

Cognitive Principles and Reasoning Clusters in Multinomial Process Tree: A Case Study for Human Syllogistic Reasoning

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Advantages:

- ▶ Intuitive way of representation
- ▶ High level description
- ▶ Takes guessing into account
- ▶ Not only observation of answers
- ▶ Quantitative mathematical metrics

Multinomial Process Tree

Multinomial Process Tree (MPT):

- ▶ Directed acyclic graph
- ▶ Finite set of response categories as leaves
- ▶ Finite set of cognitive processes as inner nodes
- ▶ Edges with parameter corresponding to probabilities

In our case, the finite set of response categories are the 9 possible conclusions (Aac, Eac, lac, ...)

The finite set of cognitive processes are groups of one or more cognitive principles

Multinomial Process Tree

A Multinomial Process Tree is composed of two parts:

- ▶ **Reasoning part:** sub-tree whose nodes are results of a reasoning process of an individual
- ▶ **Guessing part:** sub-tree whose nodes are not cognitive processes. The set of leaves correspond to the set of conclusions, which are made by guess

Criteria for an Evaluation

- ▶ Goodness of Fit
- ▶ Akaike Information Criterion
- ▶ Bayesian Information Criterion
- ▶ Root Mean Square Error
- ▶ Fisher Information Approximation

Representation of the Mental Model Theory

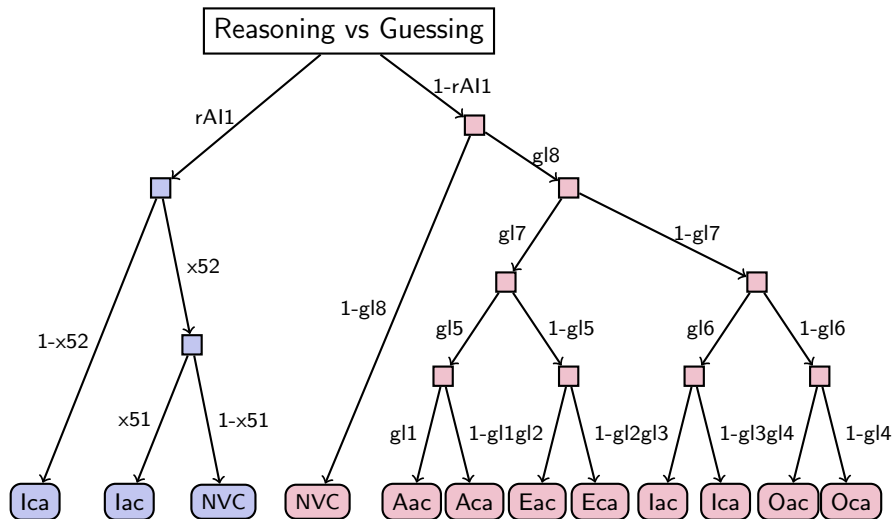


Figure: MPT for the syllogism AI1

Representation of the Mental Model Theory

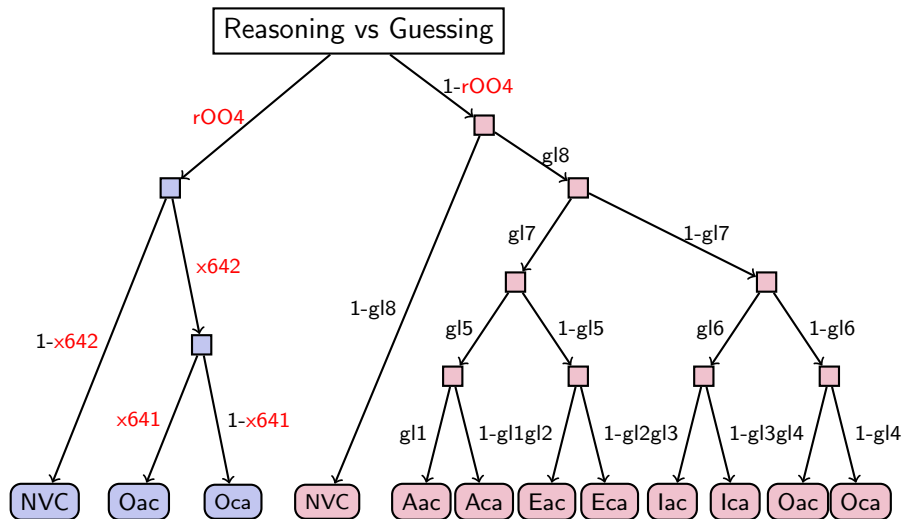


Figure: MPT for the syllogism OO4

Cognitive Principles

Basic principles are assumed to be used by all reasoners

- ▶ Quantified statements as conditionals
- ▶ Licenses for inferences
- ▶ Existential import
- ▶ Unknown generalization

