DATABASE THEORY

Lecture 9: Query Optimisation

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Knowledge-Based Systems

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We have studied FO queries and the simpler conjunctive queries

Our focus was on query answering complexity:

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<th>Combined complexity</th>
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Can we optimise query execution without looking at the database?

Queries are logical formulae, so some things might follow ...
Static Query Optimisation

Can we optimise query execution without looking at the database?

Queries are logical formulae, so some things might follow . . .

Query equivalence:
Will the queries $Q_1$ and $Q_2$ return the same answers over any database?

- In symbols: $Q_1 \equiv Q_2$
- We have seen many examples of equivalent transformations in exercises
- Several uses for optimisation:
  - $\Rightarrow$ DBMS could run the “nicer” of two equivalent queries
  - $\Rightarrow$ DBMS could use cached results of one query for the other
  - $\Rightarrow$ Also applicable to equivalent subqueries
Other things that could be useful:

- **Query emptiness**: Will query $Q$ never have any results?
  
  $\leadsto$ Special equivalence with an “empty query”
  
  (e.g., $x \neq x$ or $R(x) \land \neg R(x)$)
  
  $\leadsto$ Empty (sub)queries could be answered immediately

- **Query containment**: Will the query $Q_1$ return a subset of the results of query $Q_2$?
  
  (in symbols: $Q_1 \sqsubseteq Q_2$)
  
  $\leadsto$ Generalisation of equivalence:
  
  $Q_1 \equiv Q_2$ if and only if $Q_1 \sqsubseteq Q_2$ and $Q_2 \sqsubseteq Q_1$

- **Query minimisation**: Given a query $Q$, can we find an equivalent query $Q'$ that is “as simple as possible.”
First-order logic: Decidable or not?

We have seen in recent lectures:

- FO queries can be answered in PSpace (combined complexity) and \( AC^0 \) (data complexity)
- FO queries correspond to relational algebra, so every relational DBMS answers FO queries in practice
First-order logic: Decidable or not?

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In foundational courses on logic, you should have learned

- Reasoning in first-order logic is undecidable

Indeed, Wikipedia says it too (so it must be true . . .):

- “Unlike propositional logic, first-order logic is undecidable (although semidecidable)” [Wikipedia article First-order logic]
First-order logic: Decidable or not?

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Is the first-order logic we use different from the first-order logic used elsewhere?
Is mathematics inconsistent?
Solving the Mystery

All of the above are true for first-order logic but people are studying different decision problems:

Problem 1: Model Checking
- Given: a logical sentence $\varphi$ and a finite model $I$
- Question: is $I$ a model for $\varphi$, i.e., is $\varphi$ satisfied in $I$?
- Corresponds to Boolean query entailment
- PSpace-complete for first-order sentences

Problem 2: Satisfiability Checking
- Given: a logical sentence $\varphi$
- Question: does $\varphi$ have any model?
- (Turing-)equivalent to many reasoning problems (entailment, tautology, unsatisfiability, etc.)
- Undecidable for first-order sentences
What do these results mean for query optimisation?

**Two similar questions:**

1. Are the Boolean FO queries $\varphi_1$ and $\varphi_2$ equivalent?
2. Are the FO sentences $\varphi_1$ and $\varphi_2$ equivalent?

$\leadsto$ So FO query equivalence is undecidable?
What do these results mean for query optimisation?

**Two similar questions:**

1. Are the Boolean FO queries $\varphi_1$ and $\varphi_2$ equivalent?
2. Are the FO sentences $\varphi_1$ and $\varphi_2$ equivalent?

$\sim$ So FO query equivalence is undecidable?

**However,** (1) is not equivalent to (2) but to the following:

2$'$. Are the FO sentences $\varphi_1$ and $\varphi_2$ equivalent in all finite interpretations?

$\sim$ finite-model reasoning for FO logic
Finite-Model Reasoning

Does it really make a difference?

