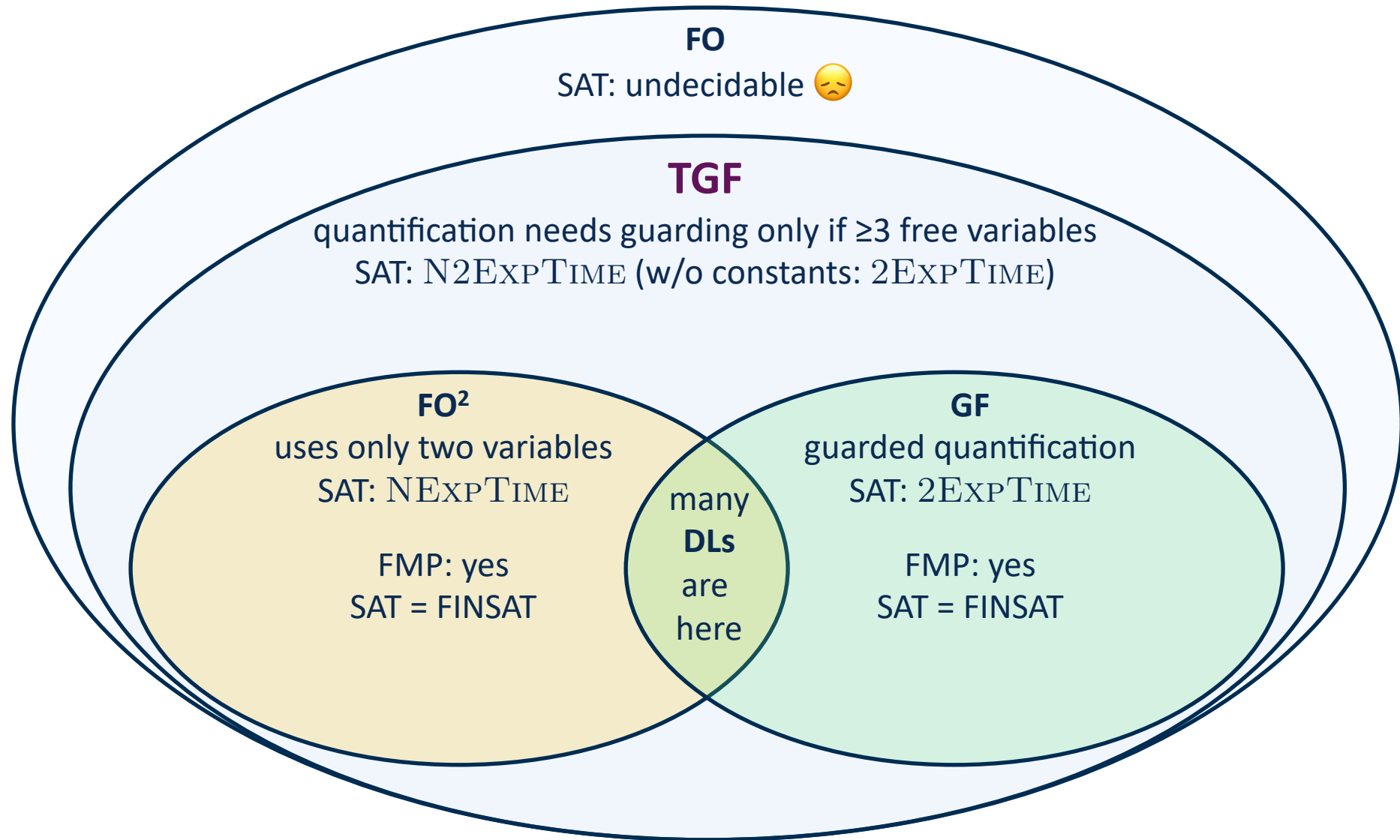




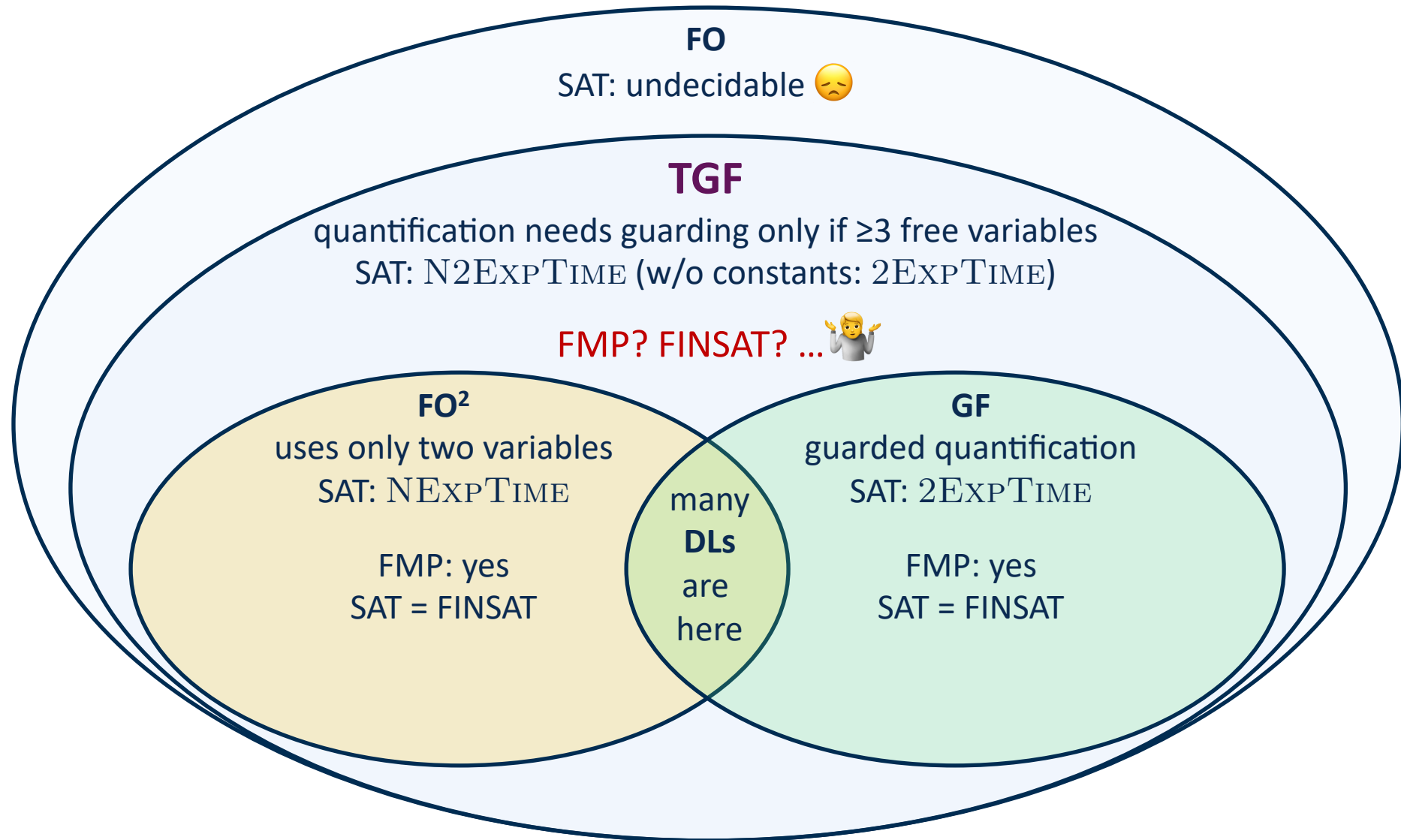












Sentence	FO <sup>2</sup>	GF	TGF
$\forall xy. \text{parent\_of}(x, y) \rightarrow \text{person}(x)$	✓	✓	✓
$\forall x. \text{person}(x) \rightarrow \exists y. \text{parent\_of}(y, x)$	✓	✓	✓
$\forall xy. \text{married}(x, y) \rightarrow \exists z. \text{witness\_of}(z, x, y)$	✗	✓	✓
$\forall xy. \text{elephant}(x) \wedge \text{mouse}(y) \rightarrow \text{bigger\_than}(x, y)$	✓	✗	✓
$\forall xy. \text{carb\_acid}(x) \wedge \text{alcohol}(y) \rightarrow \exists z. \text{combines\_into}(x, y, z) \wedge \text{ester}(z)$	✗	✗	✓
$\forall xyz. \text{bigger\_than}(x, y) \wedge \text{bigger\_than}(y, z) \rightarrow \text{bigger\_than}(x, z)$	✗	✗	✗

Borrowed from notion of “universal role” in description logics:

Let  $U$  be a distinguished binary predicate symbol.

We call a structure  $\mathfrak{A}$  with domain  $A$  *U-biquitous*, if  $U^{\mathfrak{A}} = A \times A$ .

