



SEMINAR ABSTRACT ARGUMENTATION

Introduction to Formal Argumentation

* slides adapted from Stefan Woltran's lecture on Abstract Argumentation

Sarah Gaggl

Dresden, 24th October 2014

Organisation

Goal:

- Get an overview of abstract argumentation and it's most resent research topics.
- Learn to prepare a scientific talk.

Organisation:

- 3 lectures to introduce necessary background.
- In last lecture: topic selection.
- Students should read related literature and prepare a presentation (of 30 min).
- Send the slides **no later than 1 Week before presentation** to sarah.gaggl@tu-dresden.de

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Influence on evaluation:

- If I did receive the slides in time!
- Quality of the slides.
- Quality of the presentation (time limit, easy to follow, clarity, reaction to questions).

First Argumentation System Competition

First International Competition on Computational Models of Argumentation (ICCMA'15), see <http://argumentationcompetition.org>

[Student project](#) for optimizing ASP encodings for abstract argumentation.

If you are interested have a look at

http://www.inf.tu-dresden.de/?node_id=3657&ln=en and contact me!

Roadmap for the Lecture

- Introduction
- Abstract Argumentation Frameworks
- Implementation Techniques
- Extensions of Abstract Argumentation Frameworks
- Students' Topics

Introduction

Argumentation:

... the study of processes “concerned with how assertions are **proposed**, **discussed**, and **resolved** in the context of issues upon which several **diverging opinions** may be held”.

[Bench-Capon and Dunne, Argumentation in AI, AIJ 171:619-641, 2007]

Introduction

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Formal Models of Argumentation are concerned with

- representation of an argument
- representation of the relationship between arguments
- solving conflicts between the arguments (“acceptability”)

Introduction (ctd.)

Increasingly important area

- “Argumentation” as keyword at all major AI conferences
- dedicated conference: [COMMA](#), [TAFAs](#) workshop; and several more workshops
- specialized journal: [Argument and Computation](#) (Taylor & Francis)
- two text books:
 - Besnard, Hunter: *Elements of Argumentation*. MIT Press, 2008
 - Rahwan, Simari (eds.): *Argumentation in Artificial Intelligence*. Springer, 2009.

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Applications

- PARMENIDES-system for E-Democracy: facilitates structured arguments over a proposed course of action [Atkinson et al.; 2006]
- IMPACT project: argumentation toolbox for supporting open, inclusive and transparent deliberations about public policy
- Decision support systems, etc.
- See also <http://comma2014.arg.dundee.ac.uk/demoprogram>.

The Overall Process

Steps

- Starting point: knowledge-base
- Form arguments
- Identify conflicts
- Abstract from internal structure
- Resolve conflicts
- Draw conclusions

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Example

$$\Delta = \{s, r, w, s \rightarrow \neg r, r \rightarrow \neg w, w \rightarrow \neg s\}$$

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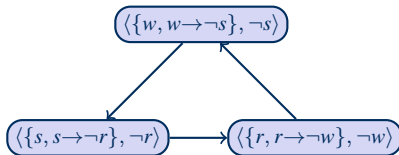
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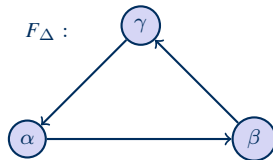
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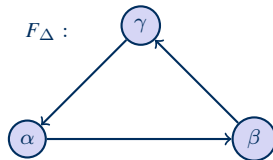
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Example

$$\Delta = \{s, r, w, s \rightarrow \neg r, r \rightarrow \neg w, w \rightarrow \neg s\}$$



$$\begin{aligned} \text{pref}(F_{\Delta}) &= \{\emptyset\} \\ \text{stage}(F_{\Delta}) &= \{\{\alpha\}, \{\beta\}, \{\gamma\}\} \end{aligned}$$

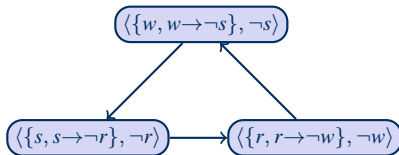
The Overall Process

Steps

- Starting point: knowledge-base
- Form arguments
- Identify conflicts
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- **Draw conclusions**

Example

$$\Delta = \{s, r, w, s \rightarrow \neg r, r \rightarrow \neg w, w \rightarrow \neg s\}$$



$$Cn_{pref}(F_{\Delta}) = Cn(\top)$$

$$Cn_{stage}(F_{\Delta}) = Cn(\neg r \vee \neg w \vee \neg s)$$

The Overall Process (ctd.)

