

# DEDUCTION SYSTEMS

## Answer Set Programming: Solving

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Chair for Knowledge-Based Systems

Slides by Sebastian Rudolph, and based on a lecture by Martin Gebser and Torsten Schaub (CC-BY 3.0)

TU Dresden, 2 July 2018

# ASP Solving: Overview

- 1 Motivation
- 2 Boolean constraints
- 3 Nogoods from logic programs
  - Nogoods from program completion
  - Nogoods from loop formulas
- 4 Conflict-driven nogood learning
  - CDNL-ASP Algorithm
  - Nogood Propagation
  - Conflict Analysis

# Outline

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# Motivation

- **Goal:** Approach to computing stable models of logic programs, based on concepts from
  - Constraint Processing (CP) and
  - Satisfiability Testing (SAT)
- **Idea:** View inferences in ASP as unit propagation on nogoods
- **Benefits:**
  - A uniform constraint-based framework for different kinds of inferences in ASP
  - Advanced techniques from the areas of CP and SAT
  - Highly competitive implementation

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# Assignments

- An assignment  $A$  over  $dom(A) = atom(P) \cup body(P)$  is a sequence

$$(\sigma_1, \dots, \sigma_n)$$

of signed literals  $\sigma_i$  of form  $Tv$  or  $Fv$  for  $v \in dom(A)$  and  $1 \leq i \leq n$

- $Tv$  expresses that  $v$  is true and  $Fv$  that it is false

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# Nogoods, solutions, and unit propagation

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- For a nogood  $\delta$ , a literal  $\sigma \in \delta$ , and an assignment  $A$ , we say that  $\bar{\sigma}$  is **unit-resulting** for  $\delta$  wrt  $A$ , if
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  - (1)  $\delta \setminus A = \{\sigma\}$  and
  - (2)  $\bar{\sigma} \notin A$
- For a set  $\Delta$  of nogoods and an assignment  $A$ , **unit propagation** is the iterated process of extending  $A$  with unit-resulting literals until no further literal is unit-resulting for any nogood in  $\Delta$

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# Nogoods from logic programs via program completion

When introducing auxiliary atoms  $v_B$  for rule bodies  $B$ , the completion of a logic program  $P$  can be defined as follows:

$$\{v_B \leftrightarrow a_1 \wedge \dots \wedge a_m \wedge \neg a_{m+1} \wedge \dots \wedge \neg a_n \mid \\ B \in \text{body}(P) \text{ and } B = \{a_1, \dots, a_m, \sim a_{m+1}, \dots, \sim a_n\}\}$$

$$\cup \{a \leftrightarrow v_{B_1} \vee \dots \vee v_{B_k} \mid \\ a \in \text{atom}(P) \text{ and } \text{body}_P(a) = \{B_1, \dots, B_k\}\},$$

where  $\text{body}_P(a) = \{\text{body}(r) \mid r \in P \text{ and } \text{head}(r) = a\}$

# Nogoods from logic programs via program completion

- The (body-oriented) equivalence

$$v_B \leftrightarrow a_1 \wedge \cdots \wedge a_m \wedge \neg a_{m+1} \wedge \cdots \wedge \neg a_n$$

can be decomposed into two implications:

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can be decomposed into two implications:

(1)  $v_B \rightarrow a_1 \wedge \dots \wedge a_m \wedge \neg a_{m+1} \wedge \dots \wedge \neg a_n$

is equivalent to the conjunction of

$$\neg v_B \vee a_1, \dots, \neg v_B \vee a_m, \neg v_B \vee \neg a_{m+1}, \dots, \neg v_B \vee \neg a_n$$

and induces the set of nogoods

$$\Delta(B) = \{ \{TB, Fa_1\}, \dots, \{TB, Fa_m\}, \{TB, Ta_{m+1}\}, \dots, \{TB, Ta_n\} \}$$

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- The (body-oriented) equivalence

$$v_B \leftrightarrow a_1 \wedge \cdots \wedge a_m \wedge \neg a_{m+1} \wedge \cdots \wedge \neg a_n$$

can be decomposed into two implications:

