



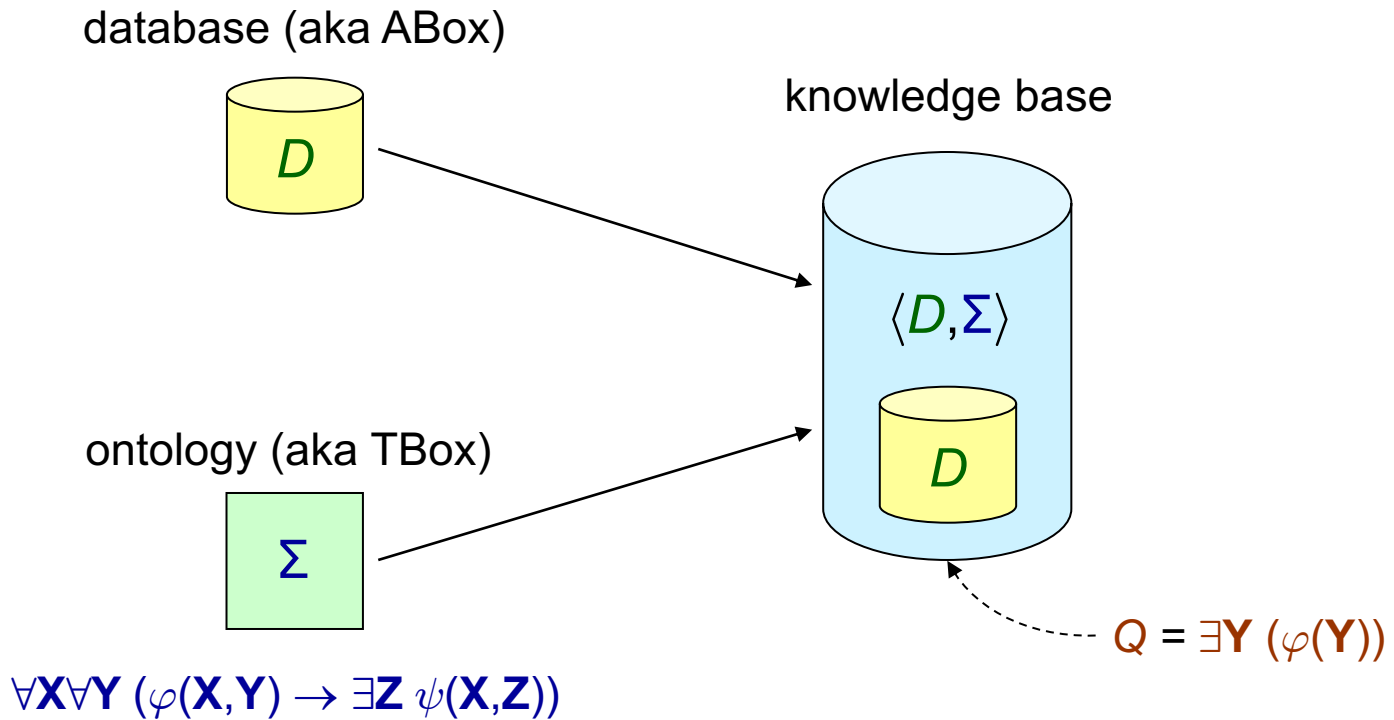
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TU Dresden

# Existential Rules – Lecture 5

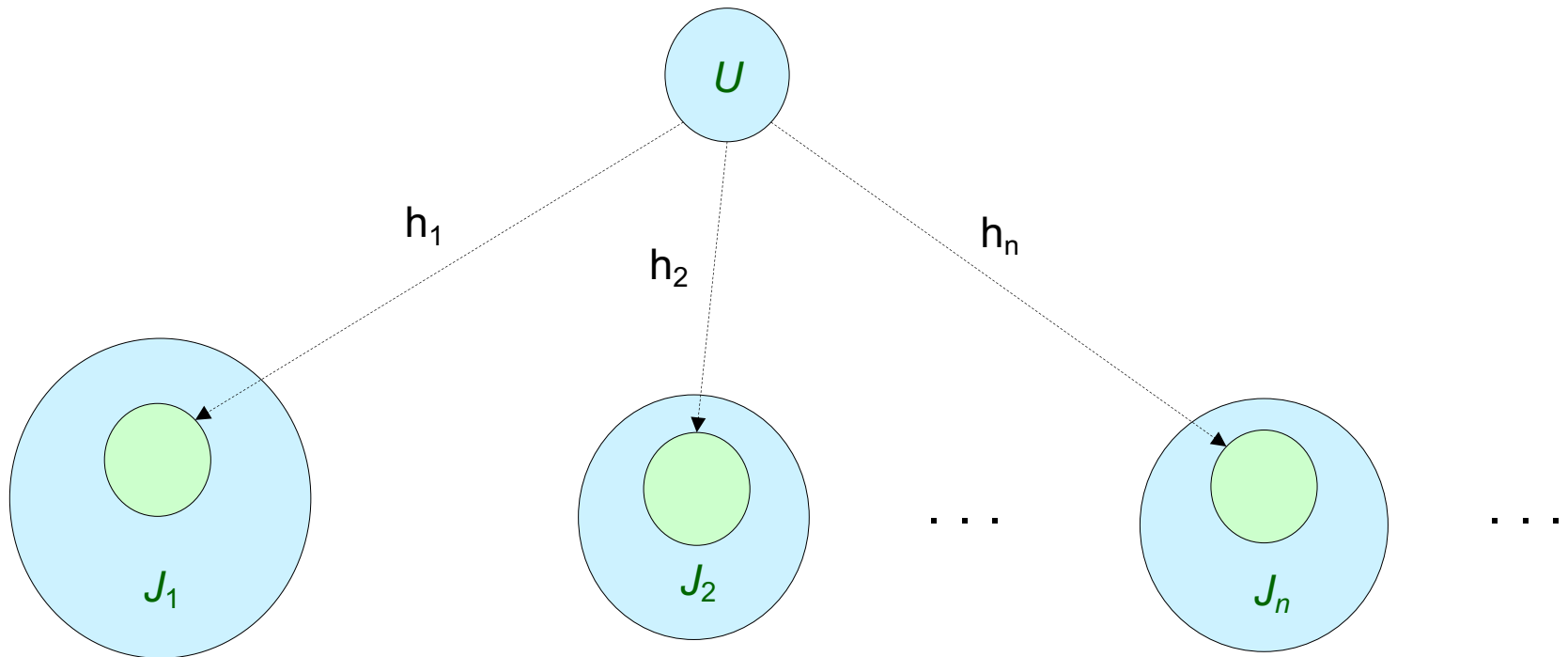
Adapted from slides by Andreas Pieris and Michaël Thomazo  
Summer Term 2023

# BCQ-Answering: Our Main Decision Problem



decide whether  $D \wedge \Sigma \models Q$

# Universal Models (a.k.a. Canonical Models)



An instance  $U$  is a **universal model** of  $D \wedge \Sigma$  if the following holds:

1.  $U$  is a model of  $D \wedge \Sigma$
2.  $\forall J \in \text{models}(D \wedge \Sigma)$ , there exists a homomorphism  $h_J$  such that  $h_J(U) \subseteq J$



# Query Answering via the Chase

Theorem:  $D \wedge \Sigma \models Q$  iff  $U \models Q$ , where  $U$  is a universal model of  $D \wedge \Sigma$

+

Theorem:  $\text{chase}(D, \Sigma)$  is a universal model of  $D \wedge \Sigma$

=

Corollary:  $D \wedge \Sigma \models Q$  iff  $\text{chase}(D, \Sigma) \models Q$



# Rest of the Lecture

- Undecidability of BCQ-Answering
- Gaining decidability - terminating chase
- Full Existential Rules
- Acyclic Existential Rules



# Undecidability of BCQ-Answering

Theorem: BCQ-Answering is **undecidable**

Proof : By simulating a deterministic Turing machine with an empty tape

**...syntactic restrictions are needed!!!**



# What is the Source of Non-termination?



$\Sigma$

$\forall X (Person(X) \rightarrow \exists Y (hasParent(X, Y) \wedge Person(Y)))$

$chase(D, \Sigma) = D \cup \{hasParent(Alice, z_1), Person(z_1),$

$hasParent(z_1, z_2), Person(z_2),$

$hasParent(z_2, z_3), Person(z_3), \dots$

1. Existential quantification
2. Recursive definitions



# Termination of the Chase

- Drop the existential quantification
  - We obtain the class of **full** existential rules
  - Very close to Datalog
  
- Drop the recursive definitions
  - We obtain the class of **acyclic** existential rules
  - A.k.a. non-recursive existential rules





# Full Existential Rules

- A **full existential rule** is an existential rule of the form

$$\forall X \forall Y (\varphi(X, Y) \rightarrow \psi(X))$$

- We denote **FULL** the class of full existential rules
- A **local property** - we can inspect one rule at a time
  - ⇒ given  $\Sigma$ , we can decide in linear time whether  $\Sigma \in \text{FULL}$
  - ⇒ closed under union -  $\Sigma_1 \in \text{FULL}, \Sigma_2 \in \text{FULL} \Rightarrow (\Sigma_1 \cup \Sigma_2) \in \text{FULL}$
- Why does the chase terminate?

