

# Concurrency Theory

## Lecture 9: Petri Nets

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Knowledge-Based Systems Group

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## Warm-up: Something Useful

For  $k \in \mathbb{N}$ ,  $(\mathbb{N}^k, \leq)$  is a **well partial order** (antisymmetric wqo).

### Dickson's Lemma

For every infinite sequence  $(a_i)_{i \in \mathbb{N}}$  ( $a_j \in \mathbb{N}^k$  for each  $j \in \mathbb{N}$ ), there is an infinite **increasing subsequence**, that is  $a_{n_0} \leq a_{n_1} \leq a_{n_2} \leq \dots$  with  $n_0 < n_1 < n_2 < \dots$ .

### Proof.

$a_0$	$a_1$	$a_2$	$a_3$	$a_4$	$a_5$	$a_6$	$a_7$	$a_8$	$a_9$	$\dots$
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$$A = \{a_0, a_1, a_2, a_3, a_4, a_5, a_6, a_7, a_8, a_9, \dots\}$$
$$\min A = a \text{ and there is an } n_0 \geq 0, \text{ such that } a_{n_0} = a$$



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### Proof.



...

$a_{n_0-2}$	$a_{n_0-1}$	$a_{n_0}$	$a_{n_0+1}$	$a_{n_0+2}$	$a_{n_0+3}$	$a_{n_0+4}$	$a_{n_0+5}$	$a_{n_0+6}$	$a_{n_0+7}$	...
-------------	-------------	-----------	-------------	-------------	-------------	-------------	-------------	-------------	-------------	-----

$$B = \{a_{n_0+1}, a_{n_0+2}, a_{n_0+3}, a_{n_0+4}, a_{n_0+5}, a_{n_0+6}, \dots\}$$

$\min B = b$  and there is an  $n_1 > n_0$ , such that  $a_{n_1} = b$

$a_{n_0}$  and  $n_0$



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-------------	-------------	-----------	-------------	-------------	-------------	-------------	-------------	-------------	-------------	-----

$$C = \{a_{n_1+1}, a_{n_1+2}, a_{n_1+3}, a_{n_1+4}, a_{n_1+5}, a_{n_1+6}, \dots\}$$

$\min C = c$  and there is an  $n_2 \geq n_1$ , such that  $a_{n_2} = c$

$$a_{n_0} \leq a_{n_1} \leq a_{n_2} \leq \dots \text{ and } n_0 < n_1 < n_2 < \dots$$