Advanced principles are not necessarily applied by all reasoners

- ▶ Search for alternative conclusions (abduction)
- ▶ Contraposition
- ▶ Deliberate Generalization

Representation with the same Multinomial Process Tree

Figure: General MPT

Representation with the same Multinomial Process Tree

Reasoning vs Guessing

Figure: General MPT

Representation with the same Multinomial Process Tree

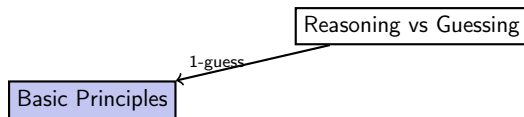


Figure: General MPT

Representation with the same Multinomial Process Tree

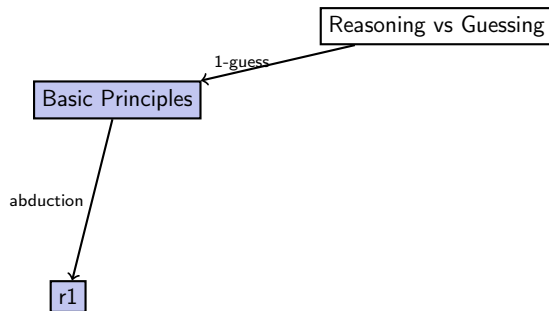


Figure: General MPT

Representation with the same Multinomial Process Tree

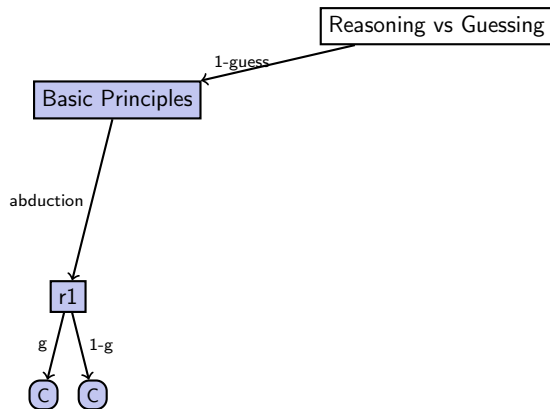


Figure: General MPT

Representation with the same Multinomial Process Tree

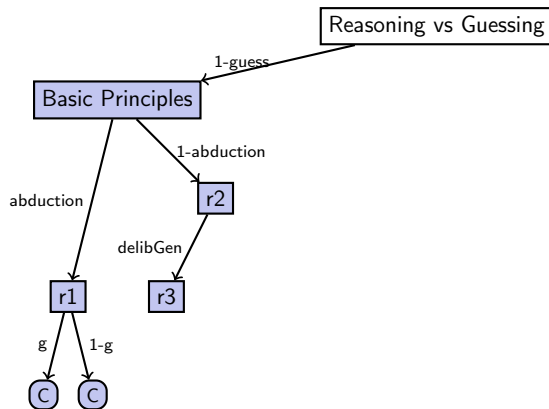


Figure: General MPT

Representation with the same Multinomial Process Tree