Example formula \( \phi \):

\[
(\forall x. \exists y. R(x,y)) \land \left( \forall x, y_1, y_2. R(x, y_1) \land R(x, y_2) \rightarrow y_1 \approx y_2 \right) \land R \text{ is a function . . .} \\
\left( \forall x_1, x_2, y. R(x_1, y) \land R(x_2, y) \rightarrow x_1 \approx x_2 \right) \land . . . \text{and injective . . .} \\
\left( \exists y. \forall x. \neg R(x,y) \right) \ldots \text{but not surjective}
\]

Such a function \( R \) can only exist over an infinite domain.

\{ over finite models, \( \phi \) is unsatisfiable \}
\{ \( \phi \) is finitely equivalent to \( \forall x. R(x, x) \land \neg R(x, x) \) \}
\{ this equivalence does not hold on arbitrary models \}
Does it really make a difference?

Yes. Example formula $\varphi$:

\[
(\forall x. \exists y. R(x, y)) \land \\
(\forall x, y_1, y_2. R(x, y_1) \land R(x, y_2) \rightarrow y_1 \approx y_2) \land \\
(\forall x_1, x_2, y. R(x_1, y) \land R(x_2, y) \rightarrow x_1 \approx x_2) \land \\
(\exists y. \forall x. \neg R(x, y))
\]

$R$ is a function . . .

. . . and injective . . .

. . . but not surjective

Such a function $R$ can only exist over an infinite domain.

\[ \not\sim \text{ over finite models, } \varphi \text{ is unsatisfiable} \]

\[ \not\sim \varphi \text{ is finitely equivalent to } \forall x. R(x, x) \land \neg R(x, x) \]

\[ \not\sim \text{ this equivalence does not hold on arbitrary models} \]
Trakhtenbrot’s Theorem

Is finite-model reasoning easier than FO reasoning in general?

Unfortunately no:

Theorem 9.1 (Boris Trakhtenbrot, 1950):
Finite-model reasoning of first-order logic is undecidable.

Interesting observation:
• The set of all true sentences (tautologies) of FO is recursively enumerable (“FO entailment is semi-decidable”)
• but the set of all FO tautologies under finite models is not.

{ finite model reasoning is harder than FO reasoning in this case!}
Is finite-model reasoning easier than FO reasoning in general?

Unfortunately no:

**Theorem 9.1 (Boris Trakhtenbrot, 1950):** Finite-model reasoning of first-order logic is undecidable.

Interesting observation:

- The set of all true sentences (tautologies) of FO is recursively enumerable ("FO entailment is semi-decidable")
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$\sim$ finite model reasoning is harder than FO reasoning in this case!
Let's Prove Trakhtenbrot's Theorem

**Proof idea:** reduce the Halting Problem to finite satisfiability

- **Input of the reduction:**
  a deterministic Turing Machine (DTM) $M$ and an input string $w$
- **Output of the reduction:** a first-order formula $\varphi_{M,w}$
- **Such that** $M$ halts on $w$ if and only if $\varphi_{M,w}$ has a finite model
Proof idea: reduce the Halting Problem to finite satisfiability

- Input of the reduction:
  a deterministic Turing Machine (DTM) $M$ and an input string $w$
- Output of the reduction: a first-order formula $\varphi_{M,w}$
- Such that $M$ halts on $w$ if and only if $\varphi_{M,w}$ has a finite model

Ok, this would do, because Halting of DTMs is undecidable, but how should we achieve this?

- Capture the computation of the DTM in a finite model
- The model contains the whole run: the tape and state for every computation step
- A finite part of the tape is enough if the DTM halts
Recall: Turing Machine is given as $M = \langle Q, q_{\text{start}}, q_{\text{acc}}, \Sigma, \Delta \rangle$
(state set $Q$, tape alphabet $\Sigma$ with blank $\_\_$, transitions $\Delta \subseteq (Q \times \Sigma) \times (Q \times \Sigma \times \{l, r, s\})$)

A configuration is a (finite piece of) tape + a position + a state:
$q \in Q$

```
  ↓
T A P E C O N T E N T S   ↓
```

Here is how we want part of our model (database) to look:

```
S_T   S_A   S_P   S_{E, H_q}   S_C
```

(right right right right right)

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Encoding TM Runs as Relational Structures