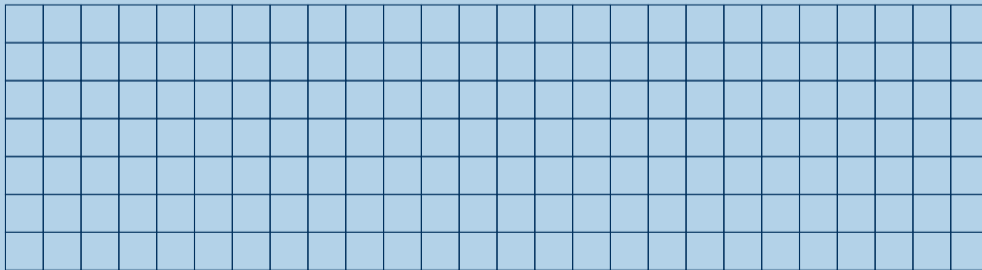
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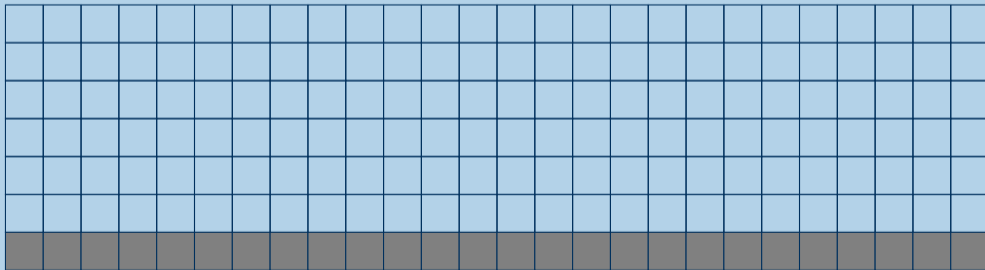
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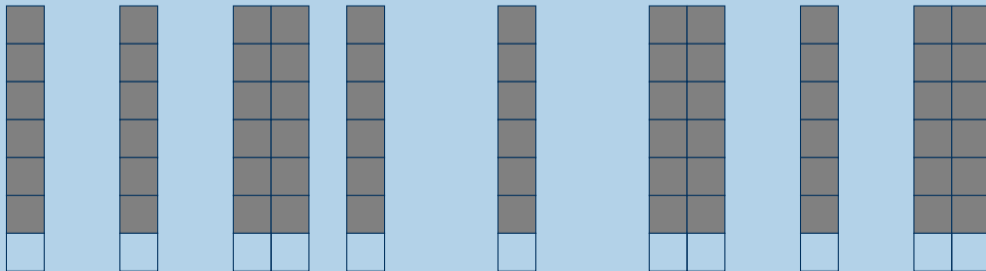
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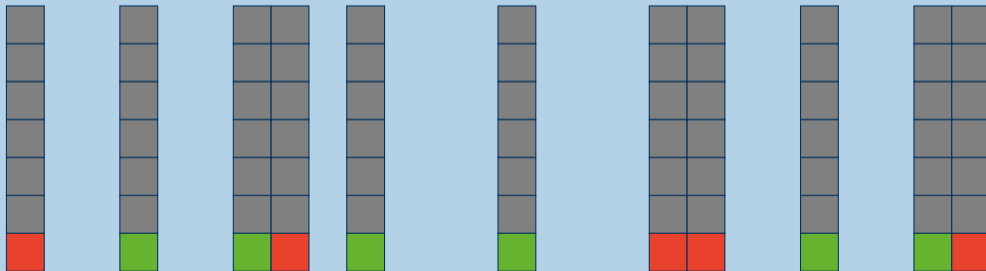
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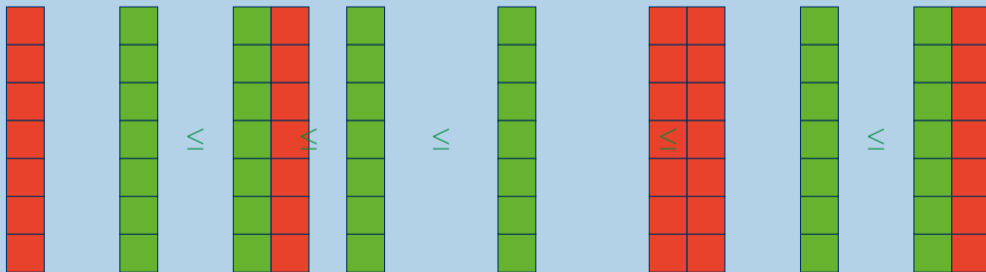
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### Proof.



## Disclaimer

I will break with any conventions you may have heard of . . .

(e. g., P/T nets or S/T nets, elementary net systems, net systems, Petri nets, . . . will all be called **Petri nets**)

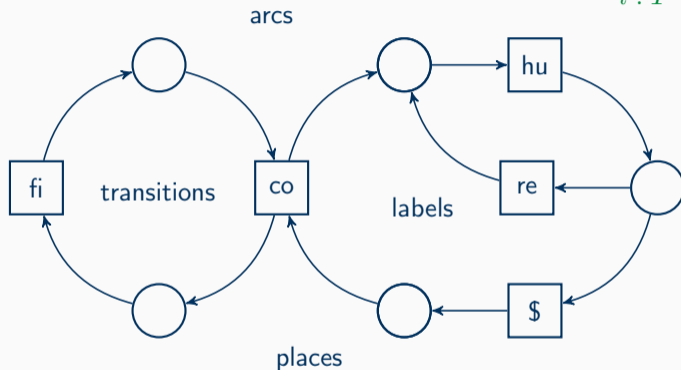
# Net Structure

$(P, T, F, l)$

$P, T$  disjoint and finite sets

$F \subseteq (P \times T) \cup (T \times P)$

$l : T \rightarrow \Sigma$  ( $\Sigma$  is an alphabet)