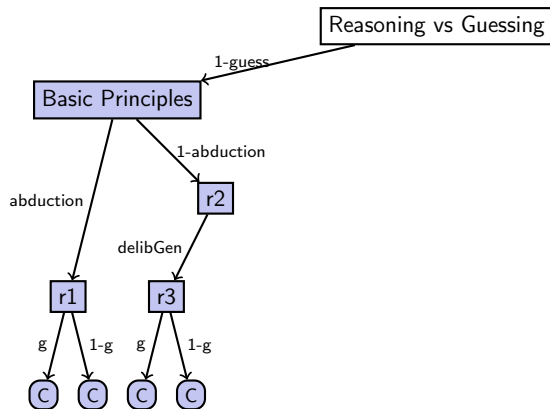


Figure: General MPT

Representation with the same Multinomial Process Tree

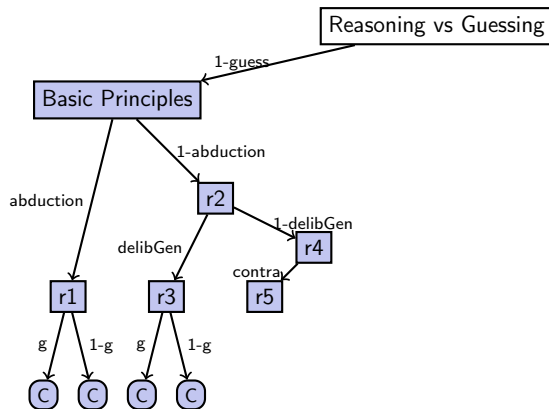


Figure: General MPT

Representation with the same Multinomial Process Tree

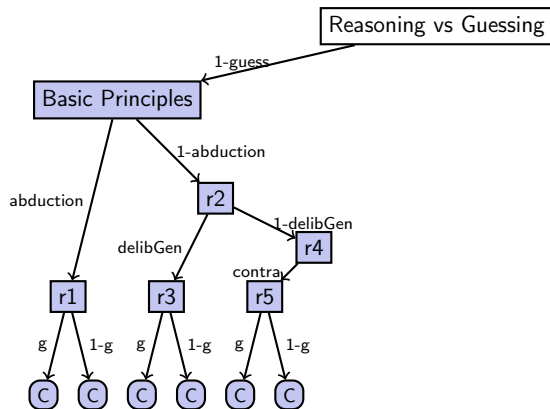


Figure: General MPT

Representation with the same Multinomial Process Tree

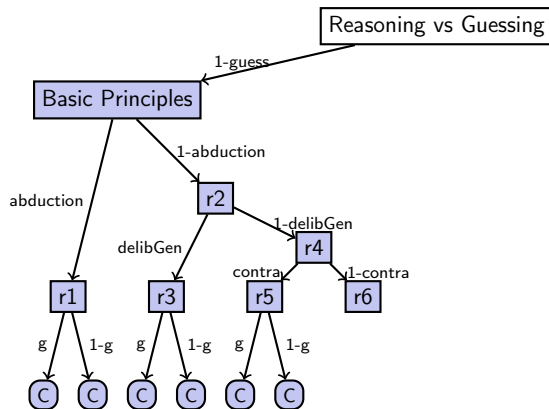


Figure: General MPT

Representation with the same Multinomial Process Tree

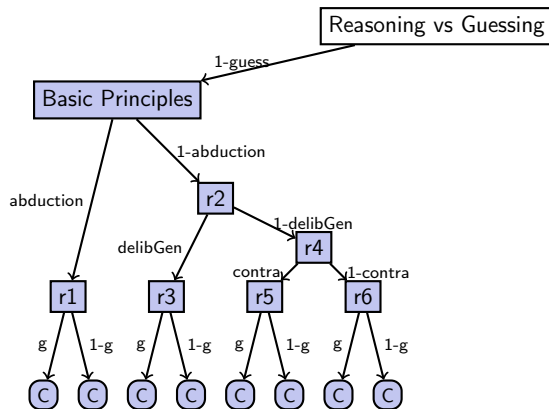


Figure: General MPT

Representation with the same Multinomial Process Tree

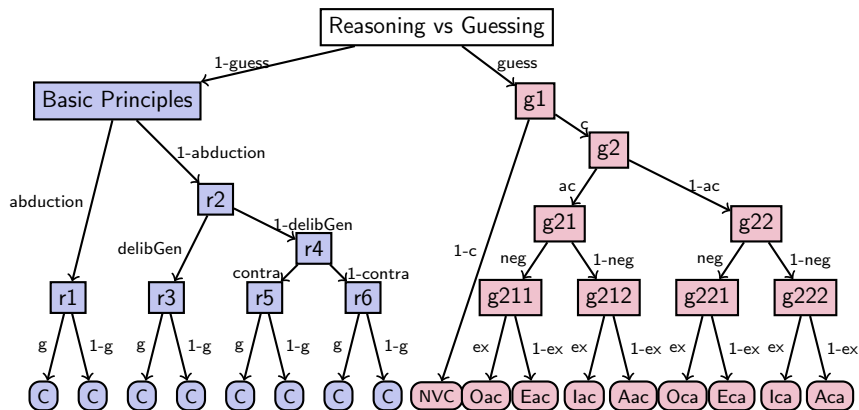


Figure: General MPT

Representation with the same Multinomial Process Tree

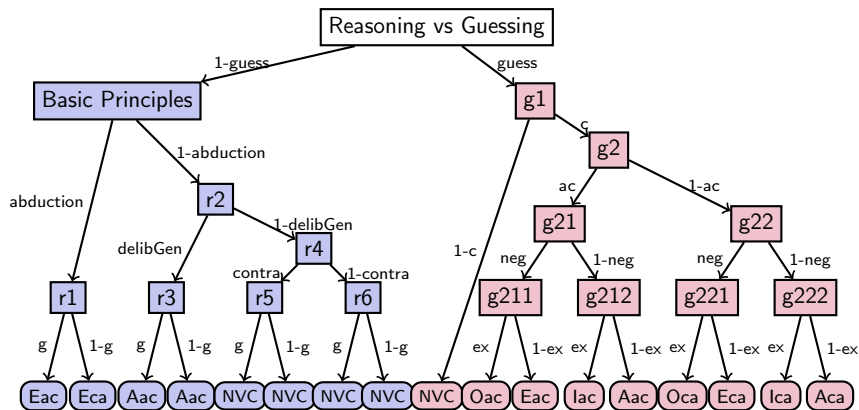


Figure: MPT for the syllogism AI1 with setup 1

Filtering approach

