Finite and Algorithmic Model Theory

Lecture 1 (Dresden 12.10.22, Long version)

Lecturer: Bartosz "Bart" Bednarczyk

TECHNISCHE UNIVERSITÄT DRESDEN & UNIWERSYTET WROCŁAWSKI











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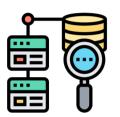
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4. Recap from BSc studies: Syntax & Semantics of First-Order Logic (FO).

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- **5.** Basic notations, provability, and Gödel's theorem " \models equals \vdash ".



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- 6. Gödel's Compactness theorem with a proof and an application.



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Feel free to ask questions and interrupt me!

Don't be shy! If needed send me an email (bartosz.bednarczyk@cs.uni.wroc.pl) or approach me after the lecture!

Reminder: this is an advanced lecture. Target: people that had fun learning logic during BSc studies!

https://iccl.inf.tu-dresden.de/web/Finite_and_algorithmic_model_theory_(22/23)_(WS2022)/en

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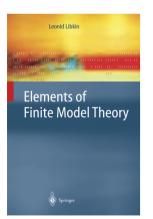
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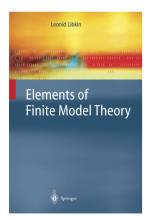




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Last but Not Least: I offer MSc/PHD research projects for motivated students!

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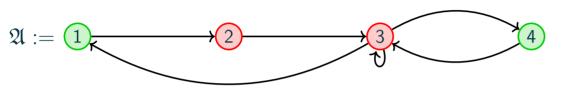
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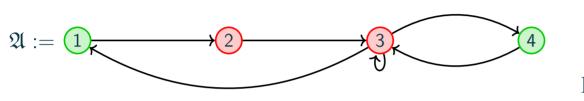


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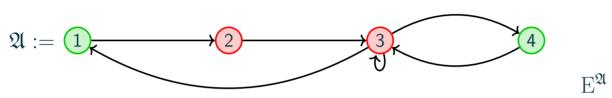
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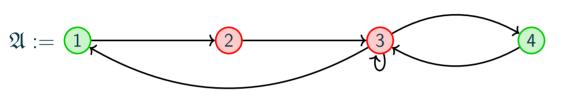
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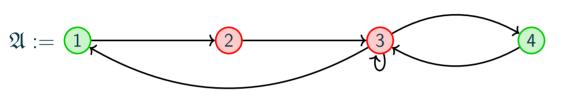
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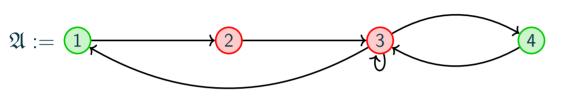
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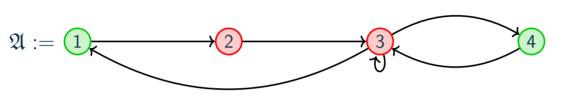
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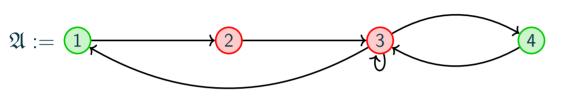
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Constants pprox elements, unary relations pprox colours, binary (resp. higher-arity) relations pprox (hyper)edges

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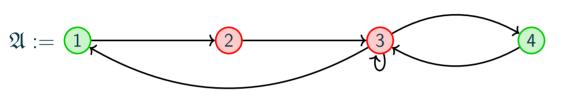
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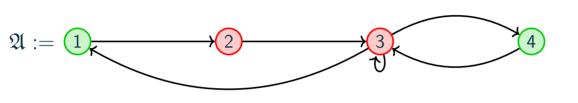
Example (of a First-Order Logic (FO) Formula)

(in a coloured graph:) Any node is either green or red.

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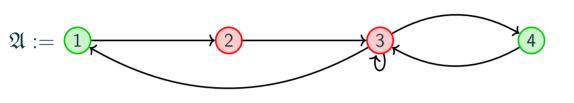
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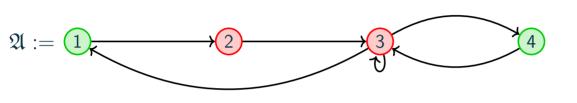
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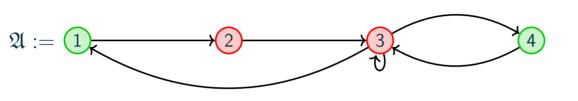
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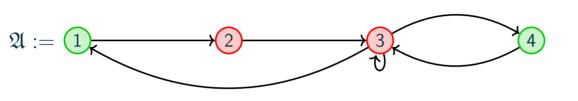
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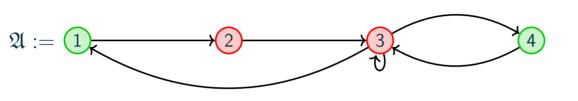
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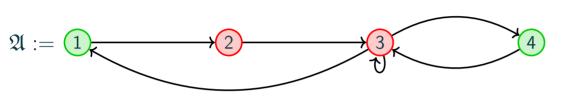
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Example (of a First-Order Logic (FO) Formula)