**Definition:** The logic *GFU*

Syntax: Every GF sentence is a GFU sentence.

Semantics (via Model Theory):  $\mathfrak{A}$  is a GFU-model of  $\varphi$  if

- 1  $\mathfrak{A}$  is a GF-model of  $\varphi$  and
- 2  $\mathfrak{A}$  is U-biquitous.

**Observation:** For every TGF sentence  $\varphi$  (not using the symbol  $U$ ), one can polynomially compute a GFU sentence  $\varphi'$  such that

$$\mathfrak{A} \text{ is a model of } \varphi \iff \mathfrak{A}[U \mapsto A \times A] \text{ is a GFU-model of } \varphi'.$$

Example:

$$\forall xy. \mathbf{U}(x,y) \rightarrow ( \text{carb\_acid}(x) \wedge \text{alcohol}(y) \rightarrow \exists z. \text{combines\_into}(x, y, z) \wedge \text{ester}(z) )$$

→ We will work with GFU instead of the original TGF.

So far, proofs related to TGF / GFU required infinite models.

FMP proofs neither for  $FO^2$  nor for GF generalize easily to TGF / GFU.

However, it turns out, we can use machinery for finite-model-construction for GF.

(For clarity, we focus on the case w/o constants.)

Given satisfiable GFU-sentence  $\varphi$ , assume infinite U-biquitous model  $\mathfrak{A}$ .  
Construct finite U-biquitous model  $\mathfrak{A}'$  of  $\varphi$  as follows:

- 1 Strengthen  $\varphi$  into  $\varphi^*$  (still guarded) s.t.  $\mathfrak{A}$  remains model.  
 $\varphi^*$  enforces enough U-connections, even when interpreted non-U-biquitously.
- 2 Use FMP of GF to obtain finite (yet non-U-biquitous) model  $\mathfrak{C}$  of  $\varphi^*$ .
- 3 Obtain  $\mathfrak{A}_0$  as  $125 \cdot |\mathfrak{C}|^2$ -fold disjoint union of  $\mathfrak{C}$  with itself, (still model of  $\varphi^*$ ).
- 4 **U-saturation:** Obtain  $\mathfrak{A}_1, \mathfrak{A}_2 \dots$  by iteratively picking a pair of yet U-unconnected elements and connecting them, using an appropriate pair of connected elements as template (hence maintaining  $\varphi^*$ -modelhood).
- 5 As the number of elements remains constant, the procedure terminates and yields a U-biquitous  $\mathfrak{A}_n = \mathfrak{A}'$ .

- 1 Strengthen  $\varphi$  into  $\varphi^*$  (still guarded) s.t.  $\mathfrak{A}$  remains model.  
 $\varphi^*$  enforces enough U-connections, even when interpreted non-U-biquitously.

Construct GF-sentence  $\varphi^*$  by conjunctively adding to  $\varphi$  statements enforcing that

- exactly all 1-types from  $\mathfrak{A}$  are realized,
- for any two 1-types from  $\mathfrak{A}$ , there are U-connected representatives, and
- U holds between any two elements co-occurring in any other relation.

$$\begin{aligned} & \forall x \left( \bigvee_{\alpha \in \mathbf{\alpha}} \alpha(x) \right) \\ & \bigwedge_{\alpha, \alpha' \in \mathbf{\alpha}} \exists xy (\alpha(x) \wedge \alpha'(y) \wedge U(x, y) \wedge U(y, x)) \\ & \bigwedge_{P \in \sigma} \forall \bar{x} \left( P(\bar{x}) \Rightarrow \bigwedge_{1 \leq i, j \leq |\bar{x}|} U(x_i, x_j) \right) \end{aligned}$$

2 Use FMP of GF to obtain finite (yet non-U-biquitous) small model  $\mathfrak{C}$  of  $\varphi^*$ .

Based on:

Logical Methods in Computer Science  
Vol. 10(2:3)2014, pp. 1–35  
www.lmcs-online.org

Submitted May 3, 2011  
Published May 21, 2014

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## QUERYING THE GUARDED FRAGMENT\*