Some Remarks

- Main idea dates back to Dung [1995]; has then been refined by several authors (Prakken, Gordon, Caminada, etc.)
- Separation between logical (forming arguments) and nonmonotonic reasoning (“[abstract argumentation frameworks](#)”)
- Abstraction allows to compare several KR formalisms on a conceptual level (“calculus of conflict”)

The Overall Process (ctd.)

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Main Challenge

- [All Steps](#) in the argumentation process are, in general, [intractable](#).
- This calls for:
 - careful complexity analysis (identification of tractable fragments)
 - re-use of established tools for implementations (reduction method)

Approaches to Form Arguments

Classical Arguments [Besnard & Hunter, 2001]

- Given is a KB (a set of propositions) Δ
- argument is a pair (Φ, α) , such that $\Phi \subseteq \Delta$ is consistent, $\Phi \models \alpha$ and for no $\Psi \subset \Phi$, $\Psi \models \alpha$
- conflicts between arguments (Φ, α) and (Φ', α') arise if Φ and α' are contradicting.

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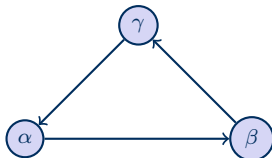


Other Approaches

- Arguments are trees of statements
- claims are obtained via strict and defeasible rules
- different notions of conflict: rebuttal, undercut, etc.

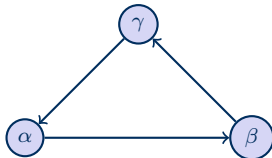
Dung's Abstract Argumentation Frameworks

Example



Dung's Abstract Argumentation Frameworks

Example



Main Properties

- Abstract from the concrete content of arguments but only consider the relation between them
- Semantics select subsets of arguments respecting certain criteria
- Simple, yet powerful, formalism
- Most active research area in the field of argumentation.
 - “plethora of semantics”

Dung's Abstract Argumentation Frameworks

Definition

An **argumentation framework** (AF) is a pair (A, R) where

- A is a set of arguments
- $R \subseteq A \times A$ is a relation representing the conflicts (“attacks”)

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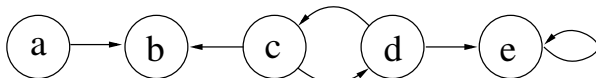
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Example

$F = (\{a, b, c, d, e\}, \{(a, b), (c, b), (c, d), (d, c), (d, e), (e, e)\})$



Basic Properties

Conflict-Free Sets

Given an AF $F = (A, R)$.

A set $S \subseteq A$ is **conflict-free** in F , if, for each $a, b \in S$, $(a, b) \notin R$.

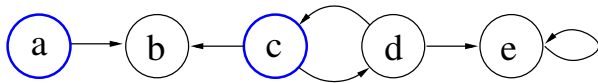
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$$cf(F) = \{\{a, c\},$$

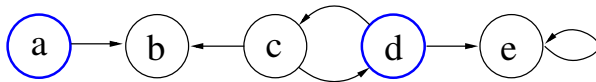
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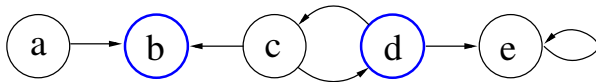
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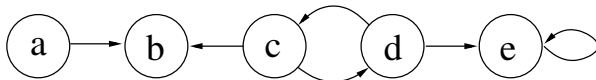
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$$cf(F) = \{\{a, c\}, \{a, d\}, \{b, d\}, \{a\}, \{b\}, \{c\}, \{d\}, \emptyset\}$$

Basic Properties (ctd.)

