

# Concurrency Theory

## Lecture 1: Motivation & Introduction

Dr. Stephan Mennicke

Institute for Theoretical Computer Science  
Knowledge-Based Systems Group

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International Center  
for Computational Logic

# Organization

## Sessions

- Mondays DS4 (13.00–14.30), APB E005
- Tuesdays DS3 (11.10–12.40), APB E005
- **exception:** tomorrow (April 8)

planned as *lectures*  
planned as *exercise*  
also planned as lecture

## Non-Sessions

- April 21 & 22 (*Easter week*)
- June 9 & 10 (*Pentecost week*)

## Webpage

[https://iccl.inf.tu-dresden.de/web/Concurrency\\_Theory\\_\(SS2025\)](https://iccl.inf.tu-dresden.de/web/Concurrency_Theory_(SS2025))

## Lecture Notes

Slides will be published online.

# Goals of the Course

1. Basic notions of **concurrency theory**
  - computation = *interaction*
  - (global) states vs. *processes*
2. Process semantics = **bisimilarity**
  - comparative semantics
  - congruence results
3. Process control
  - process calculi (here, Milner's CCS)
  - Petri nets
4. Advanced **proof tools**
  - coinductive proofs – the bisimulation proof method
  - weak simulation – undecidability, even for non-Turing-complete models
  - exploiting well-quasi orderings – deciding *non-halting* in infinite state spaces
  - inductive counting on steroids – how far can Petri nets count?

# (Non-)Prerequisites

- No particular prior course needed
- Basic knowledge in *Theoretical Computer Science* helpful (e.g., computation, Turing machines, a bit of complexity theory)
- General mathematical skills

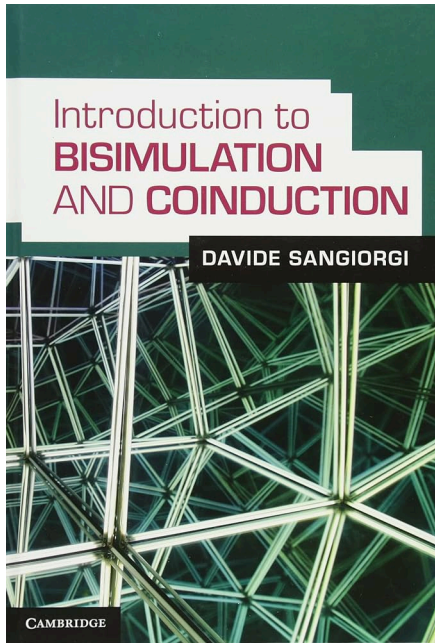
## Example Skill

Let  $A$  be a set. We call  $\mathcal{R} \subseteq A \times A$  an *equivalence relation* if

1.  $\mathcal{R}$  is *reflexive*,  $\forall a \in A : (a, a) \in \mathcal{R}$
2.  $\mathcal{R}$  is *symmetric*, and  $\forall a, b \in A : (a, b) \in \mathcal{R} \rightarrow (b, a) \in \mathcal{R}$
3.  $\mathcal{R}$  is *transitive*.  $\forall a, b, c \in A : (a, b) \in \mathcal{R} \wedge (b, c) \in \mathcal{R} \rightarrow (a, c) \in \mathcal{R}$

If  $\mathcal{R}$  is binary, we write  $a \mathcal{R} b$  for  $(a, b) \in \mathcal{R}$ .

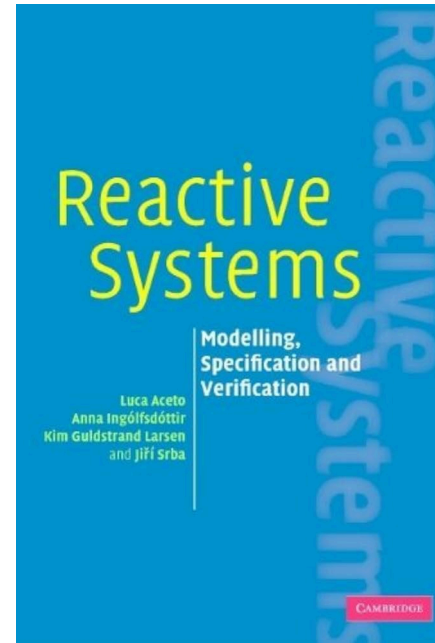
# Reading List



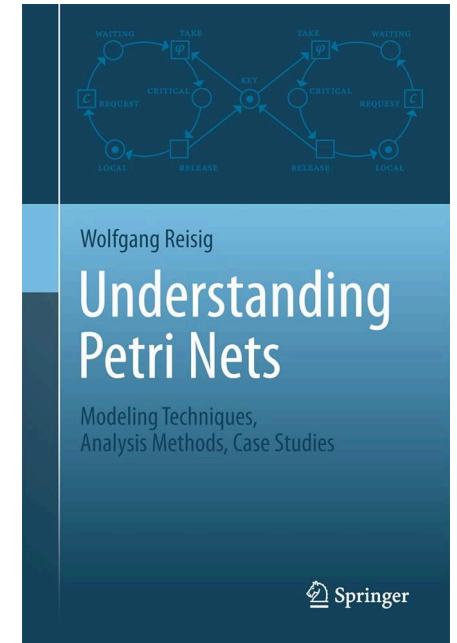
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# Three People



# The Core Model of Concurrency Theory

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# What is a Process

**Example.** *The vending machine has*

- *a slot for consuming coins (€);*
- *two buttons, one for picking coffee (☕) and one for tea (🍵);*
- *one compartment for providing beverages (📦).*

- What kind of description is this?
- Who **interacts** with the vending machine?
- When can interaction take place?
- How can interaction take place?

**Example.** *The vending machine accepts a coin (€) and offers either coffee (☕) or (🍵). Depending on the choice, a beverage (📦) is returned.*



# What is a Process

**Example.** *The vending machine accepts a coin (€) and offers either coffee (☕) or (🥤). Depending on the choice, a beverage (📦) is returned.*

## Open Questions

1. Do we always have to insert € first?
2. Can we freely choose between ☕ and 🥤 ?
3. Does the machine return to its initial state once a 📦 is returned?