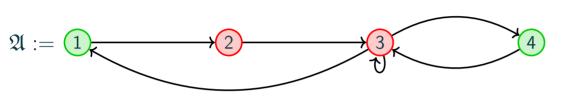
(in a coloured graph:) Any node is either green or red.

$$\varphi := \forall x \; (G(x) \vee R(x)) \land (G(x) \leftrightarrow \neg R(x))$$

We write $\mathfrak{A} \models \varphi$ to indicate that \mathfrak{A} satisfies φ or \mathfrak{A} is a model of φ .

Naively: a "formal language" for expressing properties of relational structures (\approx hypergraphs).

Made formal via abstract model theory, c.f. article at ncatlab.org and Lindström's theorems.



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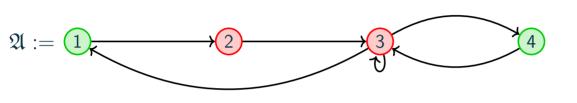
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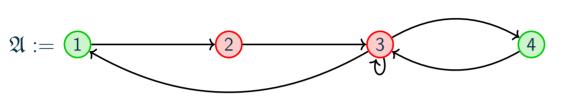
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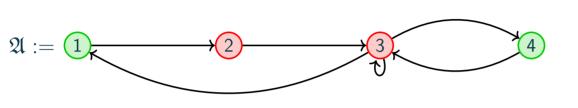
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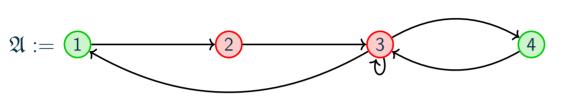
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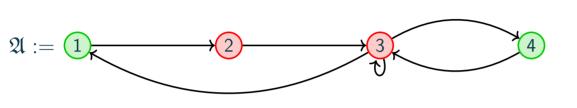
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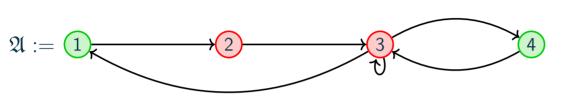
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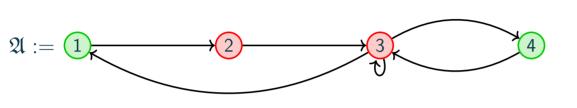
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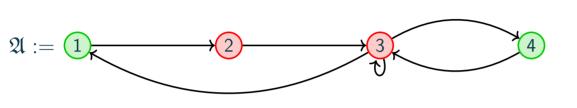
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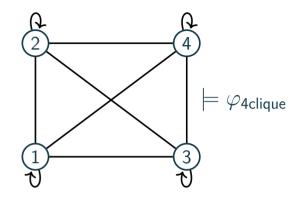
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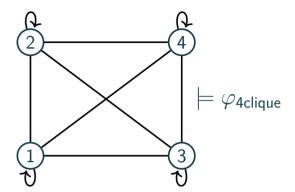
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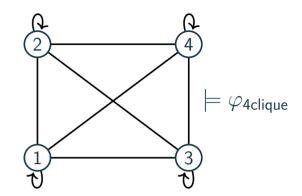
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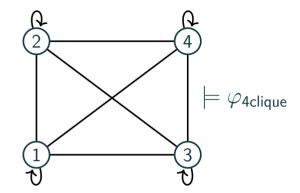


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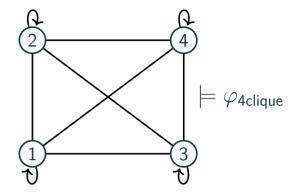
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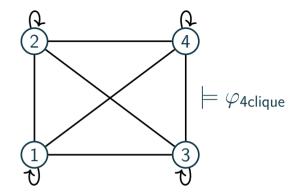
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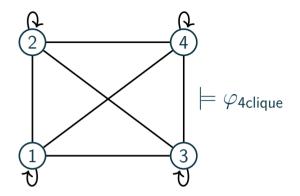
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 $\boxed{2}$ $\boxed{3}$