Admissible Sets [Dung, 1995]

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- S is conflict-free in F
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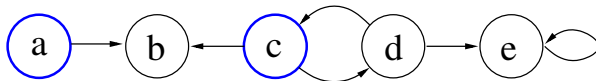
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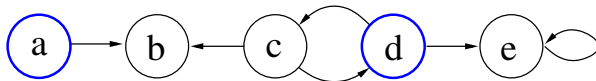
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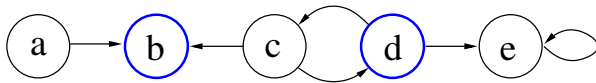
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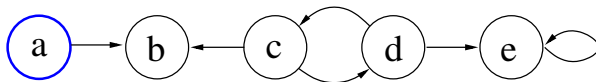
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Example



$$\text{adm}(F) = \{\{a, c\}, \{a, d\}, \{b, d\}, \{a\}, \{b\}, \{c\}, \{d\}, \emptyset\}$$

Basic Properties (ctd.)

Dung's Fundamental Lemma

Let S be admissible in an AF F and a, a' arguments in F defended by S in F .
Then,

- 1 $S' = S \cup \{a\}$ is admissible in F
- 2 a' is defended by S' in F

Semantics

Naive Extensions

Given an AF $F = (A, R)$. A set $S \subseteq A$ is a **naive extension** of F , if

- S is conflict-free in F
- for each $T \subseteq A$ conflict-free in F , $S \not\subseteq T$

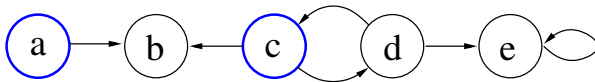
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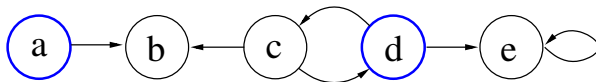
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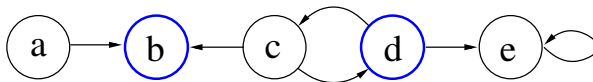
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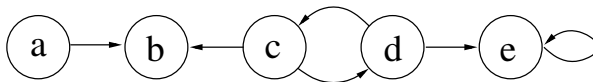
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Semantics (ctd.)

Grounded Extension [Dung, 1995]

Given an AF $F = (A, R)$. The unique **grounded extension** of F is defined as the outcome S of the following “algorithm”:

- 1 put each argument $a \in A$ which is not attacked in F into S ; if no such argument exists, return S ;
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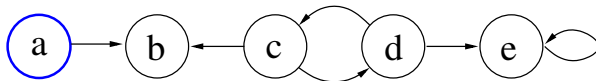
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Example



$$\text{ground}(F) = \{\{a\}\}$$

Semantics (ctd.)

Complete Extension [Dung, 1995]

Given an AF (A, R) . A set $S \subseteq A$ is **complete** in F , if

- S is admissible in F
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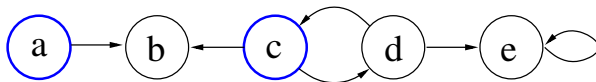
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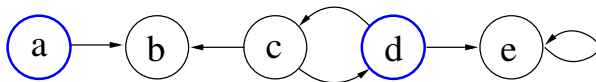
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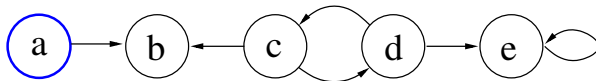
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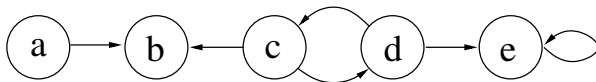
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$$\text{comp}(F) = \{\{a, c\}, \{a, d\}, \{a\}, \{c\}, \{d\}, \emptyset\}$$

Semantics (ctd.)

Properties of the Grounded Extension

For any AF F , the grounded extension of F is the subset-minimal complete extension of F .

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For any AF F , the grounded extension of F is the subset-minimal complete extension of F .

Remark

Since there exists exactly one grounded extension for each AF F , we often write $ground(F) = S$ instead of $ground(F) = \{S\}$.

Semantics (ctd.)