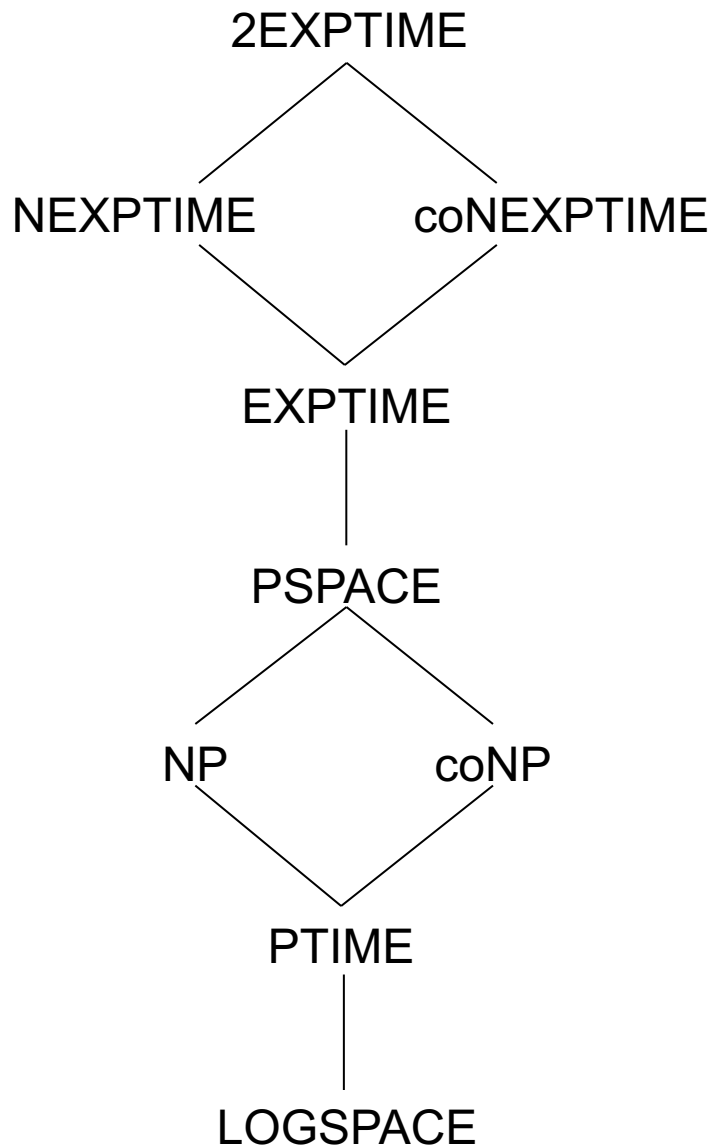


# Complexity Measures for Query Answering

- **Data complexity:** is calculated by considering only the database as part of the input, while the ontology and the query are fixed
- **Combined complexity:** is calculated by considering, apart from the database, also the ontology and the query as part of the input
- Data complexity vs. Combined complexity
  - Data complexity tends to be a more meaningful measure - ontologies and queries tend to be small; databases tend to be large
  - Nevertheless, the combined complexity is a relevant measure - identifies the real source of complexity



# Some Important Complexity Classes



Problems that can be solved by an algorithm that runs in **double-exponential time**

We need the power of non-determinism

Problems that can be solved by an algorithm that runs in **exponential time**

Problems that can be solved by an algorithm that uses a **polynomial amount of memory**

We need the power of non-determinism

Problems that can be solved by an algorithm that runs in **polynomial time**

Problems that can be solved by an algorithm that uses a **logarithmic amount of memory**



# Data Complexity of FULL

Theorem: BCQ-Answering under FULL is in PTIME w.r.t. the data complexity

(Analysis of “brute force” materialization and querying algorithm.)

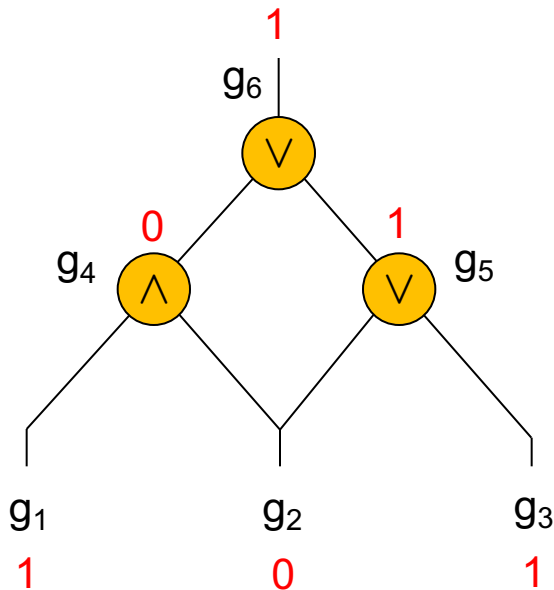
We cannot do better than the naïve algorithm

Theorem: BCQ-Answering under FULL is PTIME-hard w.r.t. the data complexity

Proof : By a LOGSPACE reduction from Monotone Circuit Value problem



# Data Complexity of FULL



Does the circuit evaluate to *true*?

encoding of the circuit as a database  $D$

$T(g_1)$   $T(g_3)$

$AND(g_4, g_1, g_2)$   $OR(g_5, g_2, g_3)$   $OR(g_6, g_4, g_5)$

evaluation of the circuit via a *fixed* set  $\Sigma$

$\forall X \forall Y \forall Z (T(X) \wedge OR(Z, X, Y) \rightarrow T(Z))$

$\forall X \forall Y \forall Z (T(Y) \wedge OR(Z, X, Y) \rightarrow T(Z))$

$\forall X \forall Y \forall Z (T(X) \wedge T(Y) \wedge AND(Z, X, Y) \rightarrow T(Z))$

Circuit evaluates to *true* iff  $D \wedge \Sigma \models T(g_6)$

# Combined Complexity of FULL

Theorem: BCQ-Answering under FULL is in EXPTIME w.r.t. the combined complexity

Proof: Consider a database  $D$ , a set  $\Sigma \in \text{FULL}$ , and a BCQ  $Q$

We apply the naïve algorithm:

1. Construct  $\text{chase}(D, \Sigma)$
2. Check for the existence of a homomorphism  $h$  such that  $h(Q) \subseteq \text{chase}(D, \Sigma)$

By our previous analysis, in the worst case, the naïve algorithm runs in time

$$\begin{aligned} & (|\text{sch}(\Sigma)| \cdot (|\text{adom}(D)|)^{\text{maxarity}})^2 \cdot |\Sigma| \cdot (|\text{adom}(D)|)^{\text{maxvariables}(\Sigma)} \cdot \text{maxbody}(\Sigma) \\ & \quad + \\ & (|\text{adom}(D)|)^{\#\text{variables}(Q)} \cdot |Q| \cdot |\text{sch}(\Sigma)| \cdot (|\text{adom}(D)|)^{\text{maxarity}} \end{aligned}$$



# Combined Complexity of FULL

We cannot do better than the naïve algorithm

Theorem: BCQ-Answering under FULL is EXPTIME-hard w.r.t. the combined complexity

Proof : By simulating a deterministic exponential time Turing machine



# EXPTIME-hardness of FULL

Our Goal: Encode the exponential time computation of a DTM  $M$  on input string  $I$  using a database  $D$ , a set  $\Sigma \in \text{FULL}$ , and a BCQ  $Q$  such that

$D \wedge \Sigma \models Q$  iff  $M$  accepts  $I$  in at most  $N = 2^m$  steps, where  $m = |I|^k$