## Markings and the Token Game

$$N = (P, T, F, l, m_0)$$

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$m :$

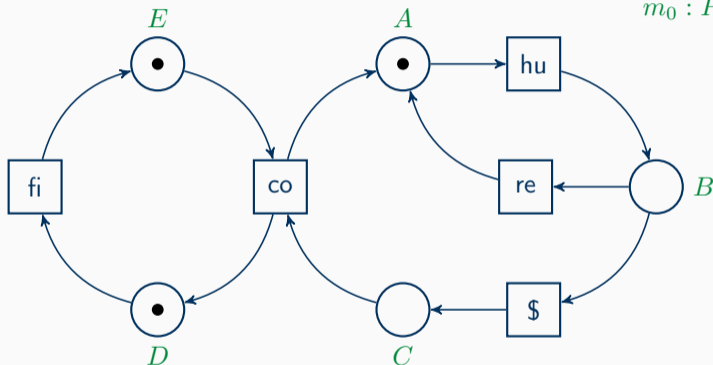
$A \mapsto 1$

$B \mapsto 0$

$C \mapsto 0$

$D \mapsto 1$

$E \mapsto 1$



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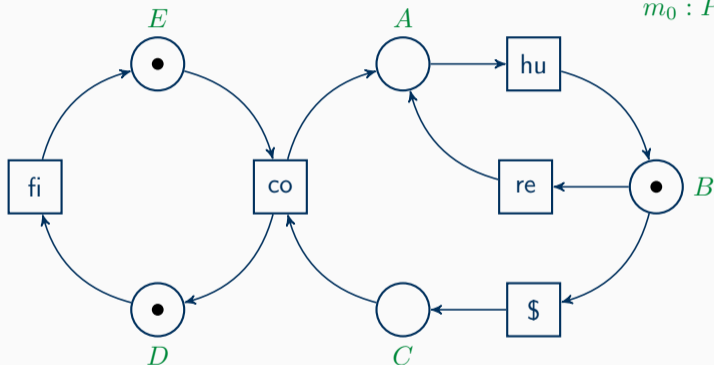
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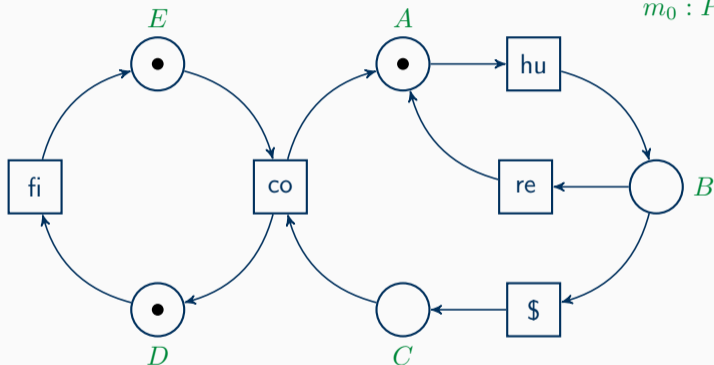
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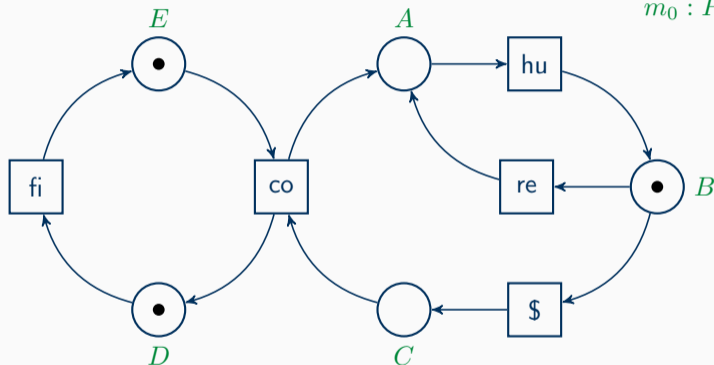
$A \mapsto 0$