(2)  $a_1 \wedge \cdots \wedge a_m \wedge \neg a_{m+1} \wedge \cdots \wedge \neg a_n \rightarrow v_B$

gives rise to the nogood

$$\delta(B) = \{FB, Ta_1, \dots, Ta_m, Fa_{m+1}, \dots, Fa_n\}$$

# Nogoods from logic programs via program completion

- Analogously, the (atom-oriented) equivalence

$$a \leftrightarrow v_{B_1} \vee \dots \vee v_{B_k}$$

yields the nogoods

(1)  $\Delta(a) = \{ \{Fa, TB_1\}, \dots, \{Fa, TB_k\} \}$  and

(2)  $\delta(a) = \{Ta, FB_1, \dots, FB_k\}$

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# Nogoods from logic programs via loop formulas

Let  $P$  be a normal logic program and recall that:

- For  $L \subseteq \text{atom}(P)$ , the external supports of  $L$  for  $P$  are

$$ES_P(L) = \{r \in P \mid \text{head}(r) \in L \text{ and } \text{body}(r)^+ \cap L = \emptyset\}$$

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- The (disjunctive) loop formula of  $L$  for  $P$  is

$$\begin{aligned} LF_P(L) &= (\bigvee_{A \in L} A) \rightarrow (\bigvee_{r \in ES_P(L)} \text{body}(r)) \\ &\equiv (\bigwedge_{r \in ES_P(L)} \neg \text{body}(r)) \rightarrow (\bigwedge_{A \in L} \neg A) \end{aligned}$$

- **Note:** The loop formula of  $L$  enforces all atoms in  $L$  to be false whenever  $L$  is not externally supported

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- The external bodies of  $L$  for  $P$  are

$$EB_P(L) = \{\text{body}(r) \mid r \in ES_P(L)\}$$

# Nogoods from logic programs

## loop nogoods

- For a logic program  $P$  and some  $\emptyset \subset U \subseteq \text{atom}(P)$ , define the **loop nogood** of an atom  $a \in U$  as

$$\lambda(a, U) = \{Ta, \mathbf{FB}_1, \dots, \mathbf{FB}_k\}$$

where  $EB_P(U) = \{B_1, \dots, B_k\}$

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- We get the following set of loop nogoods for  $P$ :

$$\Lambda_P = \bigcup_{\emptyset \subset U \subseteq atom(P)} \{\lambda(a, U) \mid a \in U\}$$

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$$\Lambda_P = \bigcup_{\emptyset \subset U \subseteq \text{atom}(P)} \{\lambda(a, U) \mid a \in U\}$$

- The set  $\Lambda_P$  of loop nogoods denies cyclic support among true atoms

# Example

- Consider the program

$$\left\{ \begin{array}{ll} x \leftarrow \sim y & u \leftarrow x \\ y \leftarrow \sim x & u \leftarrow v \\ & v \leftarrow u, y \end{array} \right\}$$

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$$\left\{ \begin{array}{ll} x \leftarrow \sim y & u \leftarrow x \\ y \leftarrow \sim x & u \leftarrow v \\ & v \leftarrow u, y \end{array} \right\}$$

- For  $u$  in the set  $\{u, v\}$ , we obtain the loop nogood:

$$\lambda(u, \{u, v\}) = \{Tu, F\{x\}\}$$

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$$\left\{ \begin{array}{ll} x \leftarrow \sim y & u \leftarrow x \\ y \leftarrow \sim x & u \leftarrow v \\ & v \leftarrow u, y \end{array} \right\}$$

- For  $u$  in the set  $\{u, v\}$ , we obtain the loop nogood:

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Similarly for  $v$  in  $\{u, v\}$ , we get:

$$\lambda(v, \{u, v\}) = \{Tv, F\{x\}\}$$

## Characterization of stable models

### Theorem

Let  $P$  be a logic program. Then,

$X \subseteq \text{atom}(P)$  is a stable model of  $P$  iff

$X = A^T \cap \text{atom}(P)$  for a (unique) solution  $A$  for  $\Delta_P \cup \Lambda_P$