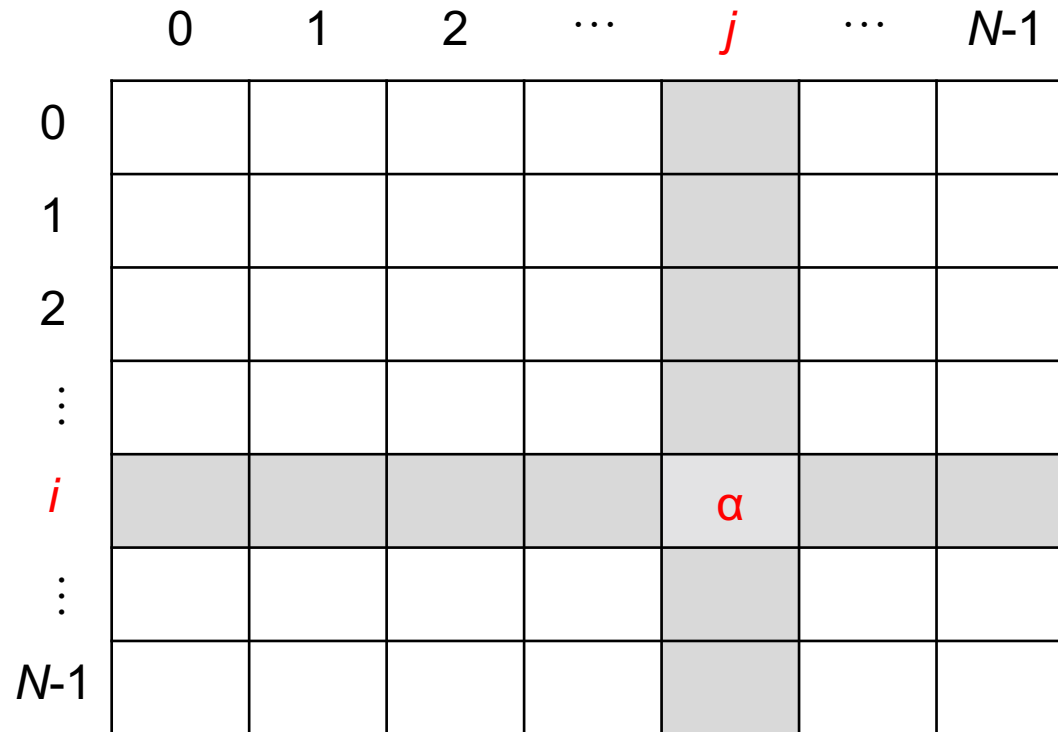
# The Schema

	0	1	2	...	$j$	...	$N-1$
0							
1							
2							
⋮							
$i$					$\alpha$		
⋮							
$N-1$							

*Symbol* $[\alpha](i,j)$  - at time instant  $i$ , cell  $j$  contains  $\alpha$



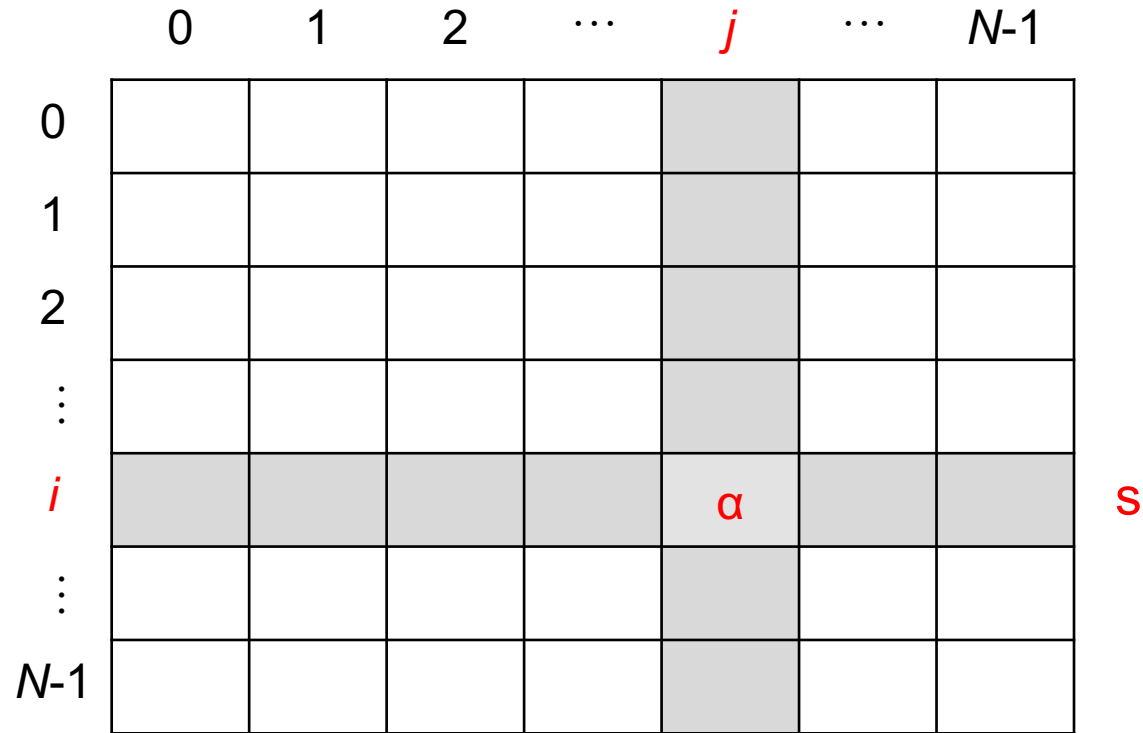
# The Schema



*Cursor*( $i,j$ ) - at time instant  $i$ , cursor points to cell  $j$



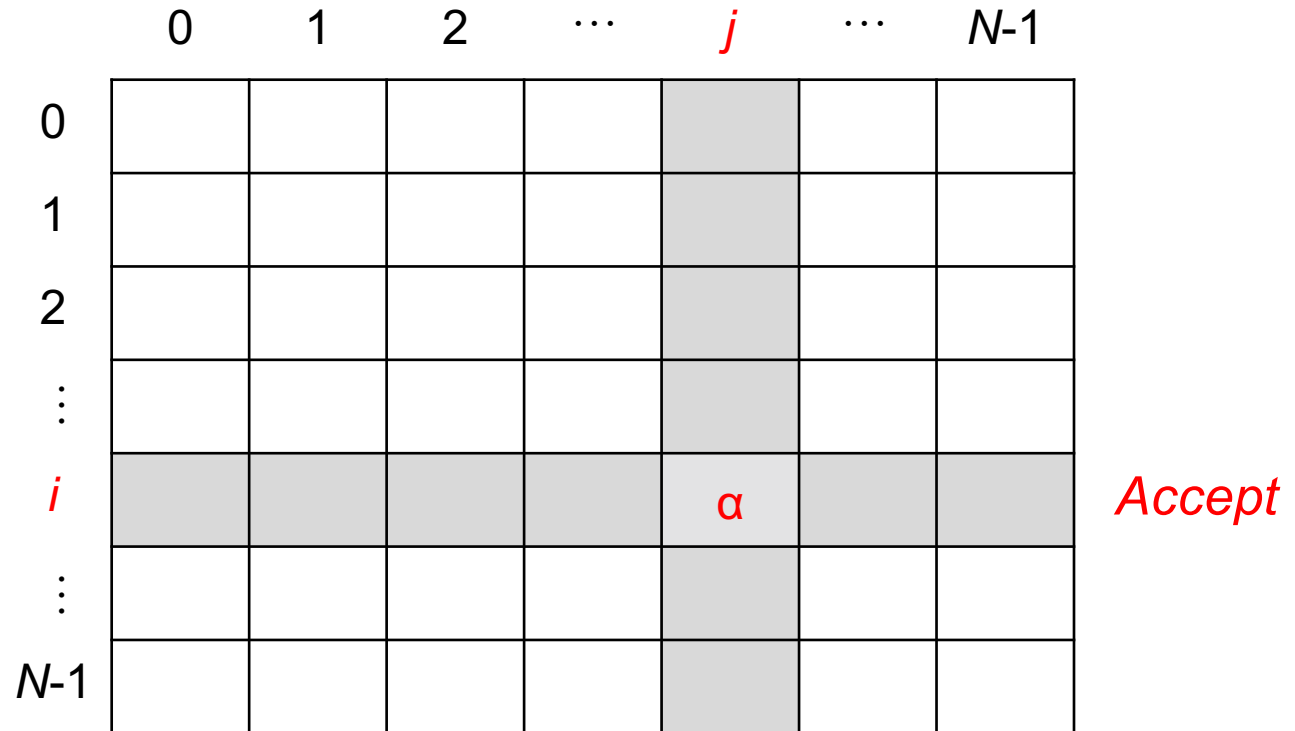
# The Schema



*State*[*s*](*i*) - at time instant *i*, the machine is in state *s*



# The Schema



*Accept*( $i$ ) - at time instant  $i$ , the machine accepts



# The Schema

	0	1	2	...	$j$	...	$N-1$
0							
1							
2							
⋮							
$i$							
⋮							
$N-1$							