Preferred Extensions [Dung, 1995]

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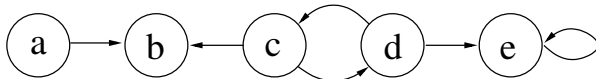
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Stable Extensions [Dung, 1995]

Given an AF $F = (A, R)$. A set $S \subseteq A$ is a **stable extension** of F , if

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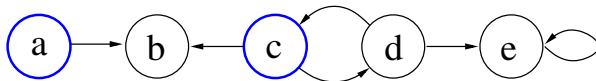
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Example



$$\text{stable}(F) = \{\{a, e\}\}$$

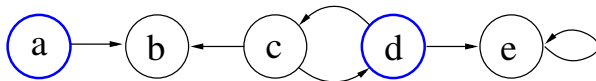
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Stable Extensions [Dung, 1995]

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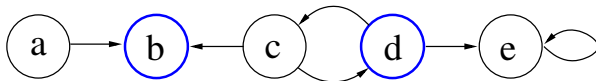
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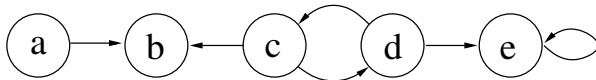
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Example



$$\text{stable}(F) = \{\{a, e\}, \{a, d\}, \{b, d\}, \{a\}, \{b\}, \{c\}, \{d\}, \emptyset, \}$$

Semantics (ctd.)

Some Relations

For any AF F the following relations hold:

- 1 Each stable extension of F is admissible in F
- 2 Each stable extension of F is also a preferred one
- 3 Each preferred extension of F is also a complete one

Semantics (ctd.)

Semi-Stable Extensions [Caminada, 2006]

Given an AF $F = (A, R)$. A set $S \subseteq A$ is a **semi-stable extension** of F , if

- S is admissible in F
- for each $T \subseteq A$ admissible in F , $S^+ \not\subseteq T^+$
 - for $S \subseteq A$, define $S^+ = S \cup \{a \mid \exists b \in S \text{ with } (b, a) \in R\}$

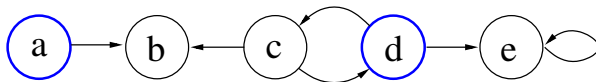
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Example



$$\text{semi}(F) = \{\{a, c\}, \{a, d\}, \{a\}, \{c\}, \{d\}, \emptyset\}$$

Semantics (ctd.)

Stage Extensions [Verheij, 1996]

Given an AF $F = (A, R)$. A set $S \subseteq A$ is a **stage extension** of F , if

- S is conflict-free in F
- for each $T \subseteq A$ conflict-free in F , $S^+ \not\subseteq T^+$
 - recall $S^+ = S \cup \{a \mid \exists b \in S \text{ with } (b, a) \in R\}$

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Ideal Extension [Dung, Mancarella & Toni 2007]

Given an AF $F = (A, R)$. A set $S \subseteq A$ is an **ideal extension** of F , if

- S is admissible in F and contained in each preferred extension of F
- there is no $T \supset S$ admissible in F and contained in each of $\text{pref}(F)$

Semantics (ctd.)

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Eager Extension [Caminada, 2007]

Given an AF $F = (A, R)$. A set $S \subseteq A$ is an **eager extension** of F , if

- S is admissible in F and contained in each semi-stable extension of F
- there is no $T \supset S$ admissible in F and contained in each of $\text{semi}(F)$

Properties of Ideal Extensions

For any AF F the following observations hold:

- 1 there exists exactly one ideal extension of F
- 2 the ideal extension of F is also a complete one

The same results hold for the eager extension and similar variants [Dvořák et al., 2011].

Resolution-based grounded Extensions [Baroni, Giacomin 2008]

A **resolution** β of an AF $F = (A, R)$ contains exactly one of the attacks (a, b) , (b, a) for each pair $a, b \in A$ with $\{(a, b), (b, a)\} \subseteq R$.

A set $S \subseteq A$ is a **resolution-based grounded extension** of F , if

- there exists a resolution β such that $ground((A, R \setminus \beta)) = S$
- and there is no resolution β' such that $ground((A, R \setminus \beta')) \subset S$

Semantics (ctd.)

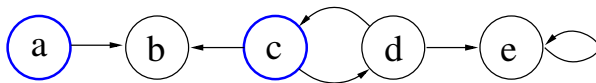
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$$ground^*(F) = \{\{a, c\},$$

Semantics (ctd.)