## Resolution

- *mathematically precise* description of **processes** and their **behavior**
- **behavior** is described by what we can observe by *interacting* with a **process**
- *interaction* here: very simple *handshake*

# Suggestions?

1. (Nondeterministic) Finite Automata
2. Tree Transducers
3. Turing Machines
4. Minsky machines
5. Programming Language XYZ
6. (formal) grammars
7. Petri nets
8. ...
9. ...
10. ...
11. ...

in this course: **Labeled Transition Systems** (LTSs)

# Labeled Transition Systems (LTS)

**Definition 1 (Labeled Transition System)** We call a triple  $\mathcal{T} = (\text{Pr}, \text{Act}, \longrightarrow)$  a *labeled transition system* (or LTS for short) if  $\text{Pr}$  is a set of *processes*,  $\text{Act}$  a set of *actions* such that  $\text{Pr} \cap \text{Act} = \emptyset$ , and  $\longrightarrow \subseteq \text{Pr} \times \text{Act} \times \text{Pr}$  the *labeled transition relation* of  $\mathcal{T}$ .

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## Processes $\text{Pr}$

- possibly infinite set
- abstract elements are  $p, q, r, \dots, p_0, p_1, p_2, \dots$
- automaton analogy: *states*

## Actions $\text{Act}$

- possibly infinite set
- disjoint from  $\text{Pr}$
- elements are  $a, b, c, \dots, \alpha, \beta, \gamma, \dots$
- thought of as *irreducible* active components of processes
- automaton analogy: *alphabet symbols*

# LTS Examples

**Definition 1 (Labeled Transition System)** We call a triple  $\mathcal{T} = (\text{Pr}, \text{Act}, \longrightarrow)$  a *labeled transition system* (or LTS for short) if  $\text{Pr}$  is a set of *processes*,  $\text{Act}$  a set of *actions* such that  $\text{Pr} \cap \text{Act} = \emptyset$ , and  $\longrightarrow \subseteq \text{Pr} \times \text{Act} \times \text{Pr}$  the *labeled transition relation* of  $\mathcal{T}$ .

**Example.**  $(\{p, q, r\}, \{a, b, c\}, \{(p, a, q), (p, a, p), (q, b, p), (q, c, r)\})$

**Example.**

$(\{p, q, r_1, r_2\}, \{\text{☕}, \text{🍵}, \text{📦}, \text{€}\}, \{(p, \text{€}, q), (q, \text{☕}, r_1), (q, \text{🍵}, r_2), (r_1, \text{📦}, p), (r_2, \text{📦}, p)\})$

**Example.**  $(\mathbb{N} \times \mathbb{N}, \{a\}, \{(\langle 0, 0 \rangle, a, \langle 0, n \rangle) \mid n \in \mathbb{N}\} \cup \{(\langle m, n \rangle, a, \langle m + 1, n \rangle) \mid m < n\})$

# LTS Notation and Somewhat Important Classes

**Definition 1 (Labeled Transition System)** We call a triple  $\mathcal{T} = (\text{Pr}, \text{Act}, \longrightarrow)$  a *labeled transition system* (or LTS for short) if  $\text{Pr}$  is a set of *processes*,  $\text{Act}$  a set of *actions* such that  $\text{Pr} \cap \text{Act} = \emptyset$ , and  $\longrightarrow \subseteq \text{Pr} \times \text{Act} \times \text{Pr}$  the *labeled transition relation* of  $\mathcal{T}$ .

- write  $p \xrightarrow{a} q$  for  $(p, a, q) \in \longrightarrow$
- write  $p \xrightarrow{a}$  if there is a  $q \in \text{Pr}$  such that  $p \xrightarrow{a} q$
- write  $p \not\xrightarrow{a}$  if there is no  $q \in \text{Pr}$  such that  $p \xrightarrow{a} q$

# LTS Notation and Somewhat Important Classes

**Definition 1 (Labeled Transition System)** We call a triple  $\mathcal{T} = (\text{Pr}, \text{Act}, \longrightarrow)$  a *labeled transition system* (or LTS for short) if  $\text{Pr}$  is a set of *processes*,  $\text{Act}$  a set of *actions* such that  $\text{Pr} \cap \text{Act} = \emptyset$ , and  $\longrightarrow \subseteq \text{Pr} \times \text{Act} \times \text{Pr}$  the *labeled transition relation* of  $\mathcal{T}$ .

**Definition 2** An LTS  $\mathcal{T} = (\text{Pr}, \text{Act}, \longrightarrow)$  is

- image-finite** if for each  $p \in \text{Pr}$  and  $a \in \text{Act}$ , the set  $\left\{ p' \in \text{Pr} \mid p \xrightarrow{a} p' \right\}$  is finite.
- finitely branching** if it is image-finite and the set  $\left\{ \mu \in \text{Act} \mid p \xrightarrow{\mu} \right\}$  is finite.
- finite-state** if it has a finite number of states.

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**Example.** Have  $(\{p, q, r\}, \{a, b, c\}, \longrightarrow)$  such that

$$p \xrightarrow{a} q, p \xrightarrow{a} p, q \xrightarrow{b} p, \text{ and } q \xrightarrow{c} r$$

*finite-state and finitely branching*

*Exercise.* Show that finite-state implies finitely branching if the set of actions is finite.



# LTS Notation and Somewhat Important Classes

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**finitely branching** if it is image-finite and the set  $\left\{ \mu \in \text{Act} \mid p \xrightarrow{\mu} \right\}$  is finite.

**finite-state** if it has a finite number of states.

**Example.** Have  $(\{p, q, r_1, r_2\}, \{ \text{☕} , \text{🥤} , \text{📦} , \text{€} \}, \longrightarrow)$  such that  $p \xrightarrow{\text{€}} q$ ,  $q \xrightarrow{\text{☕}} r_1$ ,  $q \xrightarrow{\text{🥤}} r_2$ , and  $r_i \xrightarrow{\text{📦}} p$  ( $i = 1, 2$ ). finite-state

# LTS Notation and Somewhat Important Classes

**Definition 2** An LTS  $\mathcal{T} = (\text{Pr}, \text{Act}, \longrightarrow)$  is

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- finite-state** if it has a finite number of states.