$B \mapsto 1$

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$D \mapsto 1$

$E \mapsto 1$





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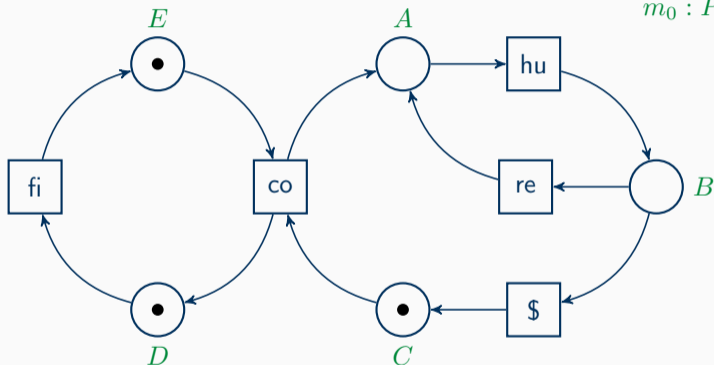
$A \mapsto 0$

$B \mapsto 0$

$C \mapsto 1$

$D \mapsto 1$

$E \mapsto 1$



## Markings and the Token Game

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$m :$

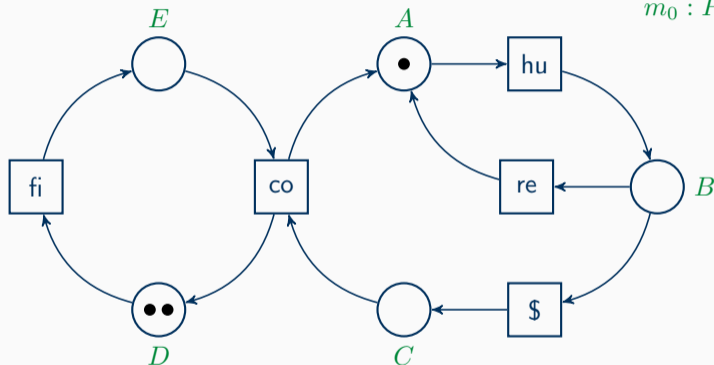
$A \mapsto 1$

$B \mapsto 0$

$C \mapsto 0$

$D \mapsto 2$

$E \mapsto 0$



## Definitions and Observations

### Definition 4.1 (Net Structure)

Let  $\Sigma$  be an alphabet. A ( $\Sigma$ -labeled) **net structure** is a quadruple  $(P, T, F, l)$  with disjoint finite sets  $P$  of places and  $T$  of transitions,  $F \subseteq (P \times T) \cup (T \times P)$ , and  $l : T \rightarrow \Sigma$ .

For nodes  $v \in P \cup T$ ,  $\bullet v := \{u \mid (u, v) \in F\}$  and  $v^\bullet := \{w \mid (v, w) \in F\}$ .

### Definition 4.2 (Marking, Firing Rule)

For (labeled) net structure  $N = (P, T, F, l)$ , we call a multiset  $m$  over  $P$  a **marking** of  $N$ . A transition  $t \in T$  is **enabled under marking**  $m$  if  $\bullet t \leq m$ . An enabled transition  $t$  under marking  $m$  may fire, producing the successor marking  $m'$  such that for all  $p \in P$ ,

$$m(p) := \begin{cases} m(p) - 1 & \text{if } p \in \bullet t \setminus t^\bullet \\ m(p) + 1 & \text{if } p \in t^\bullet \setminus \bullet t \\ m(p) & \text{otherwise.} \end{cases}$$

We also write  $m \xrightarrow{t} m'$  or even  $m \xrightarrow{l(t)} m'$ .

## Definitions and Observations

### Definition 4.3 (Petri net, reachability graph)

A ( $\Sigma$ -labeled) Petri net is a quintuple  $N = (P, T, F, l, m_0)$  where  $(P, T, F, l)$  is a labeled net structure and  $m_0$  is a marking for it (**initial marking**).

The set of **reachable markings of  $N$**   $[N]$  is defined inductively by (1)  $m_0 \in [N]$  and (2)  $m \in [N]$  and  $m \xrightarrow{t} m'$  implies  $m' \in [N]$ .