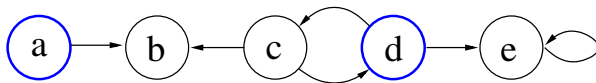
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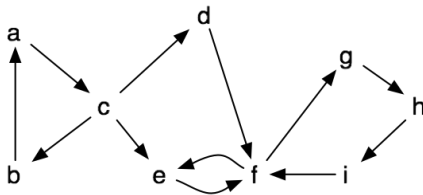
$$ground^*(F) = \{\{a, c\}, \{a, d\}\}$$

cf2 Semantics [Baroni, Giacomin & Guida 2005]

Definition (Separation)

An AF $F = (A, R)$ is called **separated** if for each $(a, b) \in R$, there exists a path from b to a . We define $[[F]] = \bigcup_{C \in \text{SCCs}(F)} F|_C$ and call $[[F]]$ the **separation** of F .

Example

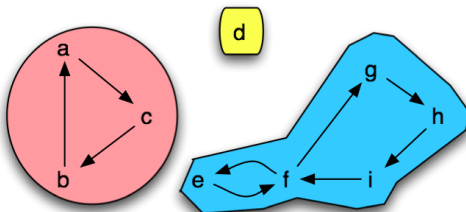


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Definition (Reachability)

Let $F = (A, R)$ be an AF, B a set of arguments, and $a, b \in A$. We say that b is **reachable** in F from a **modulo** B , in symbols $a \Rightarrow_F^B b$, if there exists a path from a to b in $F|_B$.

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Definition ($\Delta_{F,S}$)

For an AF $F = (A, R)$, $D \subseteq A$, and a set S of arguments,

$$\Delta_{F,S}(D) = \{a \in A \mid \exists b \in S : b \neq a, (b, a) \in R, a \not\Rightarrow_F^{A \setminus D} b\}.$$

By $\Delta_{F,S}$, we denote the lfp of $\Delta_{F,S}(\emptyset)$.

cf2 Semantics (ctd.)

cf2 Extensions [G & Woltran 2010]

Given an AF $F = (A, R)$. A set $S \subseteq A$ is a **cf2-extension** of F , if

- S is conflict-free in F
- and $S \in \text{naive}([F - \Delta_{F,S}])$.

cf2 Semantics (ctd.)

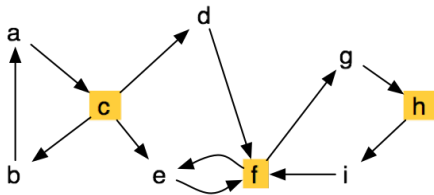
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Example

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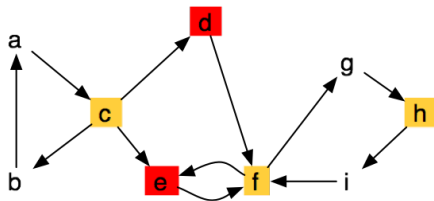
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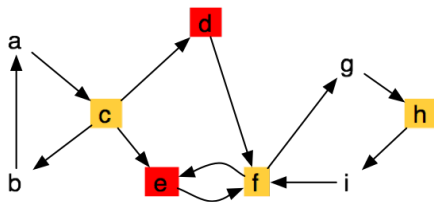
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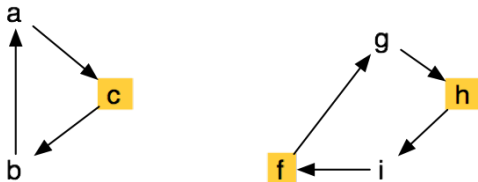
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Example

$S = \{c, f, h\}$, $S \in \text{cf}(F)$, $\Delta_{F,S} = \{d, e\}$, $S \in \text{naive}([F - \Delta_{F,S}])$.