**Example.** Have  $(\mathbb{N} \times \mathbb{N}, \{a\}, \longrightarrow)$  with

$$\longrightarrow := \{(\langle 0, 0 \rangle, a, \langle 0, n \rangle) \mid n \in \mathbb{N}\} \cup \{(\langle m, n \rangle, a, \langle m + 1, n \rangle) \mid m < n\}$$

*image-finite*

# Yet Another Well-Known Class

**Definition 2** An LTS  $\mathcal{T} = (\text{Pr}, \text{Act}, \longrightarrow)$  is

- image-finite** if for each  $p \in \text{Pr}$  and  $a \in \text{Act}$ , the set  $\left\{ p' \in \text{Pr} \mid p \xrightarrow{a} p' \right\}$  is finite.
- finitely branching** if it is image-finite and the set  $\left\{ \mu \in \text{Act} \mid p \xrightarrow{\mu} \right\}$  is finite.
- finite-state** if it has a finite number of states.

**Definition 3** An LTS  $\mathcal{T}$  is *deterministic* if for all processes  $p \in \text{Pr}$  and all  $a \in \text{Act}$ ,  $p \xrightarrow{a} p'$  and  $p \xrightarrow{a} p''$  implies  $p' = p''$ .

*Exercise.* Show that deterministic implies image-finite.

# Relations to Graph Theory

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# Process Graphs

Despite the fact, that we usually deal with graphs as *finite* objects, LTSs show certain commonalities with graphs: graph nodes vs. processes; (labeled) edges vs. transitions

**Definition 4** A *process graph* is a directed, rooted, edge-labeled, and possibly infinite graph  $G = (V, r, E)$  such that  $V$  is its set of *nodes*,  $r \in V$  is called the *root of  $G$*  ( $\text{root}(G) := r$ ), and  $E \subseteq V \times \text{Act} \times V$  is its directed and labeled edge relation.

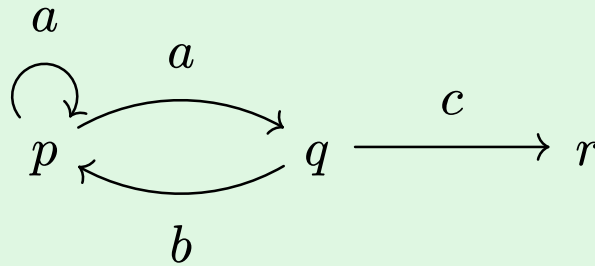
**Definition 5** For LTS  $(\text{Pr}, \text{Act}, \longrightarrow)$  and process  $p \in \text{Pr}$ , define  $G(p) := (V, p, E)$  by

1.  $V$  is the smallest set such that
  - $p \in V$  and
  - if  $q \in V$  and  $q \xrightarrow{a} r$ , then  $r \in V$ ;
2.  $E := \left\{ (q, b, r) \mid q, r \in V \text{ and } q \xrightarrow{b} r \right\}$

# Process Graphs

**Example.** Have  $(\{p, q, r\}, \{a, b, c\}, \longrightarrow)$  such that  
 $p \xrightarrow{a} q, p \xrightarrow{a} p, q \xrightarrow{b} p$ , and  $q \xrightarrow{c} r$

$G(p)$ :



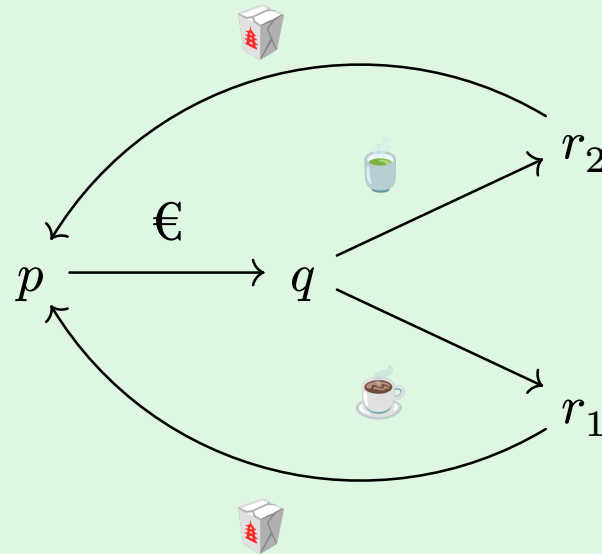
$G(r)$ :

$r$

# Process Graphs

**Example.** Have  $(\{p, q, r_1, r_2\}, \{\text{☕}, \text{🥤}, \text{📦}, \text{€}\}, \longrightarrow)$  such that  $p \xrightarrow{\text{€}} q$ ,  $q \xrightarrow{\text{☕}} r_1$ ,  $q \xrightarrow{\text{🥤}} r_2$ , and  $r_i \xrightarrow{\text{📦}} p$  ( $i = 1, 2$ ).

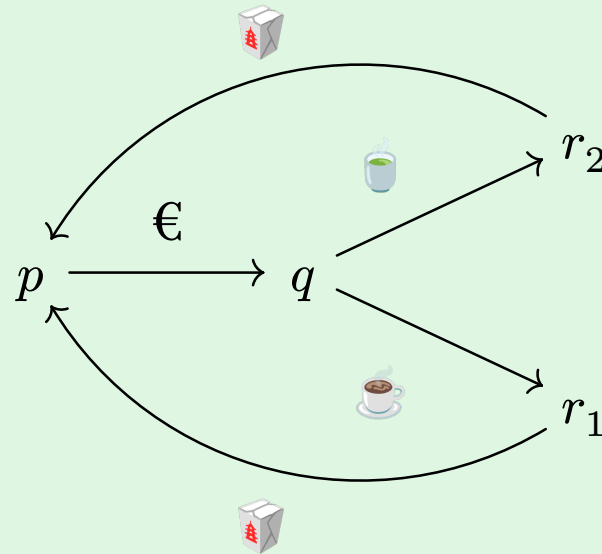
$G(p)$ :



# Process Graphs

**Example.** Have  $(\{p, q, r_1, r_2\}, \{\text{☕}, \text{🥤}, \text{📦}, \text{€}\}, \longrightarrow)$  such that  $p \xrightarrow{\text{€}} q$ ,  $q \xrightarrow{\text{☕}} r_1$ ,  $q \xrightarrow{\text{🥤}} r_2$ , and  $r_i \xrightarrow{\text{📦}} p$  ( $i = 1, 2$ ).