The **reachability graph of  $N$**   $\mathcal{R}(N)$  is induced by the set of reachable markings  $[N]$  as the set of nodes and  $(\xrightarrow{t})_{t \in T}$  forming the edge relation.

We sometimes needs  $[N, m]$  for arbitrary markings  $m$  of  $N$  to be the set of reachable markings of  $N$  where  $m_0$  is replaced by  $m$ . **Special case:**  $[N, m_0] = [N]$ .

# The Boundedness Problem

Given a Petri net  $N = (P, T, F, l, m_0)$ , is  $[N]$  finite?

## Definition 4.4 (Bounded Petri net)

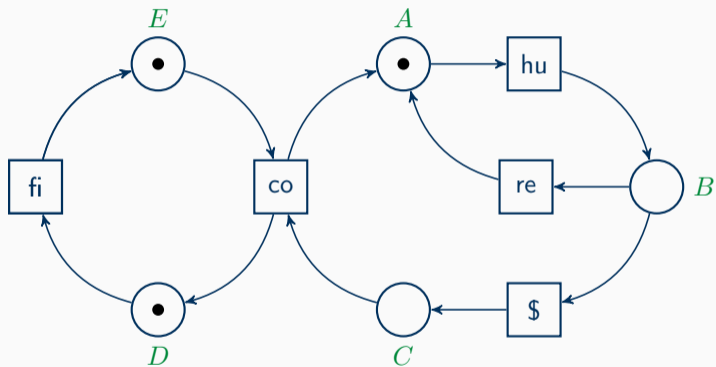
Let  $k \in \mathbb{N}$ . A Petri net  $N = (P, T, F, l, m_0)$  is  **$k$ -bounded** if for all  $m \in [N]$  and all places  $p \in P$ ,  $m(p) \leq k$ .  $N$  is **bounded** if there is a  $k$ , such that  $N$  is  $k$ -bounded. If no such  $k$  exists,  $N$  is **unbounded**.

## Lemma 4.5

The following statements are equivalent for Petri nets  $N = (P, T, F, l, m_0)$ :

1.  $[N]$  is infinite.
2.  $N$  is unbounded.
3. There are markings  $m_1, m_2$  of  $N$ , such that  
(a)  $m_1 \in [N]$ , (b)  $m_2 \in [N, m_1]$ , (c)  $m_1 \leq m_2$ , and (d)  $m_1(p) < m_2(p)$  for some  $p \in P$ .

## Bounded and Unbounded Nets



# The Boundedness Problem

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## From 3 to 2

For Petri net  $N = (P, T, F, l, m_0)$ , let  $m_1, m_2$  be markings, such that

(a)  $m_1 \in [N]$ , (b)  $m_2 \in [N, m_1]$ , (c)  $m_1 \leq m_2$ , and (d)  $m_1(p) < m_2(p)$  for some  $p \in P$ .

$m_2 = m_1 + s$  for some non-empty marking  $s$ ! In particular,  $s(p) > 0$

$$m_0 \longrightarrow \cdots \longrightarrow m_1 \underbrace{\longrightarrow \cdots \longrightarrow}_{\sigma} m_2$$

### Lemma 4.6 (Monotonicity)

For Petri net  $N = (P, T, F, l, m_0)$ ,  $t \in T$ , and markings  $m, m', s$  of  $N$ ,  $m \xrightarrow{t} m'$  implies  $m + s \xrightarrow{t} m' + s$ .

For every  $k \in \mathbb{N}$ , repeat transition sequence  $\sigma$   $k + 1$  times, reaching a marking  $m^k$  with  $m^k(p) > k$ .

Thus,  $N$  is unbounded.



## From 1 to 3

Let  $N = (P, T, F, l, m_0)$  be a Petri net, such that  $[N]$  is infinite.