Relations between Semantics

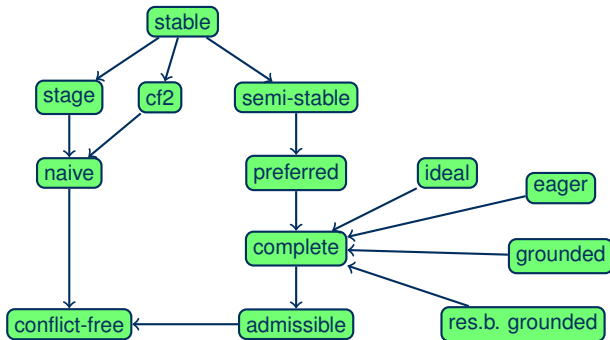
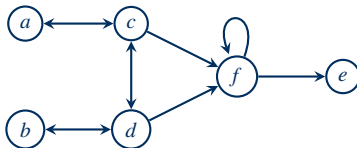


Figure : An arrow from semantics σ to semantics τ encodes that each σ -extension is also a τ -extension.

Characteristics of Argumentation Semantics

Example



$pref(F) = \{\{a, d, e\}, \{b, c, e\}, \{a, b\}\}$

$naive(F) = \{\{a, d, e\}, \{b, c, e\}, \{a, b, e\}\}$

Natural Questions

- How to change the AF if we want $\{a, b, e\}$ instead of $\{a, b\}$ in $pref(F)$?
- How to change the AF if we want $\{a, b, d\}$ instead of $\{a, b\}$ in $pref(F)$?
- Can we have equivalent AFs without argument f ?

→ **Realizability**

Some Properties ...

Theorem

For any AFs F and G , we have

- $adm(F) = adm(G) \implies \sigma(F) = \sigma(G)$, for $\sigma \in \{pref, ideal\}$;
- $comp(F) = comp(G) \implies \vartheta(F) = \vartheta(G)$, for $\vartheta \in \{pref, ideal, ground\}$;
- no other such relation between the different semantics (*adm, pref, ideal, semi, eager, ground, comp, stable*) in terms of standard equivalence holds.

Strong Equivalence [Oikarinen & Woltran 2011, G & Woltran 2011]

Definition

Two AFs F and G are strongly equivalent wrt. a semantics $\sigma \in \{\text{stable}, \text{adm}, \text{pref}, \text{ideal}, \text{semi}, \text{comp}, \text{ground}, \text{stage}\}$, in symbols $F \equiv_s^\sigma G$, iff $\sigma(F \cup H) = \sigma(G \cup H)$, for each AF H .

- Idea: Find “ σ -kernels” of AFs, such that the σ -kernels of F and G coincide iff $F \equiv_s^\sigma G$.
 - Verification of strong equivalence then reduces to checking syntactical equivalence

Strong Equivalence for Stable Semantics

Kernel for stable semantics

For AF $F = (A, R)$, we define *stable*-kernel of F as $F^\kappa = (A, R^\kappa)$ with

$$R^\kappa = R \setminus \{(a, b) \mid a \neq b, (a, a) \in R\}.$$

Theorem

For any AFs F and G : $F^\kappa = G^\kappa$ iff $F \equiv_s^{stable} G$ iff $F \equiv_s^{stage} G$.

Decision Problems on AFs

Credulous Acceptance

Cred_σ : Given AF $F = (A, R)$ and $a \in A$; is a contained in **at least one** σ -extension of F ?

Skeptical Acceptance

Skept_σ : Given AF $F = (A, R)$ and $a \in A$; is a contained in **every** σ -extension of F ?

If no extension exists then all arguments are skeptically accepted and no argument is credulously accepted¹.

¹This is only relevant for stable semantics.

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Hence we are also interested in the following problem:

Skeptically and Credulously accepted

Skept'_σ : Given AF $F = (A, R)$ and $a \in A$; is a contained in **every and at least one** σ -extension of F ?

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Further Decision Problems

Verifying an extension

Ver_σ : Given AF $F = (A, R)$ and $S \subseteq A$; is S a σ -extension of F ?

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Ver_σ : Given AF $F = (A, R)$ and $S \subseteq A$; is S a σ -extension of F ?

Does there exist an extension?

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Further Decision Problems

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Does there exist an extension?

Exists_σ : Given AF $F = (A, R)$; Does there exist a σ -extension for F ?

Does there exist a nonempty extensions?

$\text{Exists}_\sigma^{-\emptyset}$: Does there exist a non-empty σ -extension for F ?

Complexity Results (Summary)

Complexity for decision problems in AFs.