$G(r_1)$ :





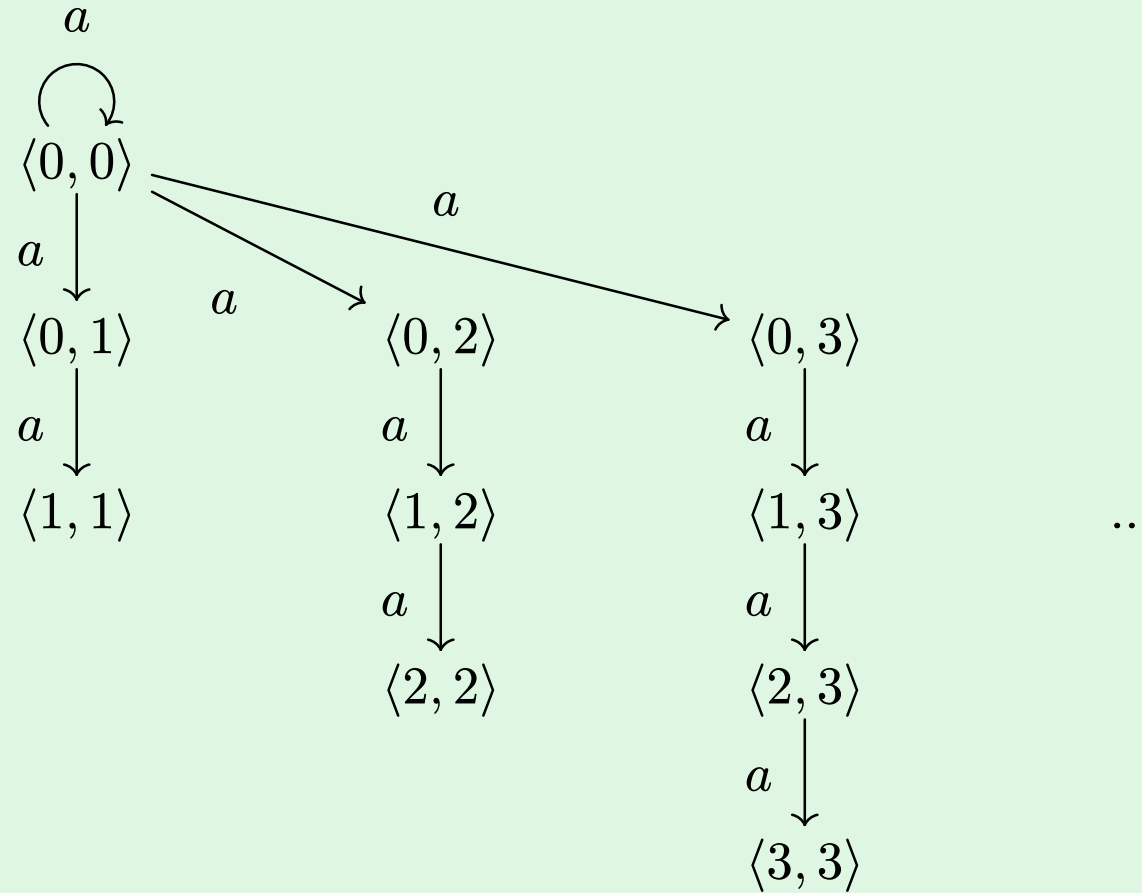
# Process Graphs

**Example.** Have  $(\mathbb{N} \times \mathbb{N}, \{a\}, \longrightarrow)$  with

$$\longrightarrow := \{(\langle 0, 0 \rangle, a, \langle 0, n \rangle) \mid n \in \mathbb{N}\} \cup \{(\langle m, n \rangle, a, \langle m + 1, n \rangle) \mid m < n\}$$

$G(\langle 0, 0 \rangle)$ :

# Process Graphs



# Process Equivalence 1.0: Process Graph Isomorphisms

**Definition 4** A *process graph* is a directed, rooted, edge-labeled, and possibly infinite graph  $G = (V, r, E)$  such that  $V$  is its set of *nodes*,  $r \in V$  is called the *root of  $G$*  ( $\text{root}(G) := r$ ), and  $E \subseteq V \times \text{Act} \times V$  is its directed and labeled edge relation.

**Definition 6** Let  $G = (V_G, r_G, E_G)$  and  $H = (V_H, r_H, E_H)$  be process graphs. A *graph isomorphism* between  $G$  and  $H$  is a bijective function  $f : V_G \rightarrow V_H$  such that