$First(0), Succ(0,1), Succ(1,2), Succ(2,3), \dots, Succ(N-2,N-1)$

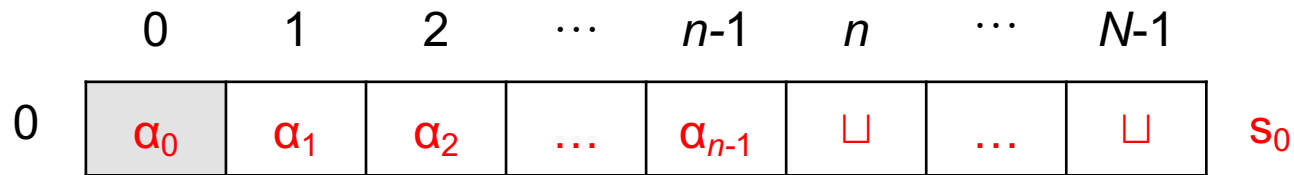
} will be defined later

$\prec$  - transitive closure of  $Succ$



# Initialization Rules

Assume that  $I = \alpha_0 \dots \alpha_{n-1}$



$$\forall T (First(T) \rightarrow Symbol[\alpha_i](T,i) \wedge Cursor(T,T) \wedge State[s_0](T))$$

$$\forall T \forall C (First(T) \wedge \prec(n-1,C) \rightarrow Symbol[\sqcup](T,C))$$



# Transition Rules

$$\delta(s_1, \alpha) = (s_2, \beta, +1)$$

	$j$	$j+1$	$j+2$	
$i$	x	$\alpha$	y	$s_1$
$i+1$	x	$\beta$	y	$s_2$

$$\forall T \forall T_1 \forall C \forall C_1 (State[s_1](T) \wedge Cursor(T, C) \wedge Symbol[\alpha](T, C) \wedge Succ(T, T_1) \wedge Succ(C, C_1) \rightarrow \\ Symbol[\beta](T_1, C_1) \wedge Cursor(T_1, C_1) \wedge State[s_2](T_1))$$



# Inertia Rules

Cells that are not changed during the transition **keep their old values**

	$j$	$j+1$	$j+2$	
$i$	$x$	$\alpha$	$y$	$s_1$
$i+1$	$x$	$\beta$	$y$	$s_2$

$$\forall T \forall T_1 \forall C \forall C_1 (Symbol[\alpha](T, C) \wedge Cursor(T, C_1) \wedge \prec(C, C_1) \wedge Succ(T, T_1) \rightarrow Symbol[\alpha](T_1, C))$$

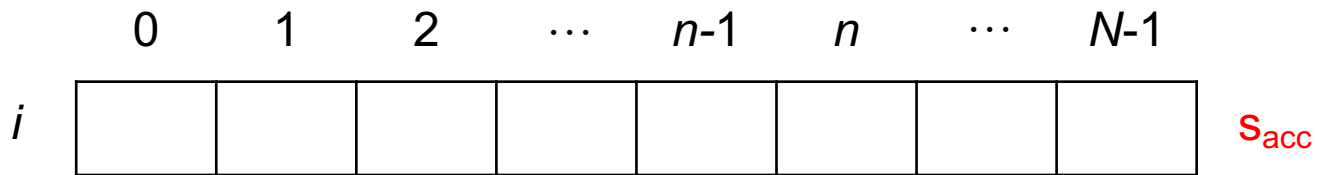
$$\forall T \forall T_1 \forall C \forall C_1 (Symbol[\alpha](T, C) \wedge Cursor(T, C_1) \wedge \prec(C_1, C) \wedge Succ(T, T_1) \rightarrow Symbol[\alpha](T_1, C))$$





# Accepting Rule

Once we reach the **accepting state** we accept



$$\forall T (State[s_{acc}](T) \rightarrow Accept(T))$$



# Defining *First*, *Succ* and $\prec$

- $First(0), Succ(0,1), Succ(1,2), Succ(2,3), \dots, Succ(N-2,N-1)$
- In fact,  $0, \dots, N-1$  are in **binary form** - assume the  $N = 2^m$ , where  $m = 3$   
 $First(0,0,0), Succ(0,0,0,0,0,1), Succ(0,0,1,0,1,0), \dots, Succ(1,1,0,1,1,1)$
- **Inductive definition** of  $First_i$  and  $Succ_i$

$$D = \{First_1(0), Last_1(1), Succ_1(0,1)\}$$

$$First_2(0,0), Last_2(1,1), Succ_2(0,0,0,1), Succ_2(0,1,1,0), Succ(1,0,1,1)$$

$$\forall X (First_1(X) \rightarrow First_2(X,X))$$

$$\forall X (Last_1(X) \rightarrow Last_2(X,X))$$



# Defining *First*, *Succ* and $\prec$

- $First(0), Succ(0,1), Succ(1,2), Succ(2,3), \dots, Succ(N-2,N-1)$
- In fact,  $0, \dots, N-1$  are in **binary form** - assume the  $N = 2^m$ , where  $m = 3$   
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$$First_2(0,0), Last_2(1,1), Succ_2(0,0,0,1), Succ_2(0,1,1,0), Succ(1,0,1,1)$$

$$\forall X \forall Y \forall Z (First_1(X), Succ_1(Y,Z) \rightarrow Succ_2(X,Y,X,Z))$$

$$\forall X \forall Y \forall Z (Last_1(X), Succ_1(Y,Z) \rightarrow Succ_2(X,Y,X,Z))$$



# Defining *First*, *Succ* and $\prec$

- $First(0), Succ(0,1), Succ(1,2), Succ(2,3), \dots, Succ(N-2,N-1)$
- In fact,  $0, \dots, N-1$  are in **binary form** - assume  $N = 2^m$ , where  $m = 3$   
 $First(0,0,0), Succ(0,0,0,0,0,1), Succ(0,0,1,0,1,0), \dots, Succ(1,1,0,1,1,1)$

- **Inductive definition** of  $First_i$  and  $Succ_i$

$$D = \{First_1(0), Last_1(1), Succ_1(0,1)\}$$

$$First_2(0,0), Last_2(1,1), Succ_2(0,0,0,1), Succ_2(0,1,1,0), Succ(1,0,1,1)$$

$$\forall X \forall Y \forall Z \forall W (Last_1(X), First_1(Y), Succ_1(Z,W) \rightarrow Succ_2(Z,X,W,Y))$$



# Defining *First*, *Succ* and $\prec$

$$D = \{First_1(0), Last_1(1), Succ_1(0,1)\}$$

Inductive definition of  $First_{i+1}$  and  $Succ_{i+1}$ :

$$\forall \mathbf{X} \forall \mathbf{Y} (Succ_i(\mathbf{X}, \mathbf{Y}) \rightarrow Succ_{i+1}(Z, \mathbf{X}, Z, \mathbf{Y}))$$

$$\forall \mathbf{X} \forall \mathbf{Y} \forall Z \forall W (Succ_1(Z, W) \wedge Last_i(\mathbf{X}) \wedge First_i(\mathbf{Y}) \rightarrow Succ_{i+1}(Z, \mathbf{X}, W, \mathbf{Y}))$$

$$\forall \mathbf{X} \forall Z (First_1(Z) \wedge First_i(\mathbf{X}) \rightarrow First_{i+1}(Z, \mathbf{X}))$$

$$\forall \mathbf{X} \forall Z (Last_1(Z) \wedge Last_i(\mathbf{X}) \rightarrow Last_{i+1}(Z, \mathbf{X}))$$

Definition of  $\prec_m$ :

$$\forall \mathbf{X} \forall \mathbf{Y} (Succ_m(\mathbf{X}, \mathbf{Y}) \rightarrow \prec_m(\mathbf{X}, \mathbf{Y}))$$

$$\forall \mathbf{X} \forall \mathbf{Y} \forall Z (Succ_m(\mathbf{X}, Z) \wedge \prec_m(Z, \mathbf{Y}) \rightarrow \prec_m(\mathbf{X}, \mathbf{Y}))$$