We use several unary predicate symbols to mark tape cells:

- $S_\sigma(\cdot)$ for each $\sigma \in \Sigma$: tape cell contains symbol $\sigma$
- $H_q(\cdot)$ for each $q \in Q$: head is at tape cell, and TM is in state $q$

We use two binary predicate symbols to connect tape positions:

- $\text{right}(\cdot, \cdot)$: neighbouring tape cells at same step
- $\text{right}^+(\cdot, \cdot)$: transitive super-relation of right
- $\text{future}(\cdot, \cdot)$: tape cells at same position in consecutive steps
We now need to specify formulae to enforce this intended structure (or something that is close enough to it).
Defining the Initial Configuration

Require that $\text{right}^+$ is a transitive super-relation of right:

$$\varphi_{\text{right}^+} = \forall x, y. (\text{right}(x, y) \rightarrow \text{right}^+(x, y)) \land \\
\forall x, y, z. (\text{right}(x, y) \land \text{right}^+(y, z) \rightarrow \text{right}^+(x, z))$$

Define start configuration for an input word $w = \sigma_1 \sigma_2 \ldots \sigma_n$:

$$\varphi_w = \exists x_1, \ldots, x_n. H_{q_{\text{start}}}(x_1) \land \neg \exists z. \text{right}(z, x_1) \land \\
S_{\sigma_1}(x_1) \land \neg \exists z. \text{future}(z, x_1) \land \text{right}(x_1, x_2) \land \\
S_{\sigma_2}(x_2) \land \neg \exists z. \text{future}(z, x_2) \land \text{right}(x_2, x_3) \land \\
\ldots \\
S_{\sigma_n}(x_n) \land \neg \exists z. \text{future}(z, x_n) \land \\
\forall y. (\text{right}^+(x_n, y) \rightarrow (S_{\omega}(y) \land \neg \exists z. \text{future}(z, y)))$$

$\nearrow$ there can be any number of cells right of the input, but they must contain $\omega$.  

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A cell can only contain one symbol:

$$\varphi_S = \bigwedge_{\sigma, \sigma' \in \Sigma, \sigma \neq \sigma'} \forall x. (\neg S_{\sigma'}(x) \lor \neg S_{\sigma}(x))$$

The TM is never at more than one position:

$$\varphi_H = \bigwedge_{q \in Q} \forall x, y. \left( H_q(x) \land \text{right}^+(x, y) \rightarrow \bigwedge_{q' \in Q} \neg H_{q'}(y) \right) \land$$

$$\bigwedge_{q \in Q} \forall x, y. \left( \text{right}^+(x, y) \land H_q(y) \rightarrow \bigwedge_{q' \in Q} \neg H_{q'}(x) \right)$$

The TM can only be in one state:

$$\varphi_Q = \bigwedge_{q, q' \in Q, q \neq q'} \forall x. (\neg H_q(x) \lor \neg H_{q'}(x))$$
For every non-moving transition $\delta = \langle q, \sigma, q', \sigma', s \rangle \in \Delta$:

$$\varphi_\delta = \forall x. H_q(x) \land S_\sigma(x) \rightarrow \exists y. \text{future}(x, y) \land S_{\sigma'}(y) \land H_{q'}(y)$$

For every right-moving transition $\delta = \langle q, \sigma, q', \sigma', r \rangle \in \Delta$:

$$\varphi_\delta = \forall x. H_q(x) \land S_\sigma(x) \rightarrow \exists y. \text{future}(x, y) \land S_{\sigma'}(y) \land \exists z. \text{right}(y, z) \land H_{q'}(z)$$

For every left-moving transition $\delta = \langle q, \sigma, q', \sigma', l \rangle \in \Delta$:

$$\varphi_\delta = \forall x. H_q(x) \land S_\sigma(x) \land (\exists v. \text{right}(v, x)) \rightarrow \exists y. \text{future}(x, y) \land S_{\sigma'}(y) \land$$

$$\exists z. \text{right}(y, z) \land H_{q'}(z)$$

Summing all up:

$$\varphi_\Delta = \bigwedge_{\delta \in \Delta} \varphi_\delta$$
Contents of tape cells that are not under the head are kept:

$$
\varphi_{\text{mem}} = \forall x, y. \bigwedge_{\sigma \in \Sigma} \left( S_{\sigma}(x) \land \left( \bigwedge_{q \in Q} \neg H_q(x) \right) \land \text{future}(x, y) \rightarrow S_{\sigma}(y) \right)
$$
Building the Configuration Grid