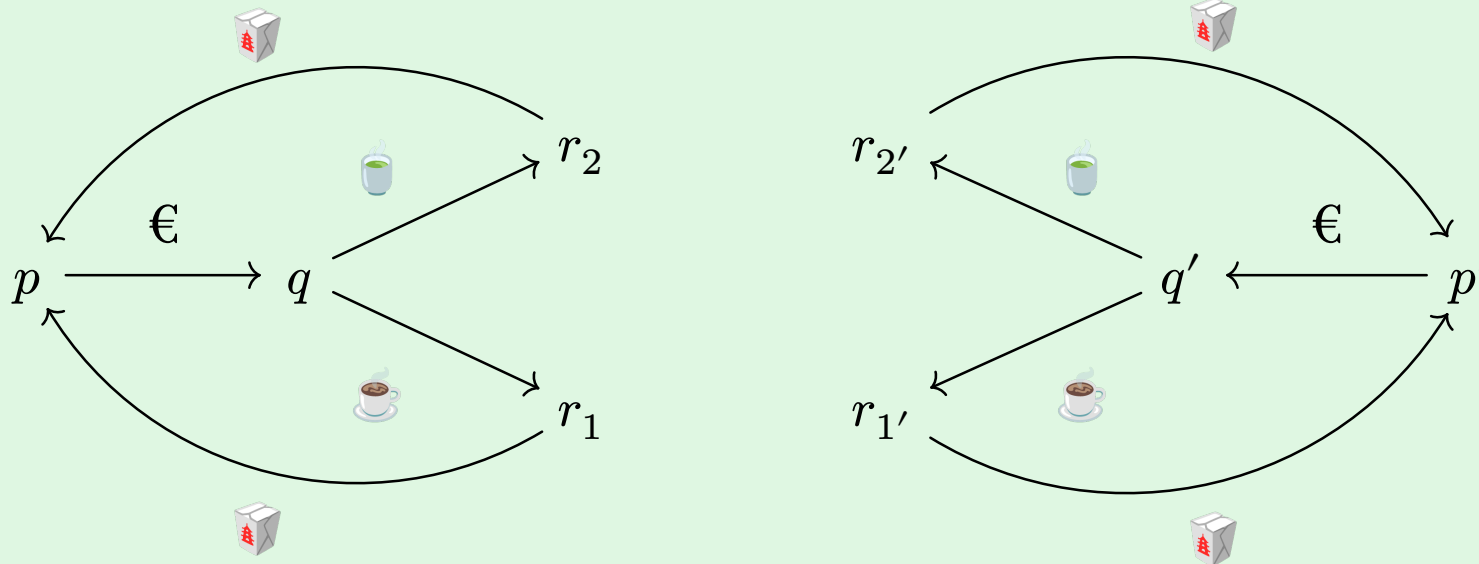
1.  $f(r_G) = r_H$  and
2.  $(u, a, v) \in E_G$  if and only if  $(f(u), a, f(v)) \in E_H$ .

**Lemma 7 (Exercise ;))** If  $f$  is a graph isomorphism,  $f^{-1}$  is a graph isomorphism.

# Process Equivalence 1.0: Process Graph Isomorphisms

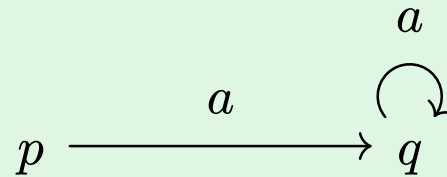
**Definition 8** Two processes  $p, q \in \text{Pr}$  are *isomorphic*, denoted by  $p \leftrightarrow q$ , if there is a graph isomorphism between  $G(p)$  and  $G(q)$ .

**Example.**



# Do Isomorphisms Capture Behavior?

**Example.** Consider the following processes  $p$  and  $q$



- $p \not\leftrightarrow q$  because  $G(p)$  and  $G(q)$  have different node set cardinalities
- systematically,  $p$  is a **1-time** unfolding of the loop in  $q$
- compare

`while (x != 0) x = x - 1; y = y * 2;`

to

`if (x != 0) { x = x - 1; while (x != 0) x = x - 1; } y = y * 2;`

# Process Graphs: Summary

## The Good

- allow for graphical representations of LTSs and processes
- inherit useful notions and notations, e.g.,

**Definition 9** Let  $\mathcal{T} = (\text{Pr}, \text{Act}, \longrightarrow)$  be an LTS and  $p \in \text{Pr}$ . Define the set of all reachable processes  $\text{Reach}_{\mathcal{T}}(p)$  by

1.  $p \in \text{Reach}_{\mathcal{T}}(p)$  and
2. if  $q \in \text{Reach}_{\mathcal{T}}(p)$  and  $q \xrightarrow{a} q'$  for some  $a \in \text{Act}$ , then  $q' \in \text{Reach}_{\mathcal{T}}(p)$ .

Process  $q \in \text{Pr}$  is reachable from process  $p \in \text{Pr}$  if  $q \in \text{Reach}_{\mathcal{T}}(p)$ .

## The Bad

- natural process graph equivalence (i.e.,  $\leftrightarrow$ ) is too strong

*Exercise.* What about graph homomorphisms?

# Relations to Automata Theory

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# LTSs and Nondeterministic Finite Automata (NFAs)

## LTS

## NFA

notation

$(Pr, Act, \longrightarrow)$

$(Q, \Sigma, \delta, Q_0, F)$

set of states

$Pr$   
possibly infinite

set of symbols

$Act$   
possibly infinite  
called actions

transitions

$\longrightarrow \subseteq Pr \times Act \times Pr$

where to start?

some process  $p$  of interest

where to end?

**not important at all**



# LTSs and Nondeterministic Finite Automata (NFAs)

	LTS	NFA
notation	$(Pr, Act, \longrightarrow)$	$(Q, \Sigma, \delta, Q_0, F)$
set of states	$Pr$ possibly infinite	$Q$ always finite
set of symbols	$Act$ possibly infinite called actions	$\Sigma$ always finite called input alphabet
transitions	$\longrightarrow \subseteq Pr \times Act \times Pr$	$\delta : Q \times \Sigma \rightarrow 2^Q$
where to start?	some process $p$ of interest	any $q \in Q_0$
where to end?	<b>not important at all</b>	any $q \in F$

# The Trace Semantics

**Definition 1 (Labeled Transition System)** We call a triple  $\mathcal{T} = (\text{Pr}, \text{Act}, \longrightarrow)$  a *labeled transition system* (or LTS for short) if  $\text{Pr}$  is a set of *processes*,  $\text{Act}$  a set of *actions* such that  $\text{Pr} \cap \text{Act} = \emptyset$ , and  $\longrightarrow \subseteq \text{Pr} \times \text{Act} \times \text{Pr}$  the *labeled transition relation* of  $\mathcal{T}$ .

- *(partial) traces* are finite sequences of action labels  $\sigma \in \text{Act}^*$ 
    - write  $p \xrightarrow{\varepsilon} p$  for  $p \in \text{Pr}$  and  $\varepsilon = \text{empty word}$
    - write  $p \xrightarrow{a\sigma} q$  for  $p, q \in \text{Pr}$   
if there is a  $p' \in \text{Pr}$  such that  $p \xrightarrow{a} p'$  and  $p' \xrightarrow{\sigma} q$   $a \in \text{Act}, \sigma \in \text{Act}^*$
  - an LTS  $\mathcal{T}$  can be seen as an NFA such that all states are final  $F = \text{Pr}$
- 
- there is a notion closer to that of automata theory
  - $p \in \text{Pr}$  is called a *deadlock (process)* if  $p \not\xrightarrow{a}$  for all  $a \in \text{Act}$
  - *completed traces* are traces ending in deadlocks  $F = \{p \in \text{Pr} \mid p \text{ is a deadlock}\}$

## Note

We oftentimes leave the LTS  $\mathcal{T}$  implicit and just talk about its processes. Whenever we state that  $p$  is a process, we assume there is an LTS in which  $p$  *lives*.