1. As  $[N]$  is infinite,  $\mathcal{R}(G)$  is infinite.
2. For every  $m \in [N]$ , the number of successors of  $m$  in  $\mathcal{R}(G)$  is bounded by  $|T|$ .
3. Hence, there is an infinite simple path  $m_0 \rightarrow m_1 \rightarrow m_2 \rightarrow \dots$  (by König's Lemma)
4.  $m_0 m_1 m_2 \dots$  is an infinite sequence of markings or, equivalently vectors from  $\mathbb{N}^{|P|}$ .
5. Due to Dickson's Lemma, there is an infinite chain  $n_0 < n_1 < n_2 < \dots$  of indices, such that  $m_{n_0} \leq m_{n_1} \leq m_{n_2} \leq \dots$
6. Set  $m_1 = m_{n_0}$  and  $m_2 = m_{n_1}$ .
7. By construction (a)  $m_1 \in [N]$ , (b)  $m_2 \in [N, m_1]$ , and (c)  $m_1 \leq m_2$ .
8. As  $m_1$  and  $m_2$  stem from a simple path, there is at least one place  $p \in P$  with  $m_2(p) > m_1(p)$ .

## Theorem: Boundedness is Decidable

Start constructing  $\mathcal{R}(N)$  by BFS:

- either the construction terminates (**bounded**), or
- a marking  $m_2$  is constructed with a respective marking  $m_1 \leq m_2$  earlier on a path from  $m_0$ , such that  $m_1(p) < m_2(p)$  for some  $p \in P$  (**unbounded**).

Many more decidable problems:

- Reachability
- Coverability
- Deadlock-freedom
- Liveness
- Language inclusion/equivalence (?)
- Bisimilarity (?)

Yes to both (?), but not for **labeled** Petri nets!

## The Equivalence Problem(s)

The **(prefix) language**  $\mathcal{L}(N)$  of a labeled Petri net  $N = (P, T, F, l, m_0)$  is the set of all words  $w \in \Sigma^*$ , such that  $w = \varepsilon$  or  $m_0 \xrightarrow{t_1} \xrightarrow{t_2} \dots \xrightarrow{t_{|w|}}$  such that  $l^*(t_1 t_2 \dots t_{|w|}) = w$ .

Two Petri nets  $N_1, N_2$  are **language equivalent** if  $\mathcal{L}(N_1) = \mathcal{L}(N_2)$ .

**Theorem 4.1:** Language equivalence is undecidable for labeled Petri nets.

We reduce from the halting problem of Minsky machines with two counters.

Petri nets are not Turing-complete!

↪ weak simulation of Turing machines/Minsky machines

# Minsky Machines

A **Minsky machine** is a pair  $\langle P, \{c_1, c_2, \dots, c_k\} \rangle$ , where  $c_1, \dots, c_k$  are counters and  $P$  is a finite sequence of commands  $l_1 l_2 \dots l_n$ , such that  $l_n = \mathbf{HALT}$  and  $l_i$  ( $i = 1, \dots, n - 1$ ) is

1.  $i$ :  $c_j := c_j + 1$ ; goto  $k$ , or
2.  $i$ : if  $c_j = 0$  then goto  $k_1$  else  $c_j := c_j - 1$ ; goto  $k_2$

## Example 4.7

We consider two counter  $c_1$  and  $c_2$ .

- 1: if  $c_2 = 0$  then goto 3 else  $c_2 := c_2 - 1$ ; goto 2
- 2:  $c_1 := c_1 + 1$ ; goto 1
- 3: HALT

If  $c_1$  and  $c_2$  are initialized with  $m$  and  $n$ , then the program halts with value  $m + n$  in  $c_1$ .

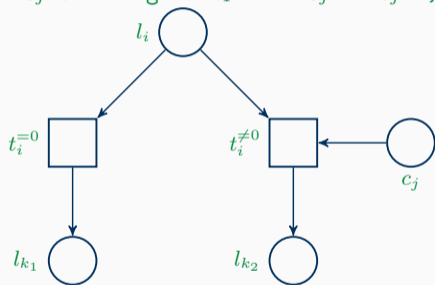
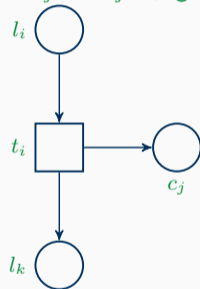
## Constructing a Petri Net

For Minsky machine  $\mathcal{M} = \langle l_1 l_2 \dots l_m, \{c_1, \dots, c_n\} \rangle$ ,