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### Some remarks

- Nogoods in  $\Lambda_P$  augment  $\Delta_P$  with conditions checking for **unfounded sets**, in particular, those being loops
- While  $|\Delta_P|$  is linear in the size of  $P$ ,  $\Lambda_P$  may contain **exponentially many** (non-redundant) loop nogoods

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## Towards conflict-driven search

Boolean constraint solving algorithms pioneered for SAT led to:

- **Traditional DPLL-style approach:**  
**(DPLL stands for ‘Davis-Putnam-Logemann-Loveland’):**
  - (Unit) propagation
  - (Chronological) backtracking
  - in ASP, eg smodels
  
- **Modern CDCL-style approach:**  
**(CDCL stands for ‘Conflict-Driven Constraint Learning’):**
  - (Unit) propagation
  - Conflict analysis (via resolution)
  - Learning + Backjumping + Assertion
  - in ASP, eg clasp

## DPLL-style solving

### loop

```
propagate                                // deterministically assign literals
if no conflict then
    if all variables assigned then return solution
    else decide                            // non-deterministically assign some literal
else
    if top-level conflict then return unsatisfiable
    else
        backtrack                            // unassign literals propagated after last decision
        flip                                // assign complement of last decision literal
```

## CDCL-style solving

### loop

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if no conflict then
    if all variables assigned then return solution
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    if top-level conflict then return unsatisfiable
    else
        analyze                            // analyze conflict and add conflict constraint
        backjump                            // unassign literals until conflict constraint is unit
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## Outline of CDNL-ASP algorithm

- Keep track of deterministic consequences by unit propagation on:
  - Program completion
  - Loop nogoods, determined and recorded on demand
  - Dynamic nogoods, derived from conflicts and unfounded sets

$[\Delta_P]$   
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- When a nogood in  $\Delta_P \cup \nabla$  becomes **violated**:
  - **Analyze** the conflict by resolution  
(until reaching a Unique Implication Point, short: UIP)
  - **Learn** the derived conflict nogood  $\delta$
  - **Backjump** to the earliest (heuristic) choice such that the complement of the UIP is unit-resulting for  $\delta$
  - **Assert** the complement of the UIP and proceed  
(by unit propagation)

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  - Assert the complement of the UIP and proceed  
(by unit propagation)
- Terminate when either:
  - Finding a stable model (a solution for  $\Delta_P \cup \Lambda_P$ )
  - Deriving a conflict independently of (heuristic) choices