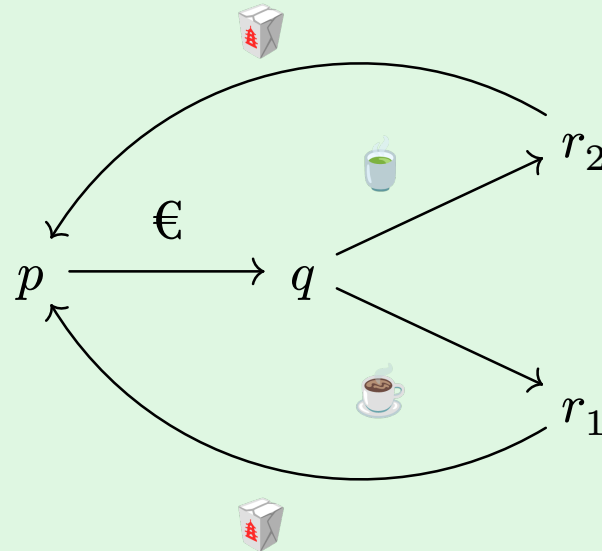
**Definition 10** The set of (partial) traces of  $p \in \text{Pr}$ ,  $\text{traces}(p)$ , is defined inductively

- $\varepsilon \in \text{traces}(p)$  and
- if  $\sigma \in \text{traces}(q)$  and  $p \xrightarrow{a} q$ , then  $a\sigma \in \text{traces}(p)$ .

$p \in \text{Pr}$  is (partial) trace equivalent to  $q \in \text{Pr}$ , denoted  $p \equiv_{\text{tr}} q$ , if  $\text{traces}(p) = \text{traces}(q)$ .

# The Trace Semantics

## Example.



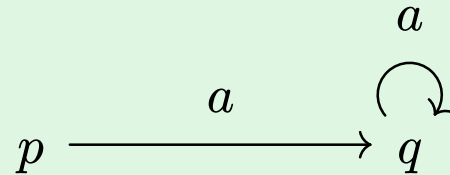
$$\text{traces}(p) = \{\varepsilon, \text{€}, \text{€} \text{☕}, \text{€} \text{☕}, \text{€} \text{☕} \text{☑}, \text{€} \text{☕} \text{☑}, \dots\}$$

$$\text{traces}(q) = \{\varepsilon, \text{☕}, \text{☕}, \text{☕} \text{☑}, \text{☕} \text{☑}, \dots\}$$

$$\text{traces}(p) = \{\varepsilon\} \cup \{\text{€}\} \circ \text{traces}(q)$$

# The Trace Semantics

**Example.** Reconsider processes  $p$  and  $q$  and find that  $p \equiv_{\text{tr}} q$



$$\text{traces}(p) = \{\varepsilon, a, aa, aaa, \dots\} = \text{traces}(q)$$

# Process (Equivalence) Relations

**Definition 11** Any binary relation  $\mathcal{R} \subseteq \text{Pr} \times \text{Pr}$  is called a *process relation*.  $\mathcal{R}$  is a *process equivalence* if it is a process relation and an equivalence.

We have seen now two instances of process equivalences.

**Theorem 12**  $\leftrightarrow$  and  $\equiv_{\text{tr}}$  are process equivalences.

*Proof:* next slide ■

Throughout the course, we will explore many more process equivalences, each time with a different set of requirements.

Isomorphic equivalence ( $\leftrightarrow$ ) and trace equivalence ( $\equiv_{\text{tr}}$ ) form meaningful boundaries.

Trivial boundaries:  $\mathcal{U} = \text{Pr} \times \text{Pr}$  (the *universal equivalence*) and  $\emptyset$  (the *non-equivalence*).

# A Proof of Theorem 12

**Theorem 12**  $\leftrightarrow$  and  $\equiv_{\text{tr}}$  are process equivalences.

*Proof:* For all processes  $p, q, r \in \text{Pr}$ ,

1.  $p \leftrightarrow p$  by  $\text{id} : \text{Pr} \rightarrow \text{Pr}$  ( $\text{id}(q) = q$  for all  $q \in \text{Pr}$ ) being an isomorphism.
2.  $p \leftrightarrow q$  implies  $q \leftrightarrow p$  since the inverse  $f^{-1}$  of an isomorphism  $f$  is an isomorphism (cf. Lemma 7).
3.  $p \leftrightarrow q$  and  $q \leftrightarrow r$  implies  $p \leftrightarrow r$  since isomorphisms  $f$  and  $g$  compose to an isomorphism  $g \circ f$ .

For all processes  $p, q, r \in \text{Pr}$ ,

1.  $p \equiv_{\text{tr}} p$  as set equality is reflexive.
2.  $p \equiv_{\text{tr}} q$  implies  $q \equiv_{\text{tr}} p$  since set equality is symmetric.
3.  $p \equiv_{\text{tr}} q$  and  $q \equiv_{\text{tr}} r$  implies  $p \equiv_{\text{tr}} r$  since set equality is transitive.



# Towards a Spectrum of Process Equivalences

## Theorem 13

$$\emptyset \stackrel{(1)}{\subsetneq} \leftrightarrow \stackrel{(2)}{\subsetneq} \equiv_{\text{tr}} \stackrel{(3)}{\subsetneq} \mathcal{U} = \text{Pr} \times \text{Pr}$$

*Proof:* Parts (1) and (3) are clear. Proper inclusions stem from the examples we have seen.