$N(\mathcal{M}) = (\{l_1, \dots, l_m, c_1, \dots, c_n\}, T, F, l, m_0)$  where for each  $i \in \{1, \dots, m-1\}$ :

$l_i = i$ :  $c_j := c_j + 1$ ; goto  $l_k$ :

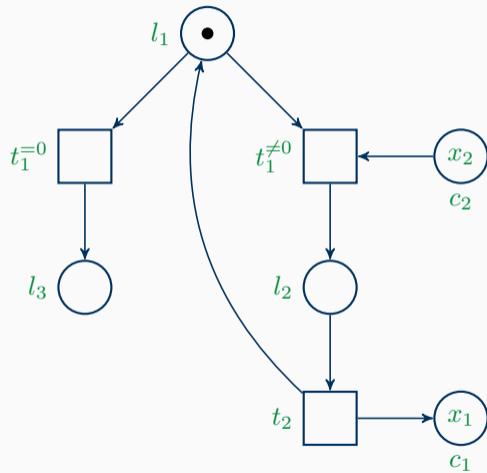
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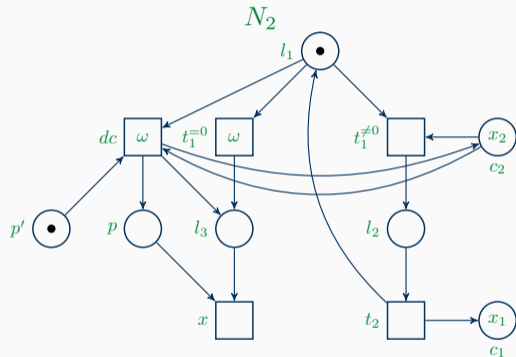
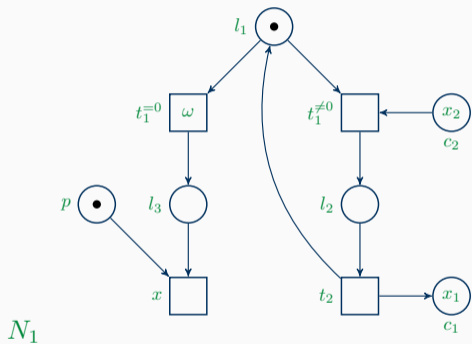
The labeling can be arbitrary but injective.

For input  $x_1, \dots, x_n \in \mathbb{N}$ , define  $m_0 = \{c_1 \mapsto x_1, \dots, c_n \mapsto x_n, l_1 \mapsto 1\}$ .

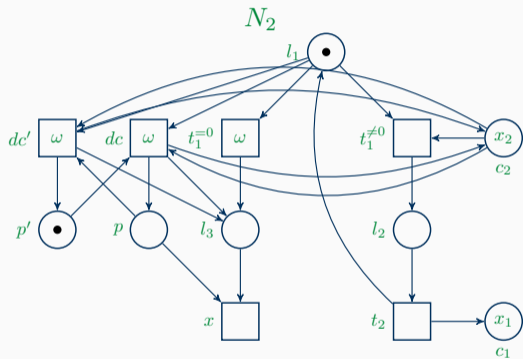
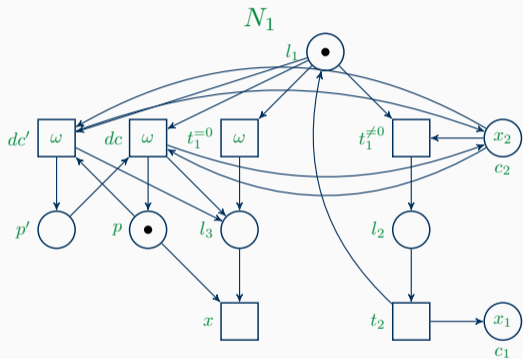
# Petri Net Construction by Example



# Undecidability of Language Equivalence: The Reduction



# Undecidability of Bisimilarity: The Reduction





# The Coverability Graph

## Definition 4.8 ( $\omega$ -marking)

For a net  $(P, T, F)$ ,  $m : P \rightarrow \mathbb{N} \cup \{\omega\}$  is called an  $\omega$ -marking.