Filtering approach: filters out conclusions of the original guessing tree that are unlikely according to some heuristic strategies

Setup 2: Matching Strategy

Order defined on the moods, from the most to the least conservative quantifier:

$$E > O = I > A$$

In the guessing part of each MPT:

- ▶ branches that lead to a conclusion with a mood less conservative than the higher conservative mood of the premise get very low probabilities

Setup 2: Matching Strategy

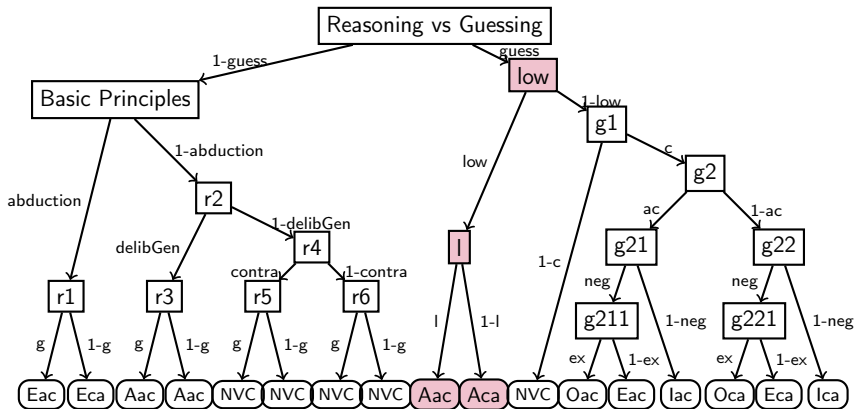


Figure: MPT for the syllogism A11 with setup 2

Setup 3: Biased Conclusions in Figure 1

For syllogistic premises of figure 1:

- ▶ Only branches leading to the conclusion Xac is given a high probability
- ▶ $X \in \{A, I, O, E\}$: the most conservative mood from the pair of premises under the matching strategy
- ▶ All other branches get a low probability
- ▶ No change for other figures