# Concluding EXPTIME-hardness of FULL

- Several rules but polynomially many  $\Rightarrow$  feasible in **polynomial time**
- $D \wedge \Sigma \models \exists X \text{Accept}(X)$  iff  $M$  accepts  $I$  in at most  $N$  steps
- Can be formally shown **by induction** on the time steps

Corollary: BCQ-Answering under FULL is **EXPTIME-complete w.r.t. the combined complexity**



# Termination of the Chase

- Drop the existential quantification
  - We obtain the class of **full** existential rules
  - Very close to Datalog ✓
  
- Drop the recursive definitions
  - We obtain the class of **acyclic** existential rules
  - A.k.a. non-recursive existential rules



# Acyclic Existential Rules

- The definition of a predicate  $P$  does not depend on  $P$  - formal definition via the predicate graph
- The **predicate graph** of a set  $\Sigma$  of existential rules, denoted  $PG(\Sigma)$ , is the graph  $(V,E)$ , where
  - $V = \{P \mid P \in \text{sch}(\Sigma)\}$
  - $E = \{(P,R) \mid \forall X \forall Y (\dots \wedge P(X,Y) \wedge \dots \rightarrow \exists Z (\dots \wedge R(X,Z) \wedge \dots)) \in \Sigma\}$

$$\forall X (Person(X) \rightarrow \exists Y (hasParent(X,Y) \wedge Person(Y)))$$





# Acyclic Existential Rules

- The definition of a predicate  $P$  does not depend on  $P$  - formal definition via the predicate graph
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  - $E = \{(P,R) \mid \forall X \forall Y (\dots \wedge P(X,Y) \wedge \dots \rightarrow \exists Z (\dots \wedge R(X,Z) \wedge \dots)) \in \Sigma\}$
- A set  $\Sigma$  of existential rules is **acyclic** if the graph  $PG(\Sigma)$  is acyclic
- We denote **ACYCLIC** the class of acyclic existential rules



# Acyclic Existential Rules

- Given  $\Sigma$ , we can decide in polynomial time whether  $\Sigma \in \text{ACYCLIC}$
- But, acyclicity is a **global property** - we have to consider  $\Sigma$  as a whole  
 $\Rightarrow$  not closed under union

$$\forall X \forall Y (R(X, Y) \rightarrow P(Y))$$

$$\forall X (P(X) \rightarrow \exists Y R(X, Y))$$

each rule alone is acyclic, but  
together form a cyclic set of rules

- Why the chase terminates?



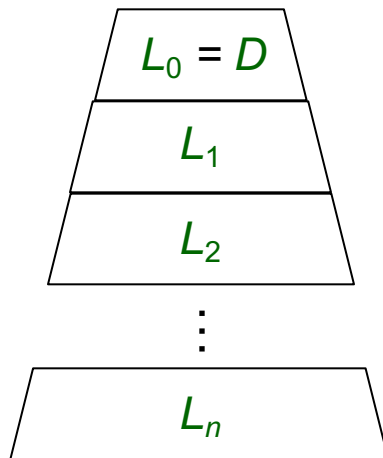
# Acyclic Existential Rules

- A stratification of  $\Sigma$  is a sequence of sets  $\Sigma_1, \dots, \Sigma_n$  such that, for some function  $\mu: \text{sch}(\Sigma) \rightarrow \{1, \dots, n\}$ :
  1.  $\{\Sigma_1, \dots, \Sigma_n\}$  is a partition of  $\Sigma$
  2. For each predicate  $P \in \text{sch}(\Sigma)$ , all the rules with  $P$  in the head are in  $\Sigma_{\mu(P)}$  (i.e., in the same set of the partition)
  3. If  $\forall X \forall Y (\dots \wedge P(X, Y) \wedge \dots \rightarrow \exists Z (\dots \wedge R(X, Z) \wedge \dots)) \in \Sigma$ , then  $\mu(P) < \mu(R)$
- Lemma: (1)  $\Sigma$  is stratifiable iff  $\Sigma \in \text{ACYCLIC}$ 
  - (2) If there exists a path from  $P$  to  $R$  in  $\text{PG}(\Sigma)$ , then  $\mu(P) < \mu(R)$
- Thus, by exploiting the predicate graph, we can compute a stratification of  $\Sigma$



# Acyclic Existential Rules

- Consider  $\Sigma \in \mathbf{ACYCLIC}$ , and let  $\Sigma_1, \dots, \Sigma_n$  be a stratification of  $\Sigma$
- Construct the chase by considering one stratum after the other starting from  $\Sigma_1$



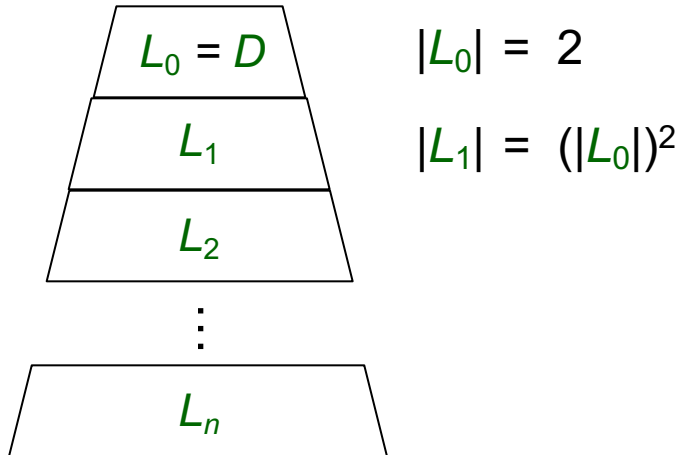
- For each  $k \in \{1, \dots, n-1\}$ ,  $L_k = \text{chase}(L_{k-1}, \Sigma_k)$
- $n$  is finite  $\Rightarrow$  **the chase terminates**

$\Rightarrow$  **the naïve algorithm gives a decision procedure**

...but, can we do better than the naïve algorithm?



# The Naïve Algorithm for **ACYCLIC**



		$L_1$
0	0	$\mathbf{z}_{00}$
0	1	$\mathbf{z}_{01}$
1	0	$\mathbf{z}_{10}$
1	1	$\mathbf{z}_{11}$

$$D = \{P_0(0), P_0(1)\}$$

$$\Sigma = \{\forall X \forall Y (P_0(X) \wedge P_0(Y) \rightarrow \exists Z (S_1(X, Y, Z) \wedge P_1(Z)))$$

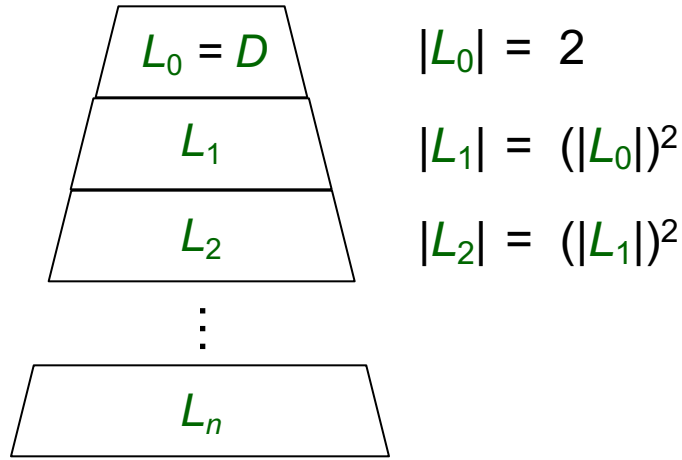
$$\forall X \forall Y (P_1(X) \wedge P_1(Y) \rightarrow \exists Z (S_2(X, Y, Z) \wedge P_2(Z)))$$

...

$$\forall X \forall Y (P_{n-1}(X) \wedge P_{n-1}(Y) \rightarrow \exists Z (S_n(X, Y, Z) \wedge P_n(Z)))\}$$



# The Naïve Algorithm for **ACYCLIC**



$$D = \{P_0(0), P_0(1)\}$$

$$\Sigma = \{\forall X \forall Y (P_0(X) \wedge P_0(Y) \rightarrow \exists Z (S_1(X, Y, Z) \wedge P_1(Z)))$$

$$\forall X \forall Y (P_1(X) \wedge P_1(Y) \rightarrow \exists Z (S_2(X, Y, Z) \wedge P_2(Z)))$$