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---

## Algorithm 1: CDNL-ASP

---

**Input** : A normal program  $P$

**Output** : A stable model of  $P$  or “no stable model”

$A := \emptyset$

// assignment over  $atom(P) \cup body(P)$

$\nabla := \emptyset$

// set of recorded nogoods

$dl := 0$

// decision level

**loop**

$(A, \nabla) := \text{NogoodPropagation}(P, \nabla, A)$

**if**  $\varepsilon \subseteq A$  **for some**  $\varepsilon \in \Delta_P \cup \nabla$  **then**

// conflict

**if**  $\max(\{dlevel(\sigma) \mid \sigma \in \varepsilon\} \cup \{0\}) = 0$  **then return** no stable model

$(\delta, dl) := \text{ConflictAnalysis}(\varepsilon, P, \nabla, A)$

$\nabla := \nabla \cup \{\delta\}$

// (temporarily) record conflict nogood

$A := A \setminus \{\sigma \in A \mid dl < dlevel(\sigma)\}$

// backjumping

**else if**  $A^T \cup A^F = atom(P) \cup body(P)$  **then**

// stable model

**return**  $A^T \cap atom(P)$

**else**

$\sigma_d := \text{Select}(P, \nabla, A)$

// decision

$dl := dl + 1$

$dlevel(\sigma_d) := dl$

$A := A \circ \sigma_d$

---

# Explanations

- Decision level  $dl$ , initially set to 0, is used to count the number of heuristically chosen literals in assignment  $A$
- For a heuristically chosen literal  $\sigma_d = Ta$  or  $\sigma_d = Fa$ , respectively, we require  $a \in (atom(P) \cup body(P)) \setminus (A^T \cup A^F)$
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- A conflict is detected from violation of a nogood  $\varepsilon \subseteq \Delta_P \cup \nabla$
- A conflict at decision level 0 (where  $A$  contains no heuristically chosen literals) indicates non-existence of stable models
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- A nogood  $\delta$  derived by conflict analysis is **asserting**, that is, some literal is unit-resulting for  $\delta$  at a decision level  $k < dl$ 
  - After learning  $\delta$  and backjumping to decision level  $k$ , at least one literal is newly derivable by unit propagation
  - No explicit flipping of heuristically chosen literals!

# Example: CDNL-ASP

Consider

$$P = \left\{ \begin{array}{llll} x \leftarrow \sim y & u \leftarrow x, y & v \leftarrow x & w \leftarrow \sim x, \sim y \\ y \leftarrow \sim x & u \leftarrow v & v \leftarrow u, y & \end{array} \right\}$$

$dl$	$\sigma_d$	$\bar{\sigma}$	$\delta$

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1	$Tu$		
2	$F\{\sim x, \sim y\}$		

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$dl$	$\sigma_d$	$\bar{\sigma}$	$\delta$
1	$Tu$		
2	$F\{\sim x, \sim y\}$	$Fw$	$\{Tw, F\{\sim x, \sim y\}\} = \delta(w)$

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$dl$	$\sigma_d$	$\bar{\sigma}$	$\delta$
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- 1 Motivation
- 2 Boolean constraints
- 3 Nogoods from logic programs
  - Nogoods from program completion
  - Nogoods from loop formulas
- 4 Conflict-driven nogood learning
  - CDNL-ASP Algorithm
  - **Nogood Propagation**
  - Conflict Analysis

# Outline of NogoodPropagation

- Derive deterministic consequences via:
  - Unit propagation on  $\Delta_P$  and  $\nabla$ ;
  - Unfounded sets  $U \subseteq \text{atom}(P)$
- Note that  $U$  is **unfounded** if  $EB_P(U) \subseteq A^F$ 
  - **Note:** For any  $a \in U$ , we have  $(\lambda(a, U) \setminus \{Ta\}) \subseteq A$

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  - **Note:** Tight programs do not yield “interesting” unfounded sets !
- Given an unfounded set  $U$  and some  $a \in U$ , adding  $\lambda(a, U)$  to  $\nabla$  triggers a conflict or further derivations by unit propagation
  - **Note:** Add loop nogoods atom by atom to eventually falsify all  $a \in U$

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## Algorithm 2: NogoodPropagation

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**Input** : A normal program  $P$ , a set  $\nabla$  of nogoods, and an assignment  $A$ .

**Output** : An extended assignment and set of nogoods.

$U := \emptyset$  // unfounded set

**loop**

**repeat**

**if**  $\delta \subseteq A$  **for some**  $\delta \in \Delta_P \cup \nabla$  **then return**  $(A, \nabla)$  // conflict

$\Sigma := \{\delta \in \Delta_P \cup \nabla \mid \delta \setminus A = \{\bar{\sigma}\}, \sigma \notin A\}$  // unit-resulting nogoods

**if**  $\Sigma \neq \emptyset$  **then let**  $\bar{\sigma} \in \delta \setminus A$  **for some**  $\delta \in \Sigma$  **in**

$dlevel(\sigma) := \max(\{dlevel(\rho) \mid \rho \in \delta \setminus \{\bar{\sigma}\}\} \cup \{0\})$