Regarding (2), let  $p, q \in \text{Pr}$  such that  $p \leftrightarrow q$ . Then there is an isomorphism  $f$  between the graphs  $G(p)$  and  $G(q)$ , meaning

1.  $f(p) = q$  (since  $p$  and  $q$  are the roots of their respective process graphs) and
2.  $p_1 \xrightarrow{a} p_2$  (and  $p_1 \in \text{Reach}(p)$ ) if and only if  $f(p_1) \xrightarrow{a} f(p_2)$  ( $f(p_1) \in \text{Reach}(q)$ )

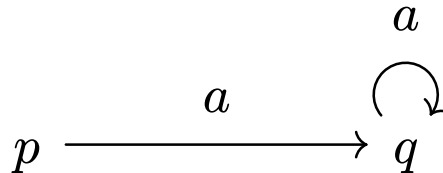


# Towards a Spectrum of Process Equivalences

For every trace  $\sigma = a_1 a_2 \dots a_n \in \text{Act}^*$ ,

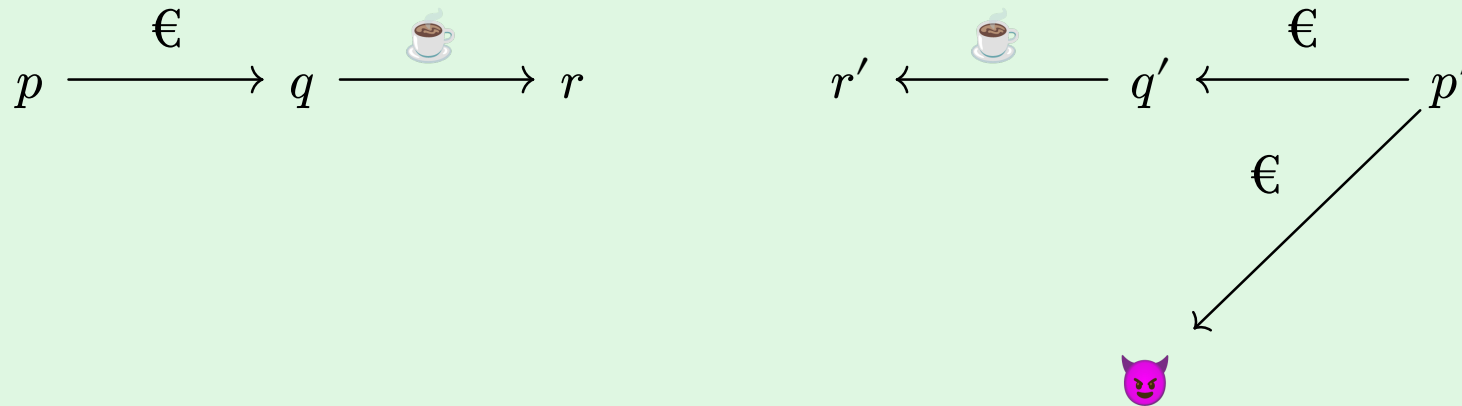
$$\begin{aligned} \sigma \in \text{traces}(p) & \text{ iff } \exists p_1, \dots, p_n \in \text{Pr} . p \xrightarrow{a_1} p_1 \xrightarrow{a_2} \dots \xrightarrow{a_n} p_n && \text{(by definition)} \\ & \text{ iff } f(p) \xrightarrow{a_1} f(p_1) \xrightarrow{a_2} \dots \xrightarrow{a_n} f(p_n) && (f \text{ is an isomorphism}) \\ & \text{ iff } \exists q_1, \dots, q_n \in \text{Pr} . q \xrightarrow{a_1} q_1 \xrightarrow{a_2} \dots \xrightarrow{a_n} q_n \text{ (take } q_1 = f(p_1) \dots q_n = f(p_n)) \\ & \text{ iff } \sigma \in \text{traces}(q) && \text{(by definition)} \end{aligned}$$

For  $\leftrightarrow \neq \equiv_{\text{tr}}$ , reconsider  $p$  and  $q$  below, having  $p \equiv_{\text{tr}} q$  but  $p \nleftrightarrow q$ .



# Trace Equivalence: End of Story?

## Example.



$$\text{traces}(p) = \{\varepsilon, \text{€}, \text{€☕}\} = \{\varepsilon, \text{€}, \text{€}, \text{€☕}\} = \text{traces}(p')$$

There is one trace, namely €, that is a **completed trace** of  $p'$  but not of  $p$ .

In other words, trace equivalence (i.e.,  $\equiv_{\text{tr}}$ ) is **not** sensitive to deadlocks.

# The Completed Trace Semantics

**Definition 14** A process  $p \in \text{Pr}$  is a *deadlock* if  $p \not\stackrel{a}{\rightarrow}$  for all  $a \in \text{Act}$ .

The set of *completed traces* of a process  $p \in \text{Pr}$ , denoted by  $\text{traces}_c(p)$  is the set of all traces  $\sigma \in \text{ctraces}(p)$  such that  $p \xrightarrow{\sigma} q$  and  $q$  is a deadlock.

Processes  $p, q \in \text{Pr}$  are *completed trace equivalent*, denoted by  $p \equiv_{\text{ctr}} q$ , if  $p \equiv_{\text{tr}} q$  and  $\text{ctraces}(p) = \text{ctraces}(q)$ .

## Theorem 15

$$\Leftrightarrow \quad \overset{(1)}{\subseteq} \quad \equiv_{\text{ctr}} \quad \overset{(2)}{\subseteq} \quad \equiv_{\text{tr}}$$