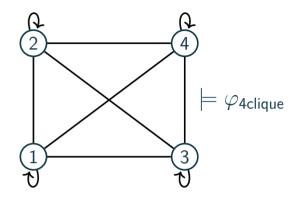
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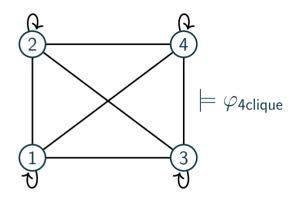
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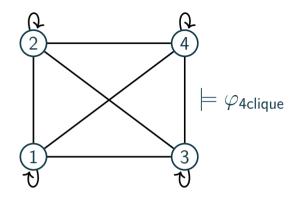
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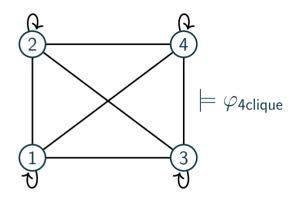
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$$\varphi_{ok} = \forall x (x \in G \to (\forall y \ E(x, y) \to y \in R)) \land \forall x (x \in R \to (\forall y \ E(x, y) \to y \in G))$$

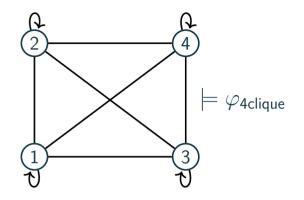
Exercise (An FO[$\{E^{(2)}\}$] formula/query testing if a graph is a 4-element clique [here $E = edge\ relation$].)

1. There are precisely 4 elements . . .

$$\exists x_{1} \exists x_{2} \exists x_{3} \exists x_{4} \ (x_{1} \neq x_{2} \land x_{1} \neq x_{3} \land x_{1} \neq x_{4} \land x_{2} \neq x_{3} \land x_{2} \neq x_{4} \land x_{3} \neq x_{4} \land x_{4} \Rightarrow x_{5} \land x_{5} \Rightarrow x_{5} \Rightarrow x_{5} \land x_{5} \Rightarrow x_{5} \Rightarrow x_{5} \land x_{5} \Rightarrow x_{5} \Rightarrow x_{5} \Rightarrow x_{5} \land x_{5} \Rightarrow x$$

2. and any two of them are linked by E.

$$\wedge \forall x \forall y \ \mathrm{E}(x,y).$$



Exercise (Write a formula over $\{E^{(2)}\}$ checking if a graph is two-colorable.)

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$$\varphi_{ok} = \forall x (x \in G \to (\forall y \ E(x, y) \to y \notin R)) \land \forall x (x \in R \to (\forall y \ E(x, y) \to y \in G))$$

There exists a colouring with G and R \(\sqrt{} \) and it is correct

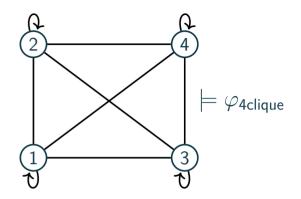
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$$\mathfrak{G} := 1$$
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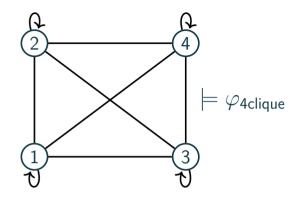
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 $= \varphi_{2COL}$

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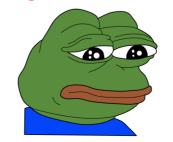
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SELECT CandID
FROM Candidate
WHERE Major = "Computer Science"
```

```
SELECT CandID FROM Candidate WHERE Major = "Computer Science" \Leftrightarrow \varphi(i)
```

```
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\varphi(i) = \exists n \exists s \; \text{CANDIDATE}(i, n, s) \land \text{APPL}(\text{"Computer Science"}, i)
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Theorem (Codd 1971)

Basic SQL \approx First-Order Logic



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Other useful logic: Datalog \approx SQL + recursion

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Description logics: a family of logics for knowledge representation.