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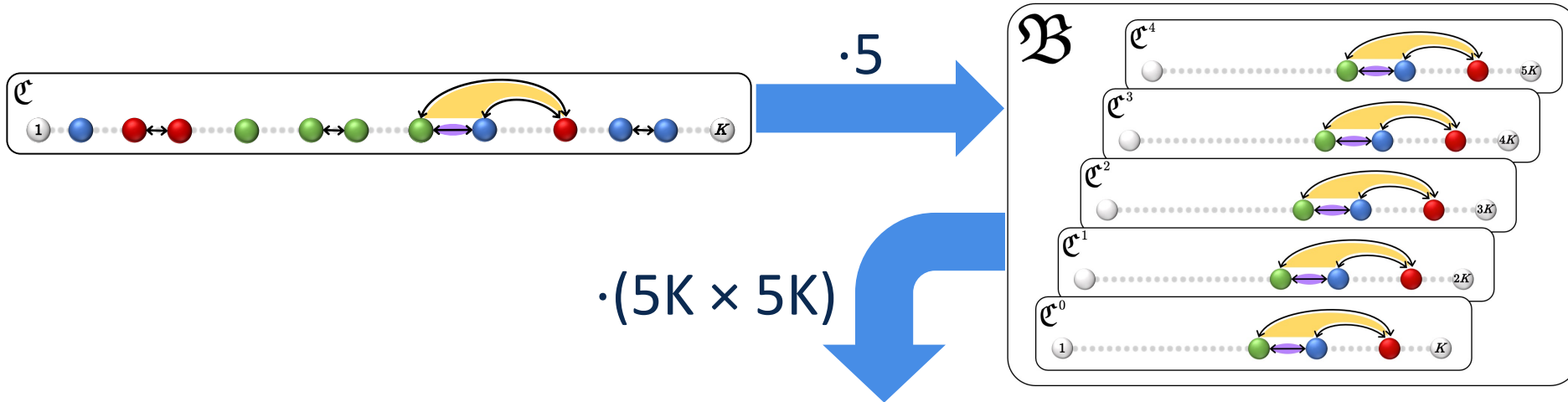
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*e-mail address*: georg.gottlob@comlab.ox.ac.uk

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Straightforward for finiteness, but not when it comes to size:

- small-model-property in above paper guarantees  $2\text{Exp}$  upper bound of the model wrt. length of GF sentence.
- Yet:  $\varphi^*$  has exponential length wrt.  $\varphi$ .
- by careful analysis of  $\varphi^*$ 's structure and the proofs in above paper, we can still ensure: size of  $\mathfrak{C}$  is (only) double exponentially bounded by length of  $\varphi$ .

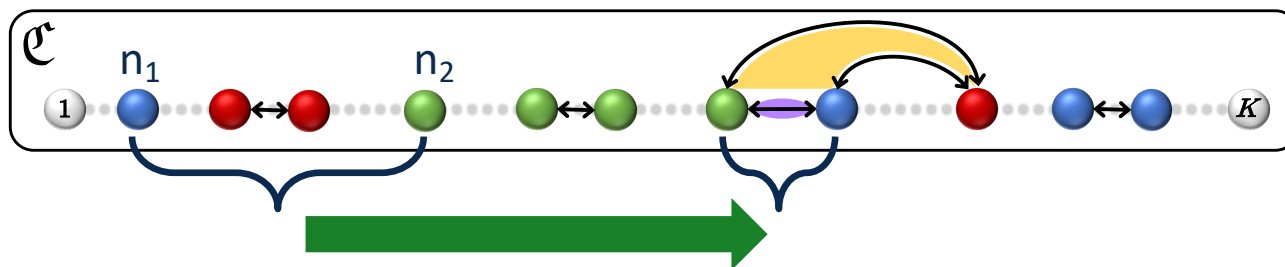
3 Let  $K=|C|$ . Get  $\mathfrak{A}_0$  as  $125 \cdot K^2$ -fold disjoint union of  $\mathfrak{C}$  with itself, (still model of  $\varphi^*$ ).





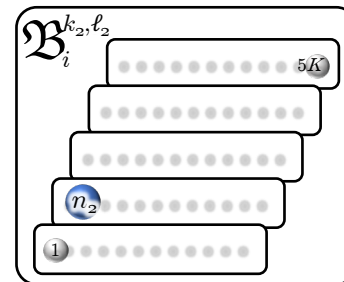
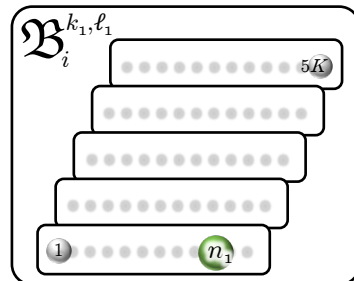
**4 U-saturation:** Obtain  $\mathfrak{A}_1, \mathfrak{A}_2 \dots$  by iteratively picking a pair of yet U-unconnected elements and connecting them, using an appropriate pair of connected elements as template (hence maintaining  $\varphi^*$ -modelhood).