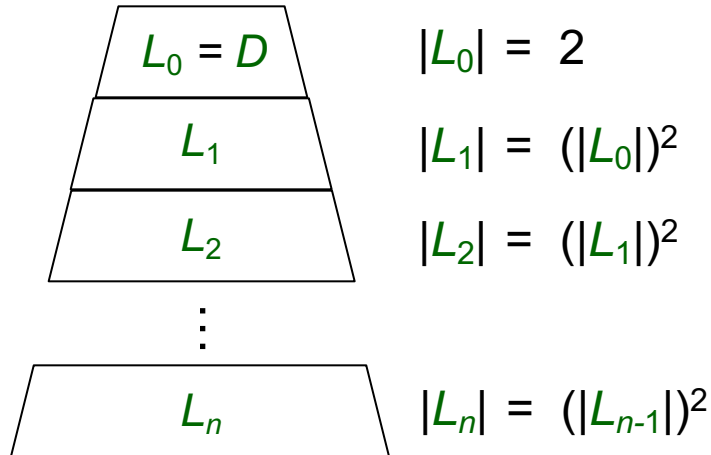
...

$$\forall X \forall Y (P_{n-1}(X) \wedge P_{n-1}(Y) \rightarrow \exists Z (S_n(X, Y, Z) \wedge P_n(Z)))\}$$

		$L_2$
$Z_{00}$	$Z_{00}$	<b><math>Z_{0000}</math></b>
$Z_{00}$	$Z_{01}$	<b><math>Z_{0001}</math></b>
$Z_{00}$	$Z_{10}$	<b><math>Z_{0010}</math></b>
$Z_{00}$	$Z_{11}$	<b><math>Z_{0011}</math></b>
$Z_{01}$	$Z_{00}$	<b><math>Z_{0100}</math></b>
$Z_{01}$	$Z_{01}$	<b><math>Z_{0101}</math></b>
$Z_{01}$	$Z_{10}$	<b><math>Z_{0110}</math></b>
$Z_{01}$	$Z_{11}$	<b><math>Z_{0111}</math></b>
$Z_{10}$	$Z_{00}$	<b><math>Z_{1000}</math></b>
$Z_{10}$	$Z_{01}$	<b><math>Z_{1001}</math></b>
$Z_{10}$	$Z_{10}$	<b><math>Z_{1010}</math></b>
$Z_{10}$	$Z_{11}$	<b><math>Z_{1011}</math></b>
$Z_{11}$	$Z_{00}$	<b><math>Z_{1100}</math></b>
$Z_{11}$	$Z_{01}$	<b><math>Z_{1101}</math></b>
$Z_{11}$	$Z_{10}$	<b><math>Z_{1110}</math></b>
$Z_{11}$	$Z_{11}$	<b><math>Z_{1111}</math></b>



# The Naïve Algorithm for **ACYCLIC**



		$L_n$
$Z_{0\dots 0}$	$Z_{0\dots 0}$	$\mathbf{z}_{0\dots 00\dots 0}$
...	...	...
$Z_{1\dots 1}$	$Z_{1\dots 1}$	$\mathbf{z}_{1\dots 11\dots 1}$

$$D = \{P_0(0), P_0(1)\}$$

$$\Sigma = \{\forall X \forall Y (P_0(X) \wedge P_0(Y) \rightarrow \exists Z (S_1(X, Y, Z) \wedge P_1(Z)))$$

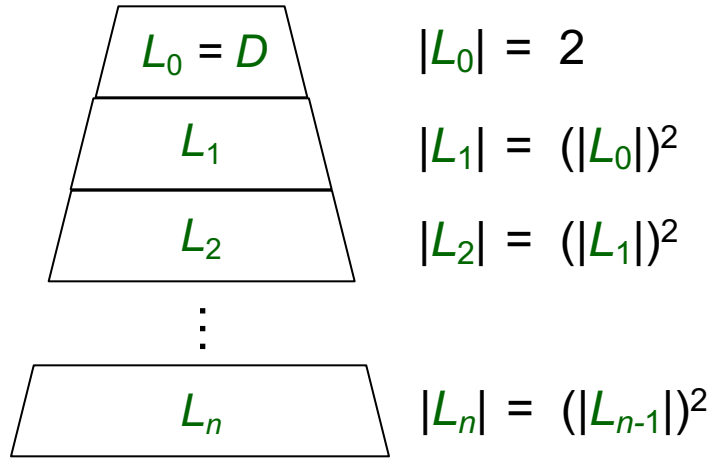
$$\forall X \forall Y (P_1(X) \wedge P_1(Y) \rightarrow \exists Z (S_2(X, Y, Z) \wedge P_2(Z)))$$

...

$$\forall X \forall Y (P_{n-1}(X) \wedge P_{n-1}(Y) \rightarrow \exists Z (S_n(X, Y, Z) \wedge P_n(Z)))\}$$



# The Naïve Algorithm for **ACYCLIC**



$$|L_n| = 2^{(2^n)}$$

$$D = \{P_0(0), P_0(1)\}$$

$$\Sigma = \{\forall X \forall Y (P_0(X) \wedge P_0(Y) \rightarrow \exists Z (S_1(X, Y, Z) \wedge P_1(Z)))\}$$

$$\forall X \forall Y (P_1(X) \wedge P_1(Y) \rightarrow \exists Z (S_2(X, Y, Z) \wedge P_2(Z)))$$

...

$$\forall X \forall Y (P_{n-1}(X) \wedge P_{n-1}(Y) \rightarrow \exists Z (S_n(X, Y, Z) \wedge P_n(Z)))\}$$





# The Naïve Algorithm for **ACYCLIC**

- The naïve algorithm shows that BCQ-Answering under **ACYCLIC** is
  - in **PTIME** w.r.t. the data complexity
  - in **2EXPTIME** w.r.t. the combined complexity

...can we do better than the naïve algorithm?

**YES!!!**



# Data Complexity of **ACYCLIC**

Theorem: BCQ-Answering under **ACYCLIC** is in **LOGSPACE** w.r.t. the data complexity

Proof: Not so easy! Different techniques must be applied. This will be the subject of the second part of our course.



# Combined Complexity of **ACYCLIC**

Theorem: BCQ-Answering under **ACYCLIC** is in **NEXPTIME** w.r.t. the combined complexity

Proof: We first need to establish the so-called **small witness property**



# Small Witness Property for **ACYCLIC**

Lemma:  $\text{chase}(D, \Sigma) \models Q \Rightarrow$  there exists a chase sequence

$$D \langle \sigma_1, h_1 \rangle J_1 \langle \sigma_2, h_2 \rangle J_2 \langle \sigma_3, h_3 \rangle J_3 \dots \langle \sigma_n, h_n \rangle J_n$$

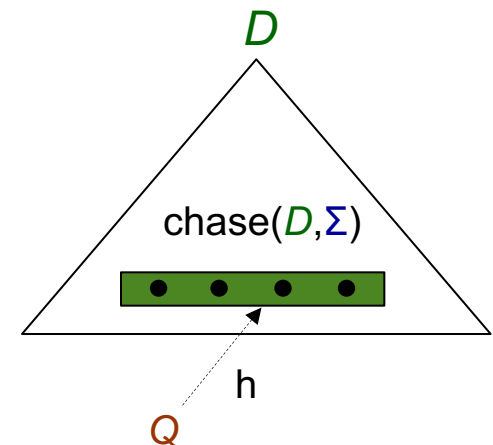
of  $D$  w.r.t.  $\Sigma$  with

$$n = \begin{cases} |Q| \cdot \lfloor (\text{maxbody}(\Sigma)^{|\text{sch}(\Sigma)|+1} - 1) / (\text{maxbody}(\Sigma) - 1) \rfloor, & \text{if } \text{maxbody}(\Sigma) > 1 \\ |Q| \cdot |\text{sch}(\Sigma)|, & \text{if } \text{maxbody}(\Sigma) = 1 \end{cases}$$

such that  $J_n \models Q$

Proof:

- By hypothesis, there exists a homomorphism  $h$  such that  $h(Q) \subseteq \text{chase}(D, \Sigma)$



# Small Witness Property for **ACYCLIC**

Proof (cont.):