Setup 3: Biased Conclusions in Figure 1

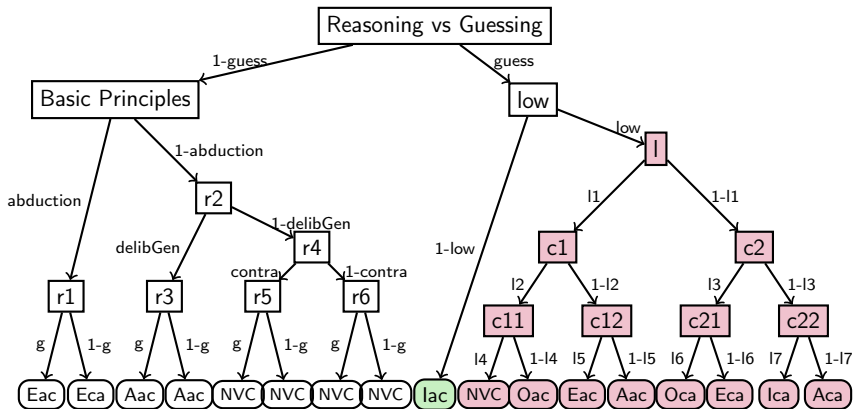


Figure: MPT for the syllogism A11 with setup 3

Setup 4: Combination of Filters

- ▶ For syllogistic premises of figure 1 (order a-b b-c): *biased conclusions in figure 1* is applied
- ▶ For other syllogistic premises: *matching strategy* is applied

Related Work

- ▶ Heuristic Theories of Syllogistic Reasoning
 - ▶ Atmosphere theory
 - ▶ Matching theory
 - ▶ Illicit Conversion
 - ▶ Probability heuristics (PHM)
- ▶ Theories Based on Formal Rules
 - ▶ PSYCOP model
- ▶ Model Based Theories
 - ▶ Verbal models theory
 - ▶ Mental model theory

Overview of Predictions

| Syllogistic Premises | AI1 | AE1 | IE3 |
|----------------------|--|--|---|
| Valid Conclusions | NVC lae lea | Eac Eca Oac Oca | Oac Eca NVC |
| Participants | lac (35%) lca (35%) NVC (15%) | Eac (50%) Eca (40%) | Oac (35%) Eca (30%) NVC (20%) |
| Clustering WCS | lac (70%) NVC (19%) lea | lac (31%) Eac (69%) Eca | Oac (28%) lca (15%) NVC (18%) Eca |
| Atmosphere | lac (35%) lca (35%) NVC (16%) | Eac (50%) Eca (40%) | Oac (35%) NVC (29%) Eca |
| Conversion | lac (35%) lca (35%) NVC | Eac (50%) Eca (40%) | Oac (35%) Oca (14%) Eca NVC |
| PSYCOP | Oac (16%) Oca (17%) NVC (19%) lae lea | Eac (50%) Eca (40%) | Oac (35%) NVC (14%) Eca |
| Matching | lac (35%) lca (35%) NVC (16%) | Eac (50%) Eca (40%) | Eca (30%) NVC (29%) Oac |
| Mental Model Theory | lac (35%) lca (34%) NVC (15%) | Eac (50%) Eca (40%) | Oac (35%) Eca (30%) NVC (20%) |
| Mental Model Theory | lac (35%) lea NVC | Eac (50%) Eca | Oac (35%) Eca (30%) NVC |
| PHM | lac (35%) NVC (27%) lea | Eac (50%) Eca NVC (20%) | Eca (30%) NVC (29%) Oac |
| Verbal | lac (35%) lea NVC | Eac (50%) Eca | Oac (35%) NVC (20%) Eca |

Comparison with Data of Ragni et al. (2016)

| | Model | k | G^2 | AIC | BIC | RMSE | FIA |
|-----------------|---------|-----|-------|-------|--------|------|-----|
| Mental Model | MMT | 235 | 50.45 | 506 | 1014 | 0.12 | 235 |
| Same parameters | Setup 1 | 13 | 62.45 | 88.45 | 116.52 | 0.13 | 13 |
| | Setup 2 | 15 | 63.58 | 93.58 | 125.96 | 0.15 | 15 |
| | Setup 3 | 14 | 59.21 | 87.21 | 117.43 | 0.13 | 14 |
| | Setup 4 | 15 | 67.75 | 98.75 | 130.13 | 0.15 | 15 |

Comparison with Data of Khemlani & Johnson-Laird (2012)

| | Model | k | G^2 | AIC | BIC | RMSE | FIA |
|-----------------|---------|-----|-------|--------|--------|------|-----|
| Mental Model | MMT | 235 | 4.85 | 474.85 | 968.81 | 0.02 | 235 |
| Same parameters | Setup 1 | 13 | 73.41 | 99.41 | 126.73 | 0.14 | 13 |
| | Setup 2 | 15 | 56.99 | 86.99 | 118.52 | 0.12 | 15 |
| | Setup 3 | 14 | 61.27 | 89.27 | 118.68 | 0.12 | 14 |
| | Setup 4 | 15 | 53.99 | 83.99 | 115.52 | 0.11 | 15 |