Note:  $\varphi^*$  ensures, for any two elements  $n_1$  and  $n_2$  existence of a “1-type-compatible representative U-connected pair”:

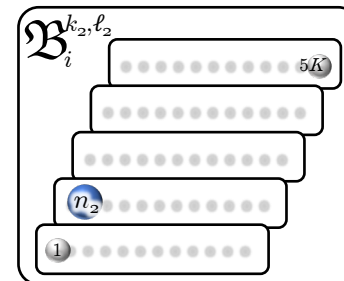
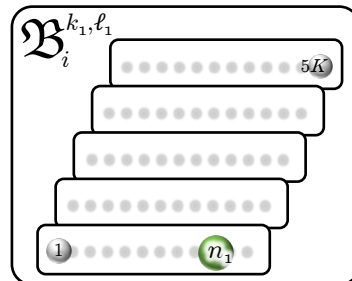
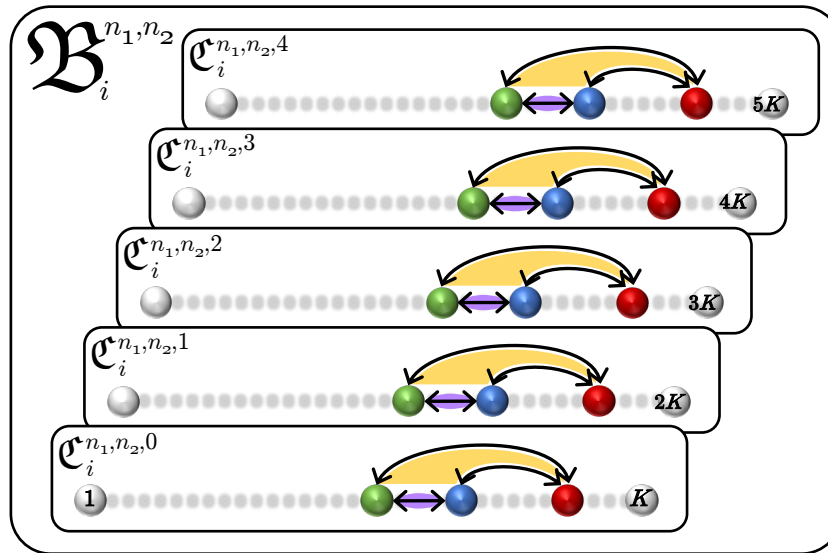