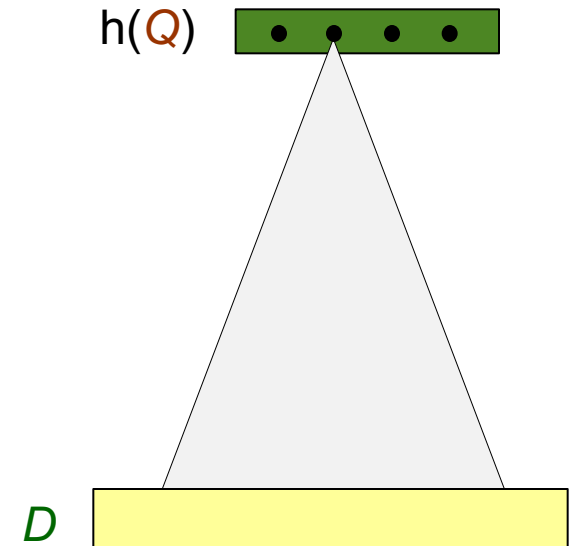
- Let us focus on the image of the query

In the worst case, the shaded part forms a rooted tree:

1. With depth at most  $|\text{sch}(\Sigma)|$
2. Each node has at most  $\text{maxbody}(\Sigma)$  children

$\Rightarrow$  its size is at most

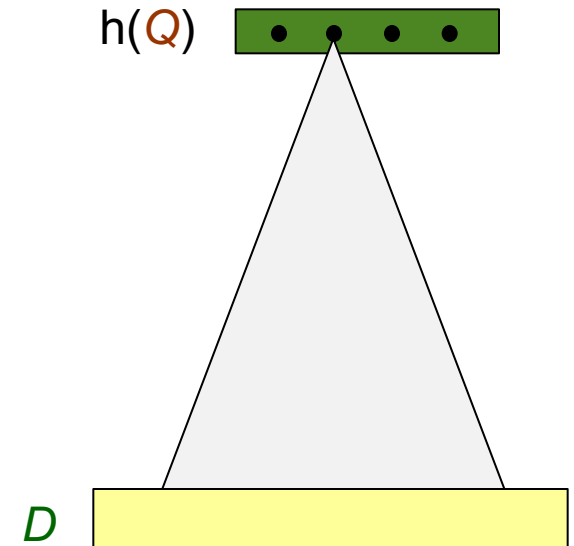
$$\left\{ \begin{array}{l} \lfloor (\text{maxbody}(\Sigma)^{|\text{sch}(\Sigma)|+1} - 1) / (\text{maxbody}(\Sigma) - 1) \rfloor, \text{ if } \text{maxbody}(\Sigma) > 1 \\ |\text{sch}(\Sigma)|, \text{ if } \text{maxbody}(\Sigma) = 1 \end{array} \right.$$



# Small Witness Property for **ACYCLIC**

Proof (cont.):

- Let us focus on the image of the query



Therefore, to entail the query we need at most

$$\left\{ \begin{array}{l} |Q| \cdot \lfloor (\text{maxbody}(\Sigma)^{|\text{sch}(\Sigma)|+1} - 1) / (\text{maxbody}(\Sigma) - 1) \rfloor, \quad \text{if } \text{maxbody}(\Sigma) > 1 \\ |Q| \cdot |\text{sch}(\Sigma)|, \quad \text{if } \text{maxbody}(\Sigma) = 1 \end{array} \right.$$

# Combined Complexity of ACYCLIC

Theorem: BCQ-Answering under ACYCLIC is in NEXPTIME w.r.t. the combined complexity

Proof: Consider a database  $D$ , a set  $\Sigma \in \text{ACYCLIC}$ , and a BCQ  $Q$

Having the small witness property in place, we can exploit the following algorithm:

1. Non-deterministically construct a chase sequence

$$D \langle \sigma_1, h_1 \rangle J_1 \langle \sigma_2, h_2 \rangle J_2 \langle \sigma_3, h_3 \rangle J_3 \dots \langle \sigma_n, h_n \rangle J_n$$

of  $D$  w.r.t.  $\Sigma$  with

$$n = \begin{cases} |Q| \cdot \lfloor (\text{maxbody}(\Sigma)^{|\text{sch}(\Sigma)|+1} - 1) / (\text{maxbody}(\Sigma) - 1) \rfloor, & \text{if } \text{maxbody}(\Sigma) > 1 \\ |Q| \cdot |\text{sch}(\Sigma)|, & \text{if } \text{maxbody}(\Sigma) = 1 \end{cases}$$

2. Check for the existence of a homomorphism  $h$  such that  $h(Q) \subseteq J_n$



# Combined Complexity of **ACYCLIC**

We cannot do better than the previous algorithm

Theorem: BCQ-Answering under **ACYCLIC** is **NEXPTIME-hard w.r.t. the combined complexity**

Proof : By reduction from a tiling problem, a classical NEXPTIME-hard problem





# Tiling Problem

Tiling:

Input:  $T = \{t_0, \dots, t_k\}$ , a set of square tile types,

$H, V \subseteq T \times T$ , the horizontal and vertical compatibility relations

$n$ , an integer in unary

Question: decide whether a  $2^n \times 2^n$  tiling exists, that is,

	1	2	3	...	$2^n$
1					
2					
3					
⋮					
$2^n$					



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$(1, 1) = t_0$

	1	2	3	...	$2^n$
1	$t_0$				
2					
3					
⋮					
$2^n$					



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	1	2	3	...	$2^n$
1	$t_0$				
2		$t$	$t'$		
3					
⋮					
$2^n$					

$(t, t') \in H$



# Tiling Problem

Tiling:

Input:  $T = \{t_0, \dots, t_k\}$ , a set of square tile types,

$H, V \subseteq T \times T$ , the horizontal and vertical compatibility relations

$n$ , an integer in unary

Question: decide whether a  $2^n \times 2^n$  tiling exists, that is,

$(1, 1) = t_0$

	1	2	3	...	$2^n$
1	$t_0$				
2		$t$	$t'$		
3		$t''$			
⋮					
$2^n$					

$(t, t') \in H$

$(t, t'') \in V$



# Combined Complexity of **ACYCLIC**

We cannot do better than the previous algorithm

Theorem: BCQ-Answering under **ACYCLIC** is **NEXPTIME-hard w.r.t. the combined complexity**

Proof : By reduction from a tiling problem, a classical NEXPTIME-hard problem



# NEXPTIME-hardness of **ACYCLIC**

- The database stores the horizontal and the vertical relations

$$D = \{H(t,t') \mid (t,t') \in H\} \cup \{V(t,t') \mid (t,t') \in V\}$$

- We use  $\Sigma \in \text{ACYCLIC}$  to inductively construct  $2^k \times 2^k$  tilings from  $2^{k-1} \times 2^{k-1}$  tilings
- The key observation is that

$X_1$	$X_2$	$Y_1$	$Y_2$
$X_3$	$X_4$	$Y_3$	$Y_4$
$Z_1$	$Z_2$	$W_1$	$W_2$
$Z_3$	$Z_4$	$W_3$	$W_4$

is a  $2^k \times 2^k$  tiling

iff

$X_1$	$X_2$	$X_2$	$Y_1$	$Y_1$	$Y_2$
$X_3$	$X_4$	$X_4$	$Y_3$	$Y_3$	$Y_4$
$X_3$	$X_4$	$X_4$	$Y_3$	$Y_3$	$Y_4$
$Z_1$	$Z_2$	$Z_2$	$W_1$	$W_1$	$W_2$
$Z_1$	$Z_2$	$Z_2$	$W_1$	$W_1$	$W_2$
$Z_3$	$Z_4$	$Z_4$	$W_3$	$W_3$	$W_4$

are  $2^{k-1} \times 2^{k-1}$  tilings



# NEXPTIME-hardness of **ACYCLIC**

The  $2^k \times 2^k$  tiling 

$X_1$	$X_2$
$X_3$	$X_4$

 is represented by an atom of the form

ID of the tiling

$T_k(S, O, X_1, X_2, X_3, X_4)$

origin of the tiling, i.e., the upper-left tile



# NEXPTIME-hardness of ACYCLIC

Base step - construct  $2 \times 2$  tilings of the form

$X_1$	$X_2$
$X_3$	$X_4$

$$\forall X_1 \forall X_2 \forall X_3 \forall X_4 (H(X_1, X_2) \wedge H(X_3, X_4) \wedge V(X_1, X_3) \wedge V(X_2, X_4) \rightarrow$$

