

Descriptive Complexity of Semi-Conditional Grammars

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Abstract

The descriptive complexity of semi-conditional grammars is studied. It is proved that every recursively enumerable language is generated by a semi-conditional grammar of degree $(2, 1)$ with no more than seven conditional productions and eight nonterminals.

Key words: formal languages, semi-conditional grammars, descriptive complexity

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1 Introduction

Semi-conditional grammars (see [3,7,8]) are context-free grammars, in which two strings, called a permitting and a forbidding context, are attached to each production. Such a production is applicable if its permitting context occurs in the current sentential form while its forbidding context does not. Simple semi-conditional grammars represents a straightforward simplification of semi-conditional grammars, in which each production has just one attached string—either a permitting or a forbidding context.

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The formal language theory has discussed the descriptonal complexity of simple semi-conditional grammars in detail (see [4,6,7,9]). In [7], it is proved that every recursively enumerable language is generated by a (simple) semi-conditional grammar of degree $(2, 1)$ with no more than twelve conditional productions and thirteen nonterminals. Later, in [9], this result was improved by demonstrating that every recursively enumerable language is generated by a (simple) semi-conditional grammar of degree $(2, 1)$ with no more than ten conditional productions and twelve nonterminals. Finally, this result was improved in [4] by demonstrating that every recursively enumerable language is generated by a (simple) semi-conditional grammar of degree $(2, 1)$ with no more than nine conditional productions and ten nonterminals.

This paper discusses the descriptonal complexity of semi-conditional grammars because this topic has not been studied at all so far. It demonstrates stronger results about this complexity for them than the above results for simple semi-conditional grammars. Specifically, it proves that every recursively enumerable language is generated by a semi-conditional grammar of degree $(2, 1)$ with no more than seven conditional productions and eight nonterminals.

2 Preliminaries and Definitions

This paper assumes that the reader is familiar with the theory of formal languages (see [1,5]). For an alphabet V , V^* represents the free monoid generated by V . The unit of V^* is denoted by ε . Set $V^+ = V^* - \{\varepsilon\}$. Set $sub(w) = \{u : u \text{ is a substring of } w\}$.

In [2], it was shown that every recursively enumerable language is generated by a grammar

$$G = (\{S, A, B, C\}, T, P \cup \{ABC \rightarrow \varepsilon\}, S)$$

in the *Geffert normal form*, where P contains context-free productions of the form

$$\begin{aligned} S &\rightarrow uSa, & \text{where } u \in \{A, AB\}^*, a \in T, \\ S &\rightarrow uSv, & \text{where } u \in \{A, AB\}^*, v \in \{BC, C\}^*, \\ S &\rightarrow uv, & \text{where } u \in \{A, AB\}^*, v \in \{BC, C\}^*. \end{aligned}$$

In addition, any terminal derivation is of the form

$$S \Rightarrow^* w_1 w_2 w$$

by productions from P , where $w_1 \in \{A, B\}^*$, $w_2 \in \{B, C\}^*$, $w \in T^*$, and

$$w_1 w_2 w \Rightarrow^* w$$

by $ABC \rightarrow \varepsilon$.

A *semi-conditional grammar*, G , is a quadruple

$$G = (N, T, P, S),$$

where

- N is a nonterminal alphabet;
- T is a terminal alphabet such that $N \cap T = \emptyset$;
- $S \in N$ is the start symbol; and
- P is a finite set of productions of the form

$$(X \rightarrow \alpha, u, v)$$

with $X \in N$, $\alpha \in (N \cup T)^*$, and $u, v \in (N \cup T)^+ \cup \{0\}$, where $0 \notin N \cup T$ is a special symbol.

If $u \neq 0$ or $v \neq 0$, then the production $(X \rightarrow \alpha, u, v) \in P$ is said to be *conditional*. G has *degree* (i, j) if for all productions $(X \rightarrow \alpha, u, v) \in P$, $u \neq 0$ implies $|u| \leq i$ and $v \neq 0$ implies $|v| \leq j$. For $x \in (N \cup T)^+$ and $y \in (N \cup T)^*$, x *directly derives* y according to the production $(X \rightarrow \alpha, u, v) \in P$, denoted by

$$x \Rightarrow y$$

if $x = x_1 X x_2$, $y = x_1 \alpha x_2$, for some $x_1, x_2 \in (N \cup T)^*$, and $u \neq 0$ implies that $u \in \text{sub}(x)$ and $v \neq 0$ implies that $v \notin \text{sub}(x)$. As usual, \Rightarrow is extended to \Rightarrow^i , for $i \geq 0$, \Rightarrow^+ , and \Rightarrow^* . The language generated by a semi-conditional grammar, G , is defined as

$$\mathcal{L}(G) = \{w \in T^* : S \Rightarrow^* w\}.$$

A derivation of the form $S \Rightarrow^* w$ with $w \in T^*$ is called a *terminal derivation*.