This representative pair will be called “entry pair” for  $n_1$  and  $n_2$

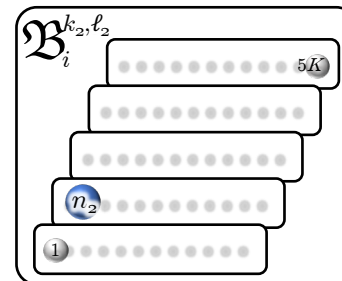
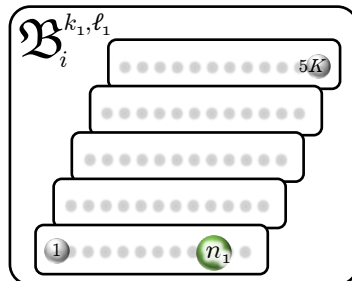
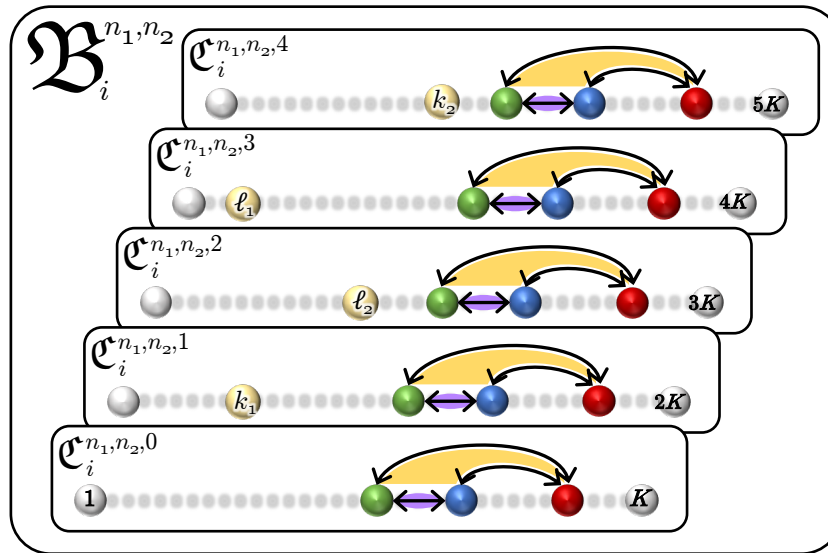
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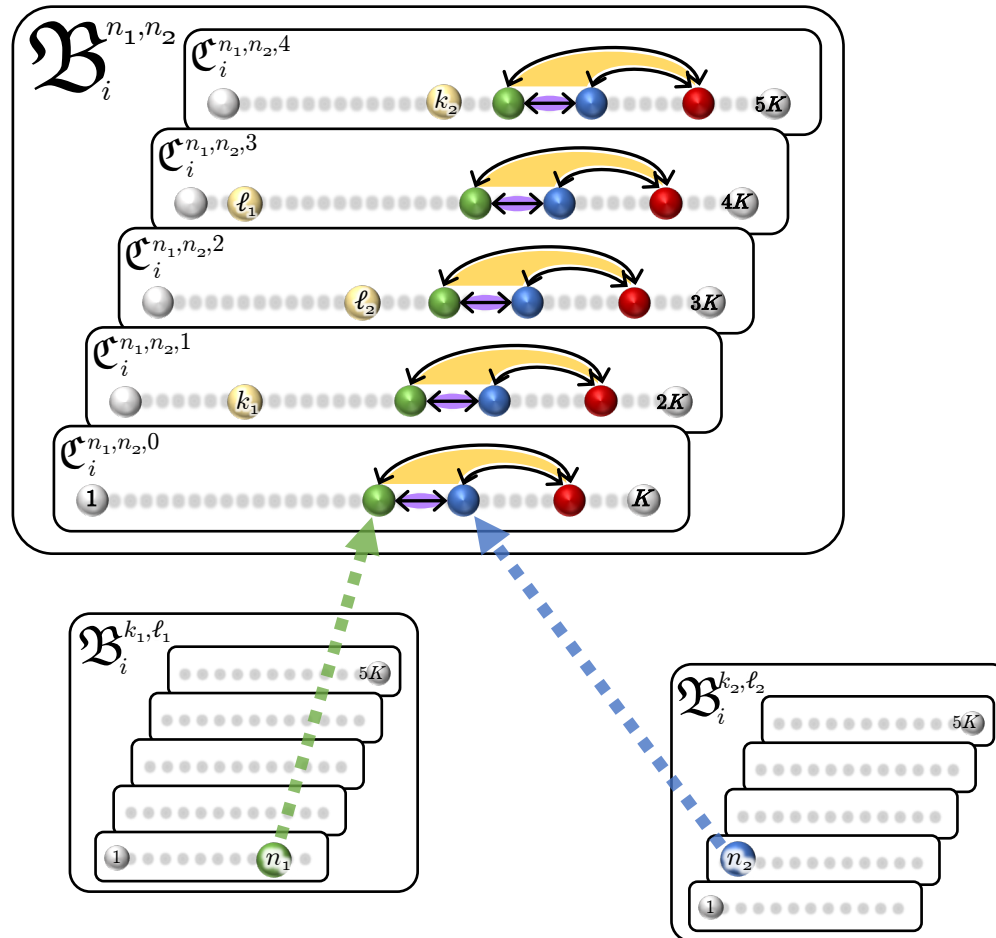