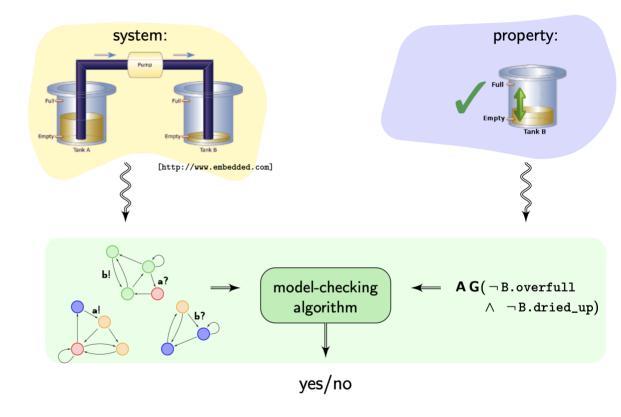




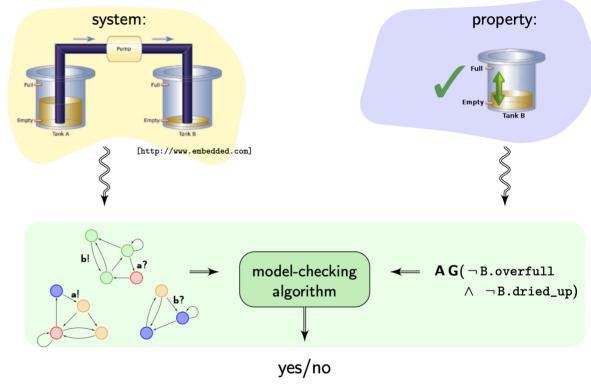




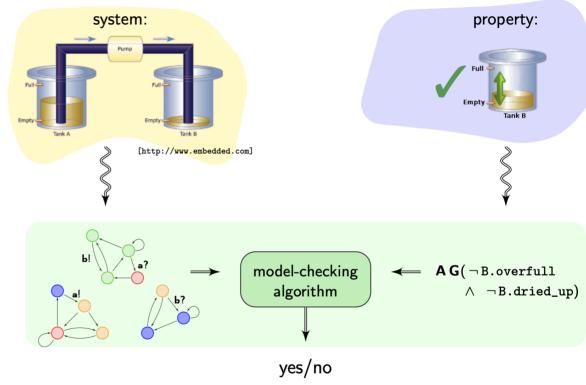
Making it easier to find information



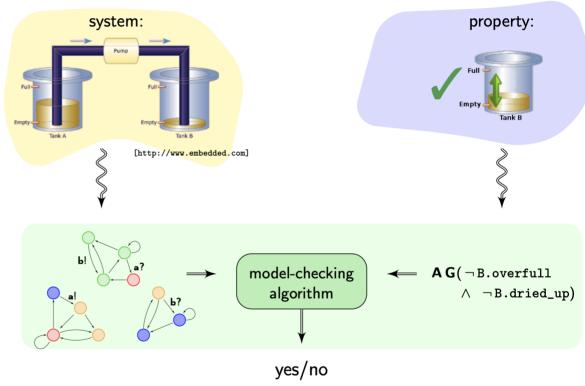
1. Temporal logics as specification languages



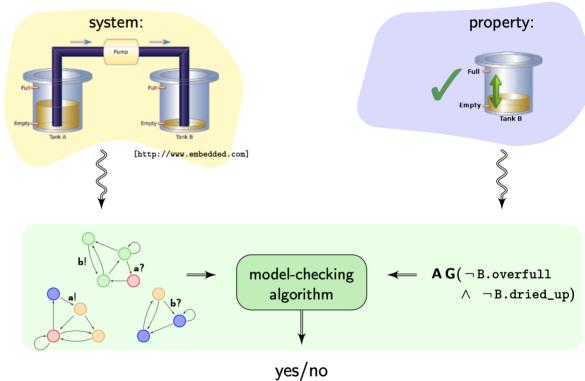
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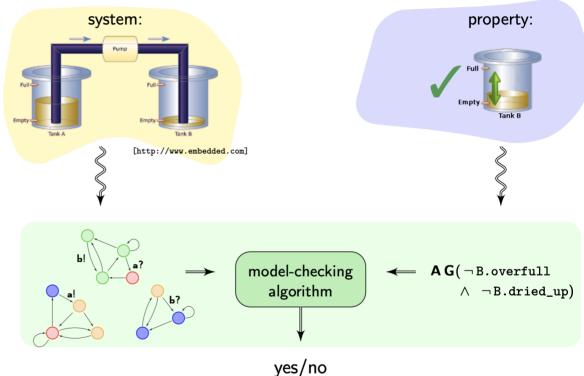


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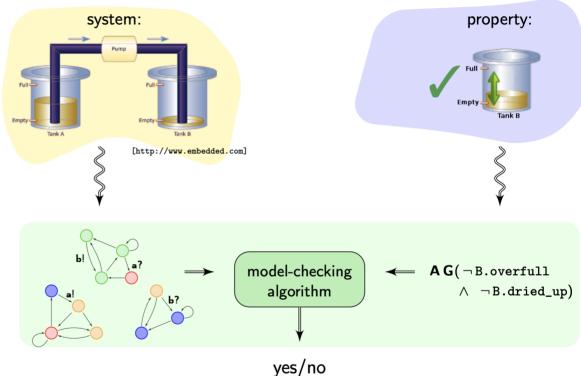
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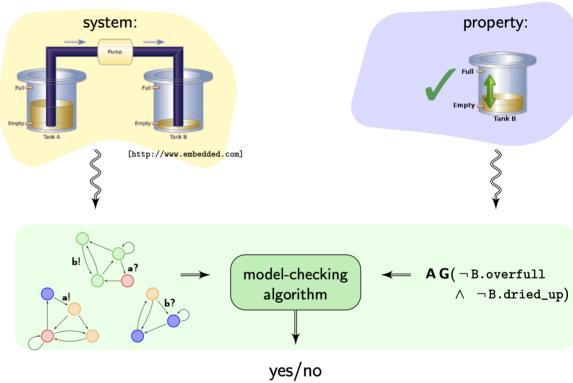