Note,  $\omega > n$  and  $\omega + / - n = \omega$  for all  $n \in \mathbb{N}$ .

For directed graph  $G = (V, E)$  and  $v \in V$ , defined  $v \Downarrow$  to be the smallest set, such that (1)  $v \in v \Downarrow$  and (2) if  $w \in v \Downarrow$  and  $u \rightarrow w$ , then  $u \in v \Downarrow$ .

## Definition 4.9

Let  $N = (P, T, F, m_0, l)$  be a (labeled) Petri net. The **coverability graph (of  $N$ )** is the graph  $\mathcal{C}(N) = (V, E)$ , such that

1.  $m_0 \in V$ ;
2. if  $m \in V$  and  $m \xrightarrow{t} m'$ , then  $\omega(m') \in V$  and  $(m, \omega(m')) \in E$  such that for all  $p \in P$ ,

$$\omega(m')(p) = \begin{cases} \omega & \text{if } m'' \in m \Downarrow \text{ with } m''(p) < m'(p) \\ m'(p) & \text{otherwise.} \end{cases}$$

## Properties of the Coverability Graph

**Theorem 4.2:** The coverability graph  $\mathcal{C}(N)$  of a Petri net  $N$  is finite.

↪ follows the same argument as for the decidability proof of the boundedness problem.

## Properties of the Coverability Graph

**Theorem 4.3:** The coverability problem — given a Petri net  $N$  and a marking  $m$ , is there a reachable marking  $m'$ , such that  $m \leq m'$ ? — is decidable.

1. Construct  $C(N)$
2. Check if there is an  $\omega$ -marking  $m^\omega$  with  $m \leq m^\omega$
3. Consider the path  $m_0 \xrightarrow{t_1} \dots \xrightarrow{t_n} m^\omega$  and the marking  $m'$  reached after firing the sequence  $t_1 \dots t_n$
4. If  $m \leq m'$ , witness found.
5. If  $m \not\leq m'$ , then there is at least one  $\omega$  in  $m^\omega$  and there are markings on the path from  $m_0$  to  $m^\omega$  that led to the addition of  $\omega$
6. Repeat the respective firing sequences until a covering marking is reached.
7. Hence, it is sufficient to check only  $m^\omega$ .
8. If  $m$  is not coverable, then there is no marking  $m'$  in the coverability graph with  $m \leq m'$ .

## Equivalence of Unlabeled Nets

**Theorem 4.4:** Bisimilarity and language equivalence of Petri nets is decidable for unlabeled Petri nets.

- Given are  $N_1 = (P_1, T_1, F_1, m_0^1, l_1)$  and  $N_2 = (P_2, T_2, F_2, m_0^2, l_2)$  ( $P_1 \cap P_2 = \emptyset = T_1 \cap T_2$ ).
- Construct  $N_1 + N_2 = (P_1 \cup P_2, T_1 \cup T_2, F_1 \cup F_2, m_0^1 + m_0^2)$ .
- Each transition  $t \in T_1 \cup T_2$  is duplicated to  $t'$  with the same in-/outputs and label as  $t$ .
- Add a fresh place  $p$  and add  $\{p\} \times (T_1 \cup T_2)$  and  $\{t' \mid t' \text{ is a duplicate}\} \times \{p\}$  to the arc relation.
- For each label  $a \in \Sigma$ , add places  $p_1^a, p_2^a$  and for  $t \in T_i$  with  $l_i(t) = a$ , add arcs  $(t, p_j^a), (p_j^a, u')$  for transition duplicate  $u'$  with  $l_j(u) = a$ .
- If the nets are language equivalent, then every transition firing of  $t \in T_i$  can be reproduced in  $N_j$  by  $u'$ , such that  $l_i(t) = l_j(u)$ .
- If the nets are not language equivalent, then there is a shortest word  $w$  of  $L(N_i) \setminus L(N_j)$ . After firing the last transition of  $w$  in  $N_i$ , no duplicate can be fired in  $N_j$ .
- Unlabeledness is important to not leave  $N_j$  the chance to use more clever  $a$ -labeled transitions.