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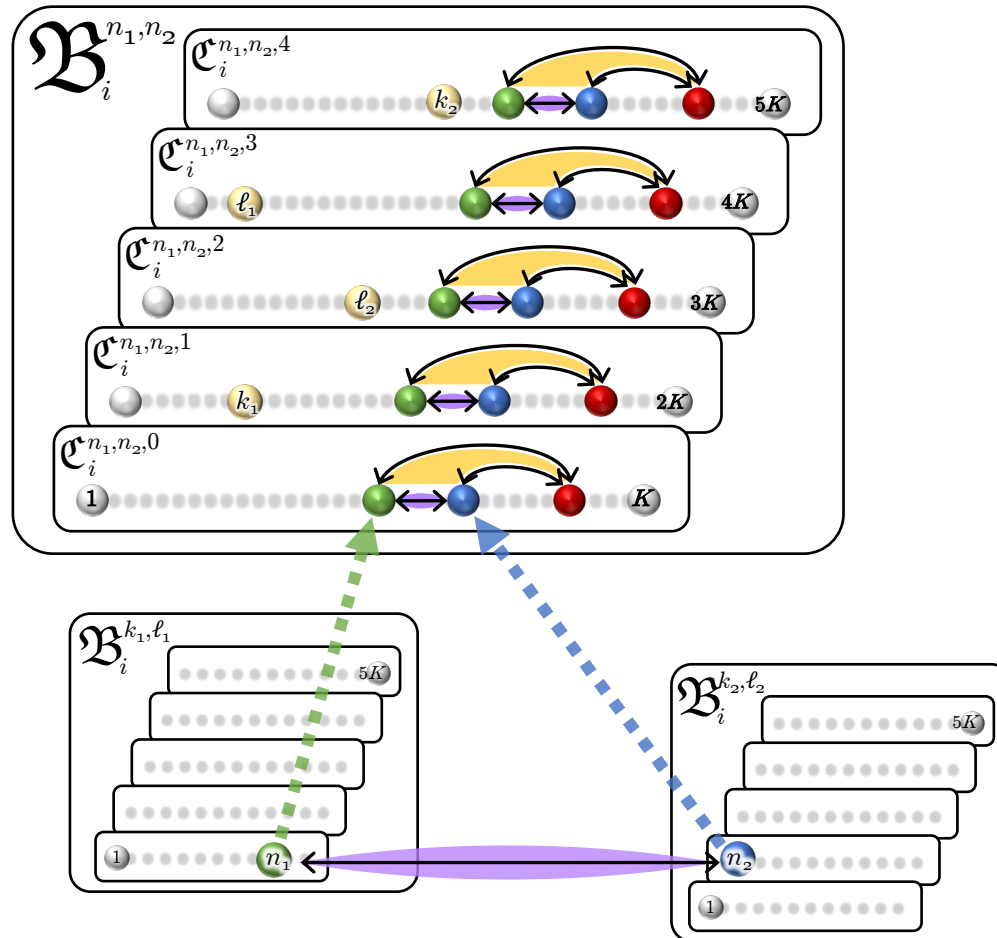
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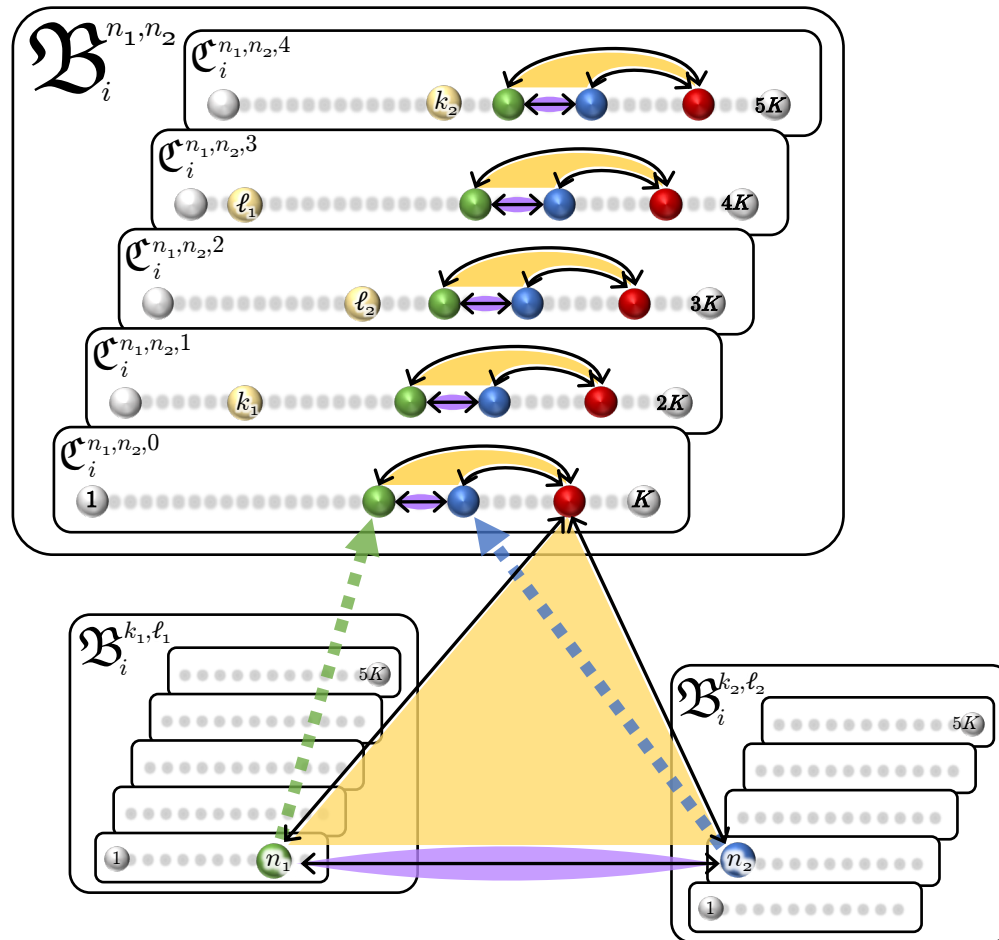
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5 As the number of elements remains constant, the procedure terminates and yields a U-biquitous  $\mathfrak{A}_n = \mathfrak{A}'$ .