$A := A \circ \sigma$

**until**  $\Sigma = \emptyset$

**if**  $loop(P) = \emptyset$  **then return**  $(A, \nabla)$

$U := U \setminus A^F$

**if**  $U = \emptyset$  **then**  $U := \text{UnfoundedSet}(P, A)$

**if**  $U = \emptyset$  **then return**  $(A, \nabla)$  // no unfounded set  $\emptyset \subset U \subseteq \text{atom}(P) \setminus A^F$

**let**  $a \in U$  **in**

$\nabla := \nabla \cup \{\{Ta\} \cup \{FB \mid B \in EB_P(U)\}\}$  // record loop nogood

---

## Requirements for UnfoundedSet

- Implementations of UnfoundedSet must guarantee the following for a result  $U$ 
  - (1)  $U \subseteq (atom(P) \setminus A^F)$
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  - (3)  $U = \emptyset$  iff there is no nonempty unfounded subset of  $(\text{atom}(P) \setminus A^F)$
- Beyond that, there are various alternatives, such as:
  - Calculating the greatest unfounded set
  - Calculating unfounded sets within strongly connected components of the positive atom dependency graph of  $P$
  - Usually, the latter option is implemented in ASP solvers

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## Outline of ConflictAnalysis

- Conflict analysis is triggered whenever some nogood  $\delta \in \Delta_P \cup \nabla$  becomes violated, viz.  $\delta \subseteq A$ , at a decision level  $dl > 0$ 
  - Note that all but the first literal assigned at  $dl$  have been unit-resulting for nogoods  $\varepsilon \in \Delta_P \cup \nabla$
  - If  $\sigma \in \delta$  has been unit-resulting for  $\varepsilon$ , we obtain a new violated nogood by resolving  $\delta$  and  $\varepsilon$  as follows:

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  - Iterated resolution progresses in inverse order of assignment
- Iterated resolution stops as soon as it generates a nogood  $\delta$  containing exactly one literal  $\sigma$  assigned at decision level  $dl$ 
  - This literal  $\sigma$  is called **First Unique Implication Point (First-UIP)**
  - All literals in  $(\delta \setminus \{\sigma\})$  are assigned at decision levels smaller than  $dl$

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### Algorithm 3: ConflictAnalysis

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**Input** : A non-empty violated nogood  $\delta$ , a normal program  $P$ , a set  $\nabla$  of nogoods, and an assignment  $A$ .

**Output** : A derived nogood and a decision level.

**loop**

**let**  $\sigma \in \delta$  **such that**  $\delta \setminus A[\sigma] = \{\sigma\}$  **in**

$k := \max(\{dlevel(\rho) \mid \rho \in \delta \setminus \{\sigma\}\} \cup \{0\})$

**if**  $k = dlevel(\sigma)$  **then**

**let**  $\varepsilon \in \Delta_P \cup \nabla$  **such that**  $\varepsilon \setminus A[\sigma] = \{\bar{\sigma}\}$  **in**

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// resolution

**else return**  $(\delta, k)$

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3	$F\{\sim y\}$	$Fx$ $F\{x\}$ $F\{x, y\}$ $T\{\sim x\}$ $Ty$ $T\{v\}$ $T\{u, y\}$ $Tv$	$\{Tx, F\{\sim y\}\} = \delta(x)$ $\{T\{x\}, Fx\} \in \Delta(\{x\})$ $\{T\{x, y\}, Fx\} \in \Delta(\{x, y\})$ $\{F\{\sim x\}, Fx\} = \delta(\{\sim x\})$ $\{F\{\sim y\}, Fy\} = \delta(\{\sim y\})$ $\{Tu, F\{x, y\}, F\{v\}\} = \delta(u)$ $\{F\{u, y\}, Tu, Ty\} = \delta(\{u, y\})$ $\{Fv, T\{u, y\}\} \in \Delta(v)$ $\{Tu, F\{x\}, F\{x, y\}\} = \lambda(u, \{u, v\})$

$\{Tu, Fx\}$   
 $\{Tu, Fx, F\{x\}\}$

**x**

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- Asserting nogoods direct conflict-driven search into a different region of the search space than traversed before,  
without explicitly flipping any heuristically chosen literal !