Comparison with other Approaches

| Model | k | G^2 | AIC | BIC | RMSE | FIA |
|----------------|-----|-------|--------|--------|------|-----|
| MMT1 | 235 | 50.45 | 506 | 1014 | 0.12 | 235 |
| Clustering WCS | 13 | 62.45 | 88.45 | 116.52 | 0.13 | 13 |
| Atmosphere | 136 | 27.00 | 299.00 | 592.61 | 0.16 | 136 |
| Matching | 200 | 23.38 | 423.38 | 855.16 | 0.17 | NA |
| Conversion | 92 | 42.06 | 226.06 | 424.68 | 0.15 | 92 |
| PHM | 168 | 33.63 | 369.63 | 732.32 | 0.16 | NA |
| PSYCOP | 131 | 38.91 | 300.91 | 583.72 | 0.15 | NA |
| Verbal Model | 128 | 35.07 | 291.07 | 567.41 | 0.15 | 128 |
| MMT2 | 235 | 14.95 | 484.95 | 992.29 | 0.17 | 232 |

Conclusion

Open questions:

- ▶ Reconsideration of the 4 clusters
- ▶ Emphasis placed:
 - ▶ not in fitting evaluation criteria
 - ▶ in a new way of representation

Thank you for your attention

Preliminaries - Syllogistic Reasoning

| Mood | First-order logic | Short |
|-------------------------|---|-------|
| affirmative universal | $\forall X(a(X) \rightarrow b(X))$ | Aab |
| affirmative existential | $\exists X(a(X) \wedge b(X))$ | Iab |
| negative universal | $\forall X(a(X) \rightarrow \neg b(X))$ | Eab |
| negative existential | $\exists X(a(X) \wedge \neg b(X))$ | Oab |

Figure: The moods and their formalization.

| | 1st Premise | 2nd Premise |
|--------|-------------|-------------|
| Fig. 1 | a-b | b-c |
| Fig. 2 | b-a | c-b |
| Fig. 3 | a-b | c-b |
| Fig. 4 | b-a | b-c |

Figure: The four figures.

Preliminaries - Syllogistic Reasoning Task

Some artists are bakers.

No chemists are bakers.

(IE3)

Preliminaries - Syllogistic Reasoning Task

Some artists are bakers.

No chemists are bakers.

(IE3)

64 distinct pairs of premises for the syllogistic reasoning task:

- ▶ 4 possible moods for the first premise
- ▶ 4 possible moods for the second premise
- ▶ 4 figures

Preliminaries - Syllogistic Reasoning Task

Some artists are bakers.

No chemists are bakers.

(IE3)

64 distinct pairs of premises for the syllogistic reasoning task:

- ▶ 4 possible moods for the first premise
- ▶ 4 possible moods for the second premise
- ▶ 4 figures

9 possible answers with respect to artists and chemists:

All artists are chemists.

Some artists are chemists.

No artists are chemists.

Some artists are not chemists.

No Valid Conclusion

All chemists are artists.

Some chemists are artists.

No chemists are artists.

Some chemists are not artists.

Possible Variation of Parameters

Two versions for each strategy:

- ▶ Same parameters in the reasoning part of each tree
- ▶ Different parameters in the reasoning part of each tree

Related Work

- ▶ Heuristic Theories of Syllogistic Reasoning
 - ▶ Atmosphere theory
 - ▶ **Matching theory**
 - ▶ Illicit Conversion
 - ▶ Probability heuristics
- ▶ Theories Based on Formal Rules
 - ▶ **PSYCOP model**
 - ▶ Verbal substitution
 - ▶ Source founding theory
 - ▶ Monotonicity theory
- ▶ Model Based Theories
 - ▶ Euler circles
 - ▶ **Venn diagrams**
 - ▶ Verbal models theory
 - ▶ Mental model theory