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```
vim hello.c
// hello.c
#include <stdlib.h>

void test() {
  int *s = NULL;
  *s = 42;
}
```



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O(n) time

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 $\Theta(n \log(n))$ memory?

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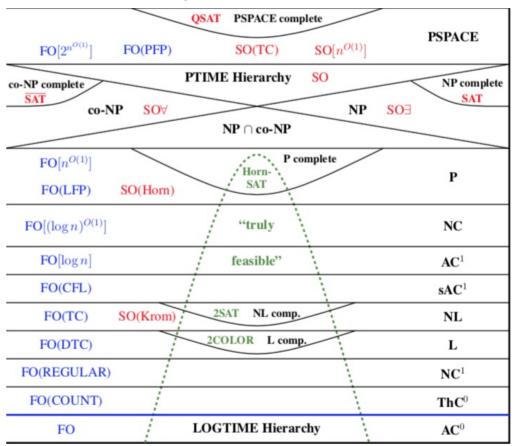
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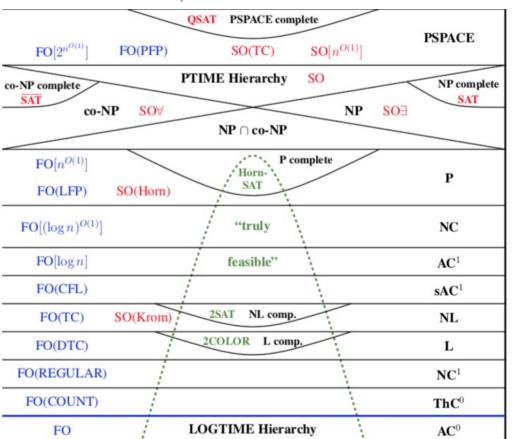
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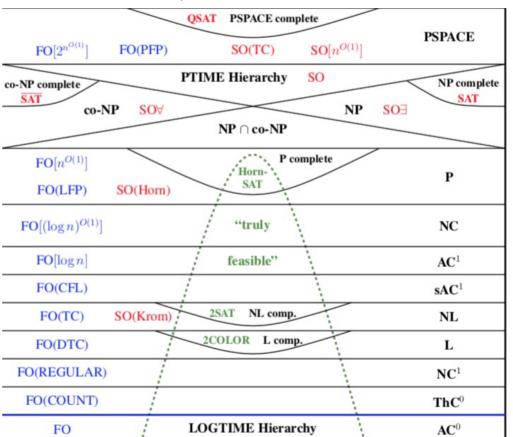


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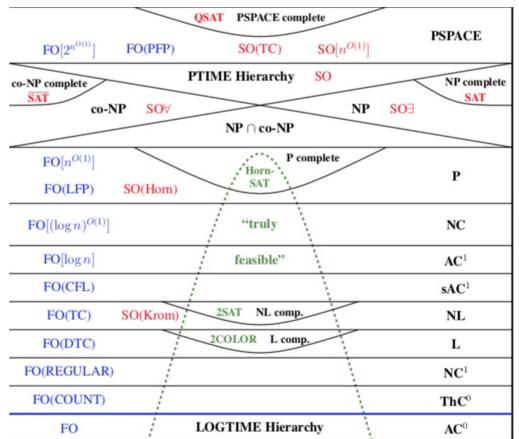


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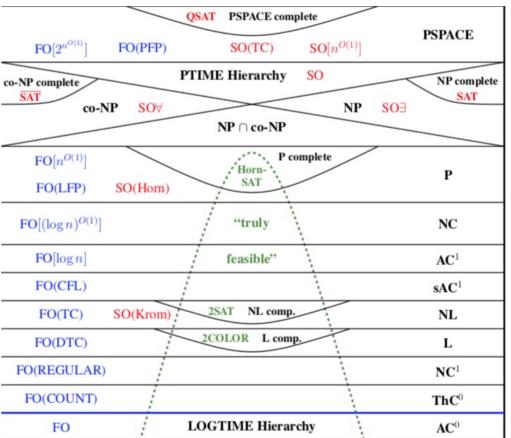
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Existential Second Order Logic characterises NP.





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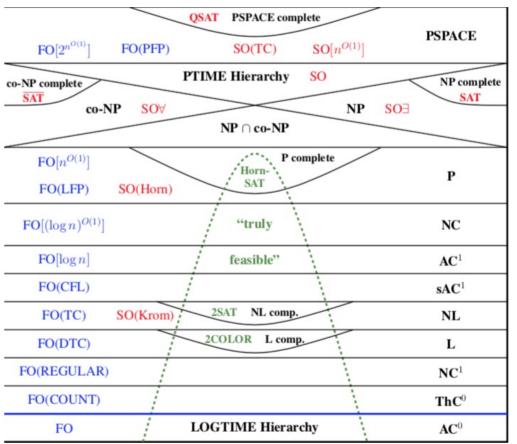
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Is there a logic for PTIME?



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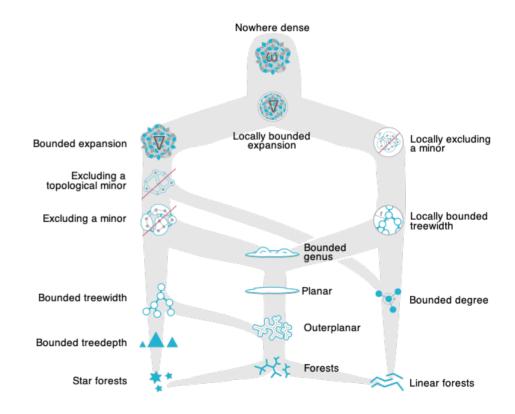
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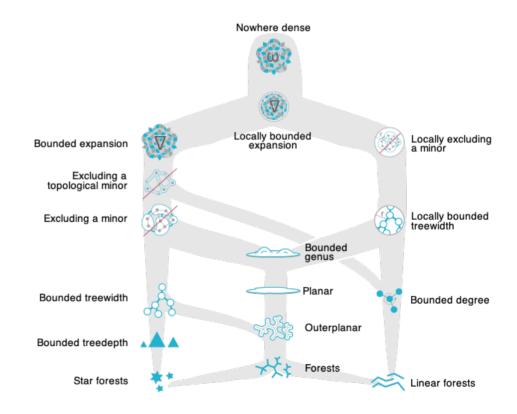


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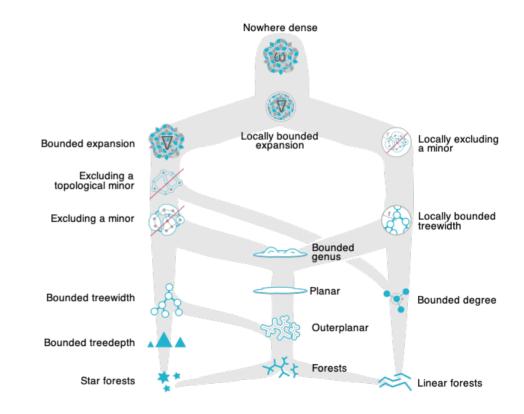
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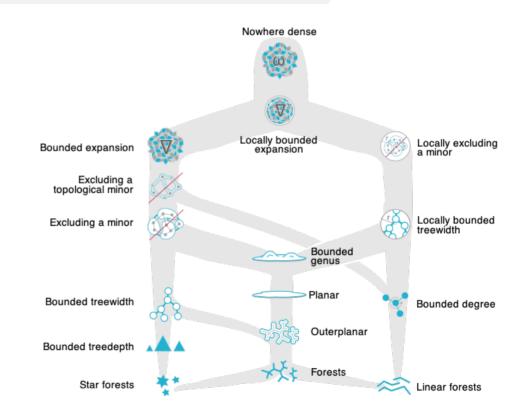
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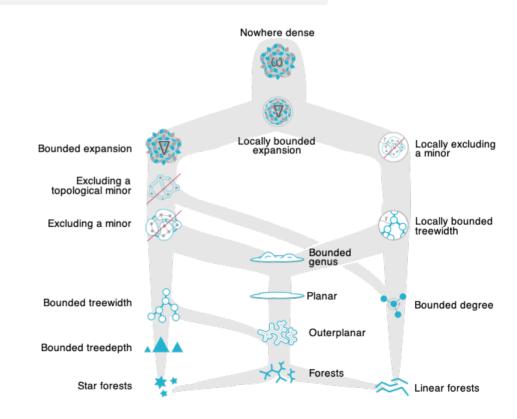
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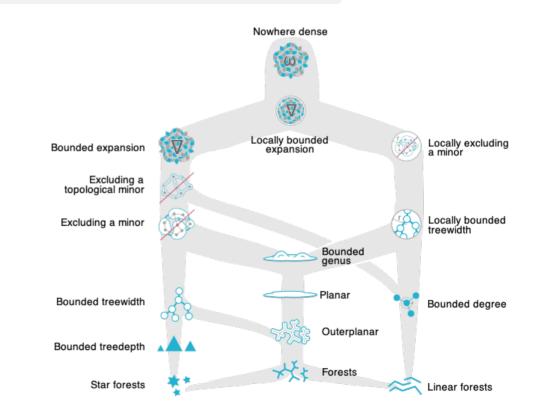
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Theorem (Grohe, Kreutzer, Siebertz 2014)

 $\mathcal{O}(|\varphi|^{1+\varepsilon})$ for $\mathcal{C}:=$ nowhere-dense graphs.



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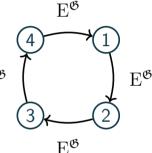
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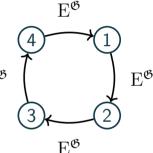
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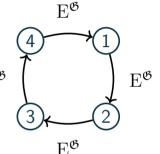
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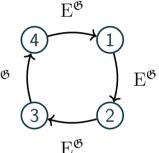
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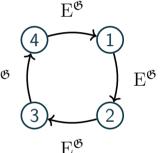
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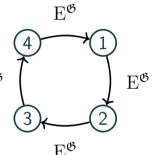