σ	Cred_σ	Skept_σ	σ	Cred_σ	Skept_σ
<i>ground</i>	P-c	P-c	<i>semi</i>	$\Sigma_2^p\text{-c}$	$\Pi_2^p\text{-c}$
<i>naive</i>	in L	in L	<i>stage</i>	$\Sigma_2^p\text{-c}$	$\Pi_2^p\text{-c}$
<i>stable</i>	NP-c	co-NP-c	<i>ideal</i>	in Θ_2^p	in Θ_2^p
<i>adm</i>	NP-c	trivial	<i>eager</i>	$\Pi_2^p\text{-c}$	$\Pi_2^p\text{-c}$
<i>comp</i>	NP-c	P-c	<i>ground*</i>	NP-c	co-NP-c
<i>pref</i>	NP-c	$\Pi_2^p\text{-c}$	<i>cf2</i>	NP-c	co-NP-c

see [Baroni et al.2011, Coste-Marquis et al.2005, Dimopoulos and Torres1996, Dung1995, Dunne2008, Dunne and Bench-Capon2002, Dunne and Bench-Capon2004, Dunne and Caminada2008, Dvořák et al.2011, Dvořák and Woltran2010a, Dvořák and Woltran2010b]

Intractable problems in Abstract Argumentation

Most problems in **Abstract Argumentation** are computationally **intractable**, i.e. at least NP-hard. To show intractability for a specific reasoning problem we follow the schema given below:

Goal: Show that a reasoning problem is NP-hard.

Method: Reducing the NP-hard SAT problem to the reasoning problem.

- Consider an arbitrary CNF formula φ
- Give a reduction that maps φ to an Argumentation Framework F_φ containing an argument φ .
- Show that φ is satisfiable iff the argument φ is accepted.

Canonical Reduction

Definition

For $\varphi = \bigwedge_{i=1}^m l_{i1} \vee l_{i2} \vee l_{i3}$ over atoms Z , build $F_\varphi = (A_\varphi, R_\varphi)$ with

$$A_\varphi = Z \cup \bar{Z} \cup \{C_1, \dots, C_m\} \cup \{\varphi\}$$

$$R_\varphi = \{(z, \bar{z}), (\bar{z}, z) \mid z \in Z\} \cup \{(C_i, \varphi) \mid i \in \{1, \dots, m\}\} \cup \\ \{(z, C_i) \mid i \in \{1, \dots, m\}, z \in \{l_{i1}, l_{i2}, l_{i3}\}\} \cup \\ \{(\bar{z}, C_i) \mid i \in \{1, \dots, m\}, \neg z \in \{l_{i1}, l_{i2}, l_{i3}\}\}$$

Canonical Reduction

Definition

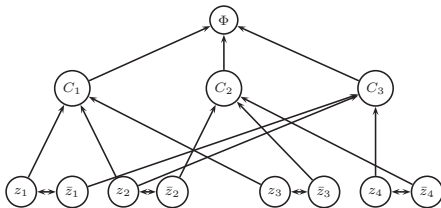
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Example

Let $\Phi = (z_1 \vee z_2 \vee z_3) \wedge (\neg z_2 \vee \neg z_3 \vee \neg z_4) \wedge (\neg z_1 \vee z_2 \vee z_4)$.



Canonical Reduction: CNF \Rightarrow AF (ctd.)

Theorem

The following statements are equivalent:

- 1 φ is satisfiable
- 2 F_φ has an admissible set containing φ
- 3 F_φ has a complete extension containing φ
- 4 F_φ has a preferred extension containing φ
- 5 F_φ has a stable extension containing φ

Complexity Results

Theorem

- 1 Cred_{stable} is NP-complete
- 2 Cred_{adm} is NP-complete
- 3 Cred_{comp} is NP-complete
- 4 Cred_{pref} is NP-complete

Proof.

(1) The hardness is immediate by the last theorem.

For the NP-membership we use the following guess & check algorithm:

- Guess a set $E \subseteq A$
- verify that E is stable
 - for each $a, b \in E$ check $(a, b) \notin R$
 - for each $a \in A \setminus E$ check if there exists $b \in E$ with $(b, a) \in R$

As this algorithm is in polynomial time we obtain NP-membership. □



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