3 Main Result

This section presents the main result concerning the descriptonal complexity of semi-conditional grammars.

Theorem 1 *Every recursively enumerable language is generated by a semi-conditional grammar of degree $(2, 1)$ with no more than 7 conditional productions and 8 nonterminals.*

PROOF. Let L be a recursively enumerable language. There is a grammar $G' = (\{S, A, B, C\}, T, P \cup \{ABC \rightarrow \varepsilon\}, S)$ in the Geffert normal form such that $L = \mathcal{L}(G')$. Construct the grammar

$$G = (\{S, A, B, C, \#, B', C', \$\}, T, P' \cup P'', S),$$

where

$$P' = \{(X \rightarrow \alpha, 0, 0) : X \rightarrow \alpha \in P\},$$

and P'' contains the following seven conditional productions:

- (1) $(A \rightarrow \$\#, 0, \$)$,
- (2) $(B \rightarrow B', \#, B')$,
- (3) $(C \rightarrow C'\$, \#B', C')$,
- (4) $(B' \rightarrow \varepsilon, B'C', 0)$,
- (5) $(C' \rightarrow \varepsilon, \#C', 0)$,
- (6) $(\# \rightarrow \varepsilon, \#\$, 0)$,
- (7) $(\$ \rightarrow \varepsilon, 0, \#)$.

To prove that $\mathcal{L}(G') \subseteq \mathcal{L}(G)$, consider a derivation $S \Rightarrow^* wABCw'v \Rightarrow ww'v$ in G' by productions from P with only one application of the production $ABC \rightarrow \varepsilon$, where $w, w' \in \{A, B, C\}^*$ and $v \in T^*$. Then, $S \Rightarrow^* wABCw'v$ in G by productions from P' . Moreover, by productions 1, 2, 3, 4, 5, 6, 7, 7, we get

$$\begin{aligned} wABCw'v &\Rightarrow w\$\#BCw'v \\ &\Rightarrow w\$\#B'Cw'v \\ &\Rightarrow w\$\#B'C'\$w'v \\ &\Rightarrow w\$\#C'\$w'v \\ &\Rightarrow w\$\#\$w'v \\ &\Rightarrow w\$\$\$w'v \\ &\Rightarrow w\$w'v \\ &\Rightarrow ww'v. \end{aligned}$$

The inclusion follows by induction.

To prove that $\mathcal{L}(G') \supseteq \mathcal{L}(G)$, consider a terminal derivation. Let X from $\{A, B, C\}$ be in a sentential form of this derivation. To eliminate X , there are the following three possibilities:

- (1) If $X = A$, then there must be C and B (by productions 6 and 3) in some (previous) sentential form;
- (2) If $X = B$, then there must be C and A (by productions 4 and 3) in some (previous) sentential form;
- (3) If $X = C$, then there must be A and B (by productions 5 and 3) in some (previous) sentential form.

In all above cases, there are A , B , and C in some sentential form of the derivation. By productions 1, 2, 3, and 7, there cannot be more than one $\#$, B' , and C' in any sentential form. By productions 3 and 4, $\#B'C'$ is a substring of a sentential form and there is no terminal symbol between any two nonterminals. Thus, the first part of any terminal derivation in G is of the form

$$S \Rightarrow^* w_1ABCw_2w \Rightarrow^3 w_1\#B'C'w_2w \quad (1)$$

by productions from P' and productions 1, 2, and 3, where $w_1 \in \{A, B\}^*$, $w_2 \in \{B, C\}^*$, and $w \in T^*$. Next, only production 4 is applicable. Thus,

$$w_1\#B'C'w_2w \Rightarrow w_1\#C'w_2w.$$

Besides a possible application of production 2, only production 5 is applicable. Thus,

$$w_1\#C'w_2w \Rightarrow^+ w_1\#\#w_2'w$$

where $w_1' \in \{A, B, B'\}^*$, $w_2' \in \{B, B', C\}^*$. Besides a possible application of production 2, only production 6 is applicable. Thus,

$$w_1\#\#w_2'w \Rightarrow^+ w_1''\#\#w_2''w$$

where $w_1'' \in \{A, B, B'\}^*$, $w_2'' \in \{B, B', C\}^*$. Finally, only production 7 is applicable, i.e.,

$$w_1''\#\#w_2''w \Rightarrow^2 w_1''w_2''w.$$

Then,

$$w_1''w_2''w \Rightarrow^* uvw$$

by productions 1, 2, 3, or 1, 3, if production 2 has already been applied, where

$$uvw \in \{u_1\#B'C'u_2w : u_1 \in \{A, B\}^*, u_2 \in \{B, C\}^*\}$$

or $uv = \varepsilon$. Thus, the substring ABC and only this substring was eliminated. By induction (see (1)), the inclusion holds.

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