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h(x) = a

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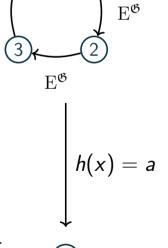
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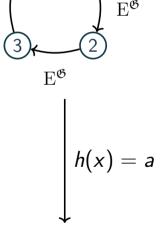
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In this case we write: $\mathfrak{A} \cong \mathfrak{B}$.



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An isomorphism \mathfrak{h} between \mathfrak{A} and \mathfrak{B} is a bijection s.t. $\mathfrak{h}, \mathfrak{h}^{-1}$ are homomorphisms.

In this case we write: $\mathfrak{A} \cong \mathfrak{B}$.

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Formally, we define the set of free variables of φ , denoted with $FVar(\varphi)$, as follows:

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- $\mathsf{FVar}(\exists x \ \varphi) = \mathsf{FVar}(\varphi) \setminus \{x\} \text{ for all } x \in \mathsf{Var}.$

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Now we define \models for $\varphi(x_1, x_2, \dots, x_n)$:

• If $\varphi \equiv t_1 = t_2$, then $\mathfrak{A} \models \varphi(\overline{a})$ iff $t_1^{\mathfrak{A}}(\overline{a}) = t_2^{\mathfrak{A}}(\overline{a})$.

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- If $\varphi \equiv \exists x \ \psi(x, \overline{y})$, then $\mathfrak{A} \models \varphi(\overline{a})$ iff $\mathfrak{A} \models \psi(a', \overline{a})$ for some $a' \in A$ (similarly for \forall quantifier)

A formula φ is satisfiable

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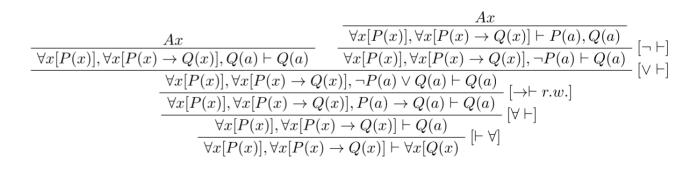
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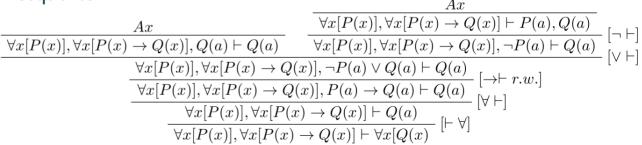


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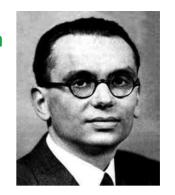
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1st excursion: Proving (1)

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Proofs are finite



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Craft

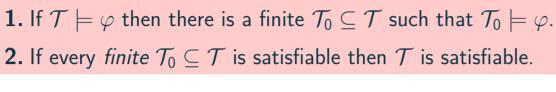
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Proofs are finite



Craft \mathcal{T}_0



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Ad absurdum



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Use case:
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Use case: Showing inexpressivity



Proofs are finite



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Proofs are finite



1st excursion: Proving (1)

Assume $\mathcal{T} \models \varphi$. Then by Gödel's completeness theorem $\mathcal{T} \vdash \varphi$. So there is a formal proof \mathcal{P} of $\mathcal{T} \vdash \varphi$. Since proofs are finite the proof \mathcal{P} uses only finitely many axioms of \mathcal{T} . Call them \mathcal{T}_0 .

Thus $\mathcal{T}_0 \vdash \varphi$ holds (use the same proof as before!). After asking Gödel about " $\models = \vdash$ " again we are done.

Ad absurdum



2nd excursion: Proving (2)

Towards a contradiction suppose \mathcal{T} is unsatisfiable. So $\mathcal{T} \models \bot$.

Let \mathcal{T} be an FO-theory and let φ be an FO sentence.

- **1.** If $\mathcal{T} \models \varphi$ then there is a finite $\mathcal{T}_0 \subseteq \mathcal{T}$ such that $\mathcal{T}_0 \models \varphi$.
- **2.** If every *finite* $\mathcal{T}_0 \subseteq \mathcal{T}$ is satisfiable then \mathcal{T} is satisfiable.



Use case: Showing inexpressivity



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Towards a contradiction suppose \mathcal{T} is unsatisfiable. So $\mathcal{T} \models \bot$. By (1) there is a finite $\mathcal{T}_0 \subseteq \mathcal{T}$ such that $\mathcal{T}_0 \models \bot$.

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Towards a contradiction suppose \mathcal{T} is unsatisfiable. So $\mathcal{T} \models \bot$.

By (1) there is a finite $\mathcal{T}_0 \subseteq \mathcal{T}$ such that $\mathcal{T}_0 \models \bot$.

Thus \mathcal{T} has an unsatisfiable finite subset (\mathcal{T}_0) . A contradiction!

The general proof scheme to show that the property ${\mathcal P}$ is not FO-definable.

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$$\varphi_0^{\operatorname{reach}(a,b)} := a = b, \ \varphi_1^{\operatorname{reach}(a,b)} := E(a,b), \varphi_k^{\operatorname{reach}(a,b)} := \exists x_1 \dots \exists x_{k-1} \ E(a,x_1) \wedge \wedge_{i=1}^{k-2} E(x_i,x_{i+1}) \wedge E(x_{k-1},b)$$

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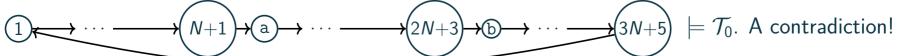
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Formulae are preserved by isomorphisms, so $\mathfrak{B} \models \neg \varphi$ implies $\mathfrak{A} \models \neg \varphi$:



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So by compactness \mathcal{T}_1 and \mathcal{T}_2 are also satisfiable (∞ models!).

Thus, by Löwenheim-Skolem, $\mathcal{T}_1, \mathcal{T}_2$ have countably-inf models $\mathfrak A$ and $\mathfrak B$.

By $\mathfrak{A} \models \mathcal{T}_1$ we get $\mathfrak{A} \models \varphi$, and $\mathfrak{A} \models \mathcal{T}_2$ we get $\mathfrak{B} \models \neg \varphi$.

As there is a bijection between any two countably-inf sets, we get $\mathfrak{A}\cong\mathfrak{B}$.

Formulae are preserved by isomorphisms, so $\mathfrak{B} \models \neg \varphi$ implies $\mathfrak{A} \models \neg \varphi$:

By $\mathfrak{A} \models \mathcal{T}_1$ we get $\mathfrak{A} \models \varphi$.



Exploit ∞

Let λ_k say "there are $\geq k$ elem.".



Löwenheim-Skolem!



The previous proof does not give us any information about the finite domain reasoning.

Even worse, Compactness fails in the finite setting (exercise). Can we use it nevertheless?

There is no FO[\emptyset] formula expressing the domain is even over \emptyset -structures.

Proof:

Suppose that such a φ exists. Consider two theories \mathcal{T}_1 and \mathcal{T}_2 :

$$\mathcal{T}_1 := \{\varphi\} \cup \{\lambda_k \mid k \geq 0\}, \quad \mathcal{T}_2 := \{\neg \varphi\} \cup \{\lambda_k \mid k \geq 0\}.$$

It's easy to see that any finite subset of \mathcal{T}_1 and \mathcal{T}_2 is satisfiable (WHY?).

So by compactness \mathcal{T}_1 and \mathcal{T}_2 are also satisfiable (∞ models!).

Thus, by Löwenheim–Skolem, $\mathcal{T}_1, \mathcal{T}_2$ have countably-inf models $\mathfrak A$ and $\mathfrak B$.

By $\mathfrak{A} \models \mathcal{T}_1$ we get $\mathfrak{A} \models \varphi$, and $\mathfrak{A} \models \mathcal{T}_2$ we get $\mathfrak{B} \models \neg \varphi$.

As there is a bijection between any two countably-inf sets, we get $\mathfrak{A}\cong\mathfrak{B}$.

Formulae are preserved by isomorphisms, so $\mathfrak{B} \models \neg \varphi$ implies $\mathfrak{A} \models \neg \varphi$:

By $\mathfrak{A} \models \mathcal{T}_1$ we get $\mathfrak{A} \models \varphi$. A contradiction (with the semantics of \models)!



Exploit ∞ !

Let λ_k say "there are $\geq k$ elem.".



Löwenheim-Skolem!



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