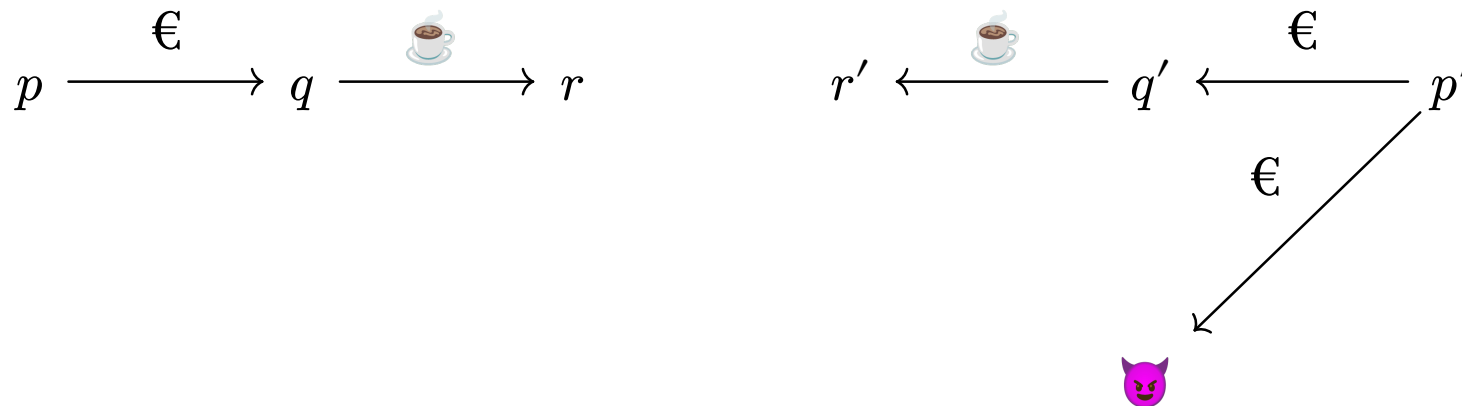
# Proof of Theorem 15

## Theorem 15

$$\Leftrightarrow \begin{matrix} (1) \\ \subseteq \\ \neq \end{matrix} \equiv_{\text{ctr}} \begin{matrix} (2) \\ \subseteq \\ \neq \end{matrix} \equiv_{\text{tr}}$$

Regarding (2),

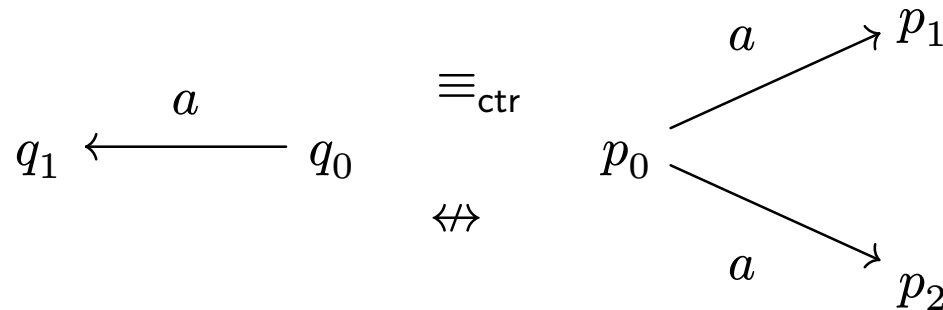
- observe that trace equivalence is part of the definition of  $\equiv_{\text{ctr}}$ ;
- furthermore, 🍈 serves as a counterexample, proving  $\equiv_{\text{ctr}} \neq \equiv_{\text{tr}}$ .



# Proof of Theorem 15

Towards (1),

- observe that a deadlock process  $p \in \text{Pr}$  can only be isomorphic to other deadlock processes;
- in fact, all deadlock processes are isomorphic;
- hence, any completed trace of  $p \in \text{Pr}$  must be a completed trace of  $f(p)$  (by the same arguments as in proof of Theorem 13);
- also,  $\leftrightarrow \neq \equiv_{\text{ctr}}$  (e.g.,  $p_0$  and  $q_0$  below).



# Completed Traces: End of Story?

**Definition 14** A process  $p \in \text{Pr}$  is a *deadlock* if  $p \not\stackrel{a}{\rightarrow}$  for all  $a \in \text{Act}$ .

The set of *completed traces* of a process  $p \in \text{Pr}$ , denoted by  $\text{traces}_c(p)$  is the set of all traces  $\sigma \in \text{ctraces}(p)$  such that  $p \stackrel{\sigma}{\rightarrow} q$  and  $q$  is a deadlock.

Processes  $p, q \in \text{Pr}$  are *completed trace equivalent*, denoted by  $p \equiv_{\text{ctr}} q$ , if  $p \equiv_{\text{tr}} q$  and  $\text{ctraces}(p) = \text{ctraces}(q)$ .

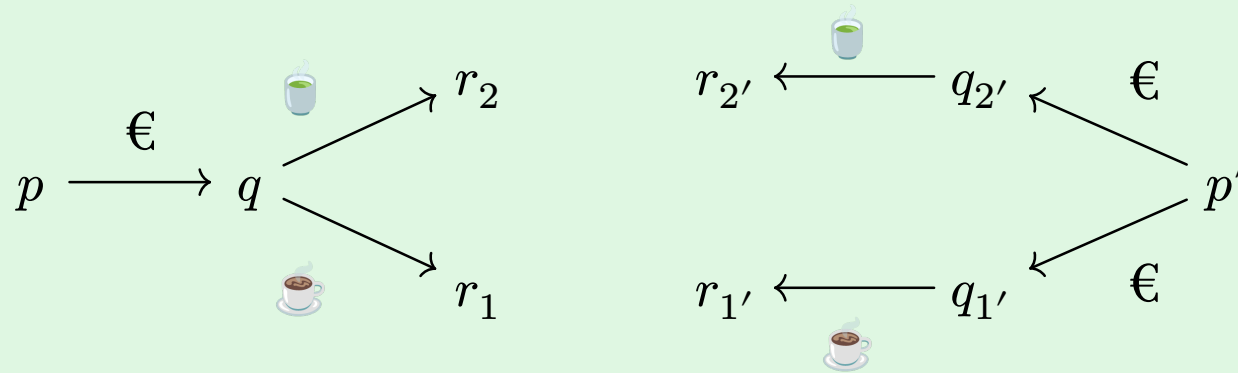
## Theorem 15

$$\Leftrightarrow \quad \overset{(1)}{\subseteq} \quad \equiv_{\text{ctr}} \quad \overset{(2)}{\subseteq} \quad \equiv_{\text{tr}}$$

$\equiv_{\text{ctr}}$  preserves traces (2) and deadlocks (👹)

# Completed Traces are Insensitive for Nondeterminism

## Example.



## Outlook

1. We are looking for the intimate connection between nondeterminism and interaction.
2. We are aiming at equivalences going beyond *linear time*.
3. **Resolution:** *branching time* and, more specifically, *bisimilarity*