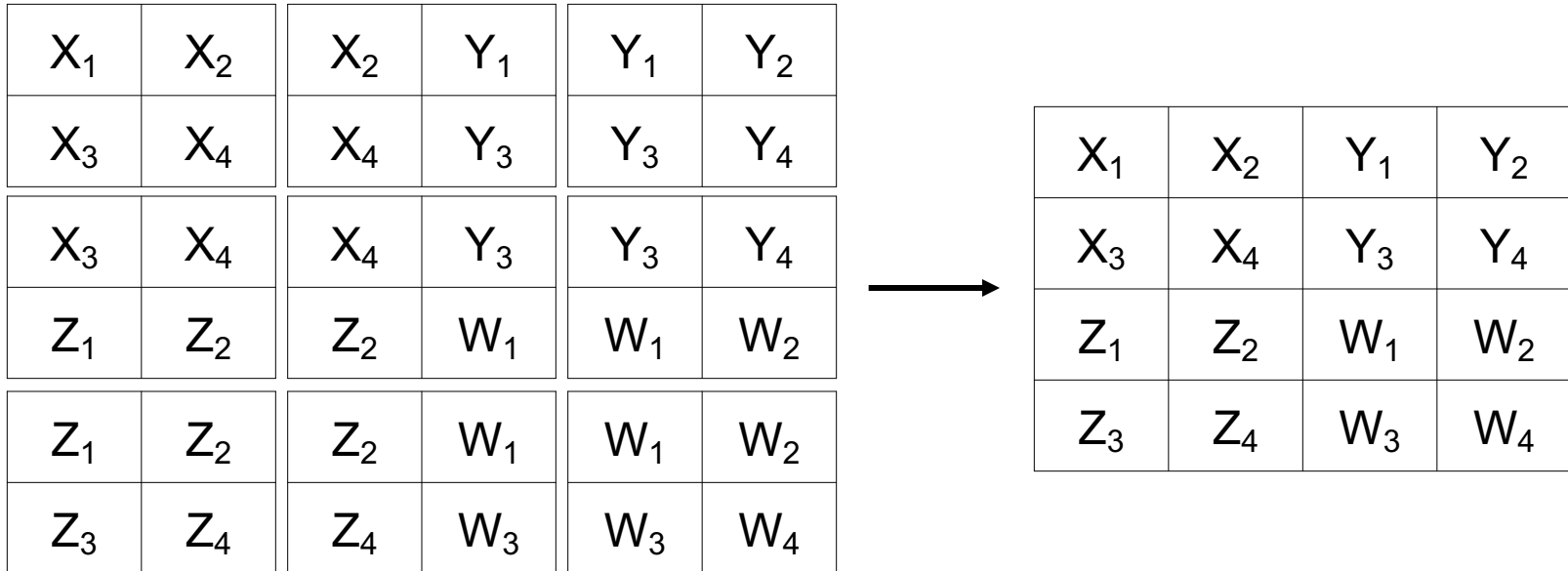
$$\exists Y T_1(Y, X_1, X_1, X_2, X_3, X_4))$$





# NEXPTIME-hardness of ACYCLIC

**Inductive step** - construct  $2^k \times 2^k$  tilings from  $2^{k-1} \times 2^{k-1}$  tilings



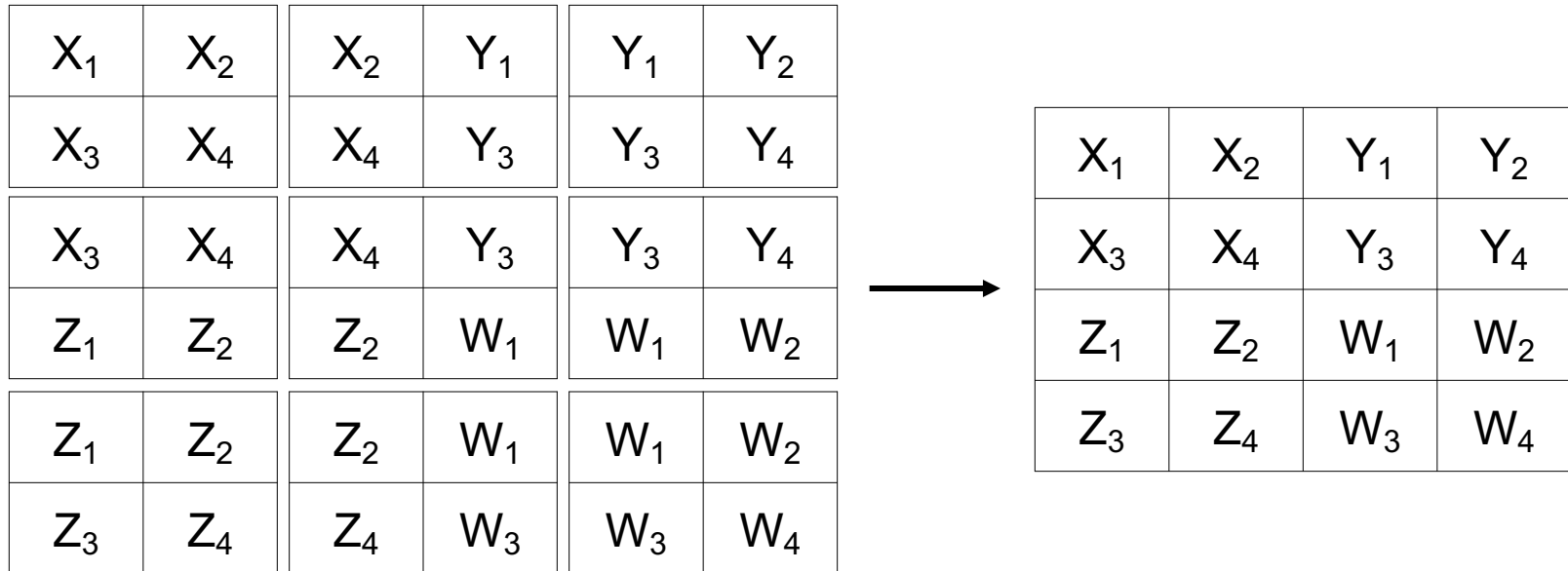
$$\begin{aligned}
 & T_{k-1}(S_1, O_1, X_1, X_2, X_3, X_4) \wedge T_{k-1}(S_2, O_2, X_2, Y_1, X_4, Y_3) \wedge T_{k-1}(S_3, O_3, Y_1, Y_2, Y_3, Y_4) \wedge \\
 & T_{k-1}(S_4, O_4, X_3, X_4, Z_1, Z_2) \wedge T_{k-1}(S_5, O_5, X_4, Y_3, Z_2, W_1) \wedge T_{k-1}(S_6, O_6, Y_3, Y_4, W_1, W_2) \wedge \\
 & T_{k-1}(S_7, O_7, Z_1, Z_2, Z_3, Z_4) \wedge T_{k-1}(S_8, O_8, Z_2, W_1, Z_4, W_3) \wedge T_{k-1}(S_9, O_9, W_1, W_2, W_3, W_4) \rightarrow \\
 & \exists U \exists T_k(U, O_1, S_1, S_3, S_7, S_9)
 \end{aligned}$$

( $\forall$ -quantifiers are omitted)



# NEXPTIME-hardness of ACYCLIC

**Inductive step** - construct  $2^k \times 2^k$  tilings from  $2^{k-1} \times 2^{k-1}$  tilings



$$\forall S \forall O \forall X_1 \forall X_2 \forall X_3 \forall X_4 (T_n(S, O, X_1, X_2, X_3, X_4) \rightarrow T(S, O))$$

# Concluding NEXPTIME-hardness of ACYCLIC

- Several rules but polynomially many  $\Rightarrow$  feasible in **polynomial time**
- $D \wedge \Sigma \models \exists X T(X, t_0)$  iff a  $2^n \times 2^n$  tiling exists
- Can be formally shown **by induction** on  $n$

Corollary: BCQ-Answering under **ACYCLIC** is **NEXPTIME-complete w.r.t. the combined complexity**



# Termination of the Chase

- Drop the existential quantification
  - We obtain the class of **full** existential rules
  - Very close to Datalog ✓
  
- Drop the recursive definitions
  - We obtain the class of **acyclic** existential rules
  - A.k.a. non-recursive existential rules ✓



# Sum Up

Data Complexity		
<b>FULL</b>	<b>PTIME-c</b>	Naïve algorithm
		Reduction from Monotone Circuit Value problem
<b>ACYCLIC</b>	<b>in LOGSPACE</b>	Second part of our course

Combined Complexity		
<b>FULL</b>	<b>EXPTIME-c</b>	Naïve algorithm
		Simulation of a deterministic exponential time TM
<b>ACYCLIC</b>	<b>NEXPTIME-c</b>	Small witness property
		Reduction from Tiling problem