“Small” model property:

- discussed before: size of  $\mathfrak{C}$  doubly exponentially bounded by length of  $\varphi$
- only polynomial blowup from  $\mathfrak{C}$  to  $\mathfrak{A}_0$  :  $|\mathfrak{A}_0| = 125 \cdot |\mathfrak{C}|^3$
- no change in size from  $\mathfrak{A}_0$  to  $\mathfrak{A}_n = \mathfrak{A}'$  (domain stays the same)
- thus: size of  $\mathfrak{A}'$  doubly exponentially bounded by length of  $\varphi$

Adding constants requires slight adaptation, but nothing serious.

We obtain:

**Theorem:** *Every satisfiable TGF sentence  $\varphi$  (with or without constants) has a finite model, the size of which is bounded doubly exponentially by the length of  $\varphi$ . Consequently, satisfiability and finite satisfiability of TGF sentences coincide.*



Sentence	FO <sup>2</sup>	GF	TGF
$\forall xy. \text{parent\_of}(x, y) \rightarrow \text{person}(x)$	✓	✓	✓
$\forall x. \text{person}(x) \rightarrow \exists y. \text{parent\_of}(y, x)$	✓	✓	✓
$\forall xy. \text{married}(x, y) \rightarrow \exists z. \text{witness\_of}(z, x, y)$	✗	✓	✓
$\forall xy. \text{elephant}(x) \wedge \text{mouse}(y) \rightarrow \text{bigger\_than}(x, y)$	✓	✗	✓
$\forall xy. \text{carb\_acid}(x) \wedge \text{alcohol}(y) \rightarrow \exists z. \text{combines\_into}(x, y, z) \wedge \text{ester}(z)$	✗	✗	✓
$\forall xyz. \text{bigger\_than}(x, y) \wedge \text{bigger\_than}(y, z) \rightarrow \text{bigger\_than}(x, z)$	✗	✗	✗

But what about transitivity? Transitive relations are important for logical modelling!

- **Bad news:** Adding “built-in” transitive relations to FO<sup>2</sup> or GF turns SAT undecidable.
- **Good news:** “Built-in” transitive relations in GF or TGF are OK, when they only appear as guards: GF+TG, TGF+TG.
- So far, results only for constant-free case (SAT: 2<sup>EXPTIME</sup>).
- But: constructed models are generally infinite...

**Question:** We know GF+TG and TGF+TG do not have FMP. What about FINSAT?

Using some similar and some different ideas regarding parameter analysis and model surgery yields:

**Theorem:** *The finite satisfiability problem for (T)GF+TG without constants is  $2^{EXPTIME}$ -complete. Every finitely satisfiable (T)GF+TG formula has a model of size bounded doubly exponentially in its length.*

Note: GF+TG supports equality while TGF+TG doesn't.





## Remark:

- results remain intact when allowing equality statements of the form  $x = c$
- properly increases expressivity (allows expressing “nominals” known from DLs)

## ToDo:

- adding constants to (T)GF + TG
- conjecture: resulting fragments still decidable
- lower bound for TGF+TG comes from TGF ( $N^2EXPTIME$ ),  
i.e., harder than constant-free case (under standard assumptions)