If one cell has a future (→) or past (←), respectively, all cells of the tape do:

\[
\varphi_{fp1} = \forall x_2, y_1. (\exists x_1. \text{right}(x_1, y_1) \land \text{future}(x_1, x_2)) \leftrightarrow (\exists y_2. \text{future}(y_1, y_2) \land \text{right}(x_2, y_2)) \\
\varphi_{fp2} = \forall x_1, y_2. (\exists y_1. \text{right}(x_1, y_1) \land \text{future}(y_1, y_2)) \leftrightarrow (\exists x_2. \text{future}(x_1, x_2) \land \text{right}(x_2, y_2))
\]

Left (\(l\)) and right (\(r\)) neighbours, and future (\(f\)) and past (\(p\)) are unique:

\[
\varphi_r = \forall x, y, y'. \text{right}(x, y) \land \text{right}(x, y') \rightarrow y \approx y' \\
\varphi_l = \forall x, x', y. \text{right}(x, y) \land \text{right}(x', y) \rightarrow x \approx x' \\
\varphi_f = \forall x, y, y'. \text{future}(x, y) \land \text{future}(x, y') \rightarrow y \approx y' \\
\varphi_p = \forall x, x', y. \text{future}(x, y) \land \text{future}(x', y) \rightarrow x \approx x'
\]
Finishing the Proof of Trakhtenbrot’s Theorem

We obtain a final FO formula

\[ \varphi_{M,w} = \varphi_{\text{right}}^{+} \land \varphi_{w} \land \varphi_{S} \land \varphi_{H} \land \varphi_{Q} \land \varphi_{\Delta} \land \varphi_{\text{mem}} \land \varphi_{fp1} \land \varphi_{fp2} \land \varphi_{r} \land \varphi_{l} \land \varphi_{f} \land \varphi_{p} \]

Then \( \varphi_{M,w} \) is finitely satisfiable if and only if \( M \) halts on \( w \):

- If \( M \) has a finite run when started on \( w \),
  then \( \varphi_{M,w} \) has a finite model that encodes this run.
- If \( \varphi_{M,w} \) has a finite model,
  then we can extract from this model a finite run of \( M \) on \( w \).

Note: the proof can be made to work using only one binary relation symbol and no equality (not too hard, but less readable)
The Impossibility of FO Query Optimisation

Trakhtenbrot’s Theorem has severe consequences for static FO query optimisation.

**Theorem 9.2 (Exercise):** All of the following decision problems are undecidable:

- Query equivalence
- Query emptiness
- Query containment

→ “perfect” FO query optimisation is impossible

Other important questions about FO queries are also undecidable, for example:

- Is a given FO query domain independent?
Not quite: things are simpler for conjunctive queries

**Example 9.3:** Conjunctive query containment:

Q₁:

\[ \exists x, y, z. \ R(x, y) \land R(y, y) \land R(y, z) \]

Q₂:

\[ \exists u, v, w, t. \ R(u, v) \land R(v, w) \land R(w, t) \]

Q₁ find R-paths of length two with a loop in the middle

Q₂ find R-paths of length three

\[ \leadsto \text{in a loop one can find paths of any length} \]

\[ \leadsto Q₁ \sqsubseteq Q₂ \]
Summary and Outlook

There are many well-defined static optimisation tasks that are independent of the database
\[ \rightarrow \text{query equivalence, containment, emptiness} \]

Unfortunately, all of them are undecidable for FO queries
\[ \rightarrow \text{Slogan: “all interesting questions about FO queries are undecidable”} \]

Open questions:
- More positive results for conjunctive queries
- Measure expressivity rather than just complexity
- Look at query languages beyond first-order logic