Comparison with Data of Ragni et al. (2016)

| | Model | k | G^2 | AIC | BIC | RMSE | FIA |
|----------------------|---------|-----|-------|--------|---------|------|-----|
| Mental Model | MMT | 235 | 50.45 | 506 | 1014 | 0.12 | 235 |
| Same parameters | Setup 1 | 13 | 62.45 | 88.45 | 116.52 | 0.13 | 13 |
| | Setup 2 | 15 | 63.58 | 93.58 | 125.96 | 0.15 | 15 |
| | Setup 3 | 14 | 59.21 | 87.21 | 117.43 | 0.13 | 14 |
| | Setup 4 | 15 | 67.75 | 98.75 | 130.13 | 0.15 | 15 |
| Different parameters | Setup 1 | 265 | 48.78 | 578.78 | 1150.88 | 0.12 | NA |
| | Setup 2 | 267 | 49.25 | 588.25 | 1159.68 | 0.16 | NA |
| | Setup 3 | 266 | 46.78 | 578.78 | 1153.04 | 0.13 | NA |
| | Setup 4 | 267 | 53.29 | 587.29 | 1163.71 | 0.16 | NA |

Comparison with Data of Khemlani & Johnson-Laird (2012)

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| | Setup 3 | 14 | 61.27 | 89.27 | 118.68 | 0.12 | 14 |
| | Setup 4 | 15 | 53.99 | 83.99 | 115.52 | 0.11 | 15 |
| Different parameters | Setup 1 | 265 | 53.34 | 583.34 | 1140.36 | 0.11 | NA |
| | Setup 2 | 267 | 37.71 | 571.71 | 1132.94 | 0.09 | NA |
| | Setup 3 | 266 | 43.36 | 575.36 | 1134.49 | 0.09 | NA |
| | Setup 4 | 267 | 34.89 | 568.89 | 1130.12 | 0.08 | NA |

Competition - Algorithmic part

| Participants | Method | RMSE |
|---------------------|---------------------------|-------|
| Antonis Kakas (1) | Argumentation | 0.067 |
| Antonis Kakas (2) | Argumentation | 0.074 |
| Sangeet Khemlani | mReasoner (Mental Models) | 0.145 |
| Frieder Stolzenburg | Set Theory | 0.161 |
| Our contribution | Weak Completion Semantics | 0.166 |

Table: Results of the Competition

Goodness of Fit (G^2)

Analyze the distance between predicted and observed responses frequencies

$$G^2 = 2 \sum_{t=1}^T \sum_{j=1}^{J_t} n_{j,t} [\ln(n_{j,t}) - \ln(N_t p_{j,t})] \quad (1)$$

- ▶ $n_{j,t}$: frequency of response category j in tree t
- ▶ $N_t = \sum_{j=1}^{J_t} n_{j,t}$
- ▶ $p_{j,t}$: probability of response category j in tree t

Likelihood Function (L)

Describes the plausibility of a parameter value given certain data

$$L = p(x|\Theta, M) \quad (2)$$

- ▶ x : observed data
- ▶ Θ : parameters
- ▶ M : model

Akaike Information Criterion (AIC)

Compares the quality of each model relative to the given data and takes into account the number of parameters

$$AIC = 2k - 2\ln(L) \quad (3)$$

- ▶ k : number of parameters
- ▶ L : maximum value of the likelihood function

Bayesian Information Criterion (BIC)

Compares the model to the given data and punishes more a high number of parameters

$$BIC = \ln(n)k - 2\ln(L) \quad (4)$$

- ▶ n : number of observations
- ▶ k : number of parameters
- ▶ L : maximum value of the likelihood function

Root Mean Square Error (RMSE)

Measures the differences between values predicted by the model and values observed: square root of the average of squared errors, the effect of each error is proportional to the size of the squared error

$$RMSE = \sqrt{\frac{\sum_{i=1}^k (y'_i - y_i)^2}{k}} \quad (5)$$

- ▶ k : number of parameters
- ▶ y'_i : predicted values
- ▶ y_i : observed values

Fisher Information Approximation (FIA)

Provides a more precise quantification of model flexibility: observes flexibility differences in models that have the same number of parameters

$$FIA = \frac{1}{2}G^2 + \frac{k}{2}\ln\left(\frac{N}{2\pi}\right) + \ln\left(\int \sqrt{\det I(\Theta)}d\Theta\right) \quad (6)$$

- ▶ G^2 : goodness of fit
- ▶ k : number of parameters
- ▶ $N = \sum_{t=1}^T N_t$
- ▶ $N_t = \sum_{j=1}^{J_t} n_{j,t}$
- ▶ $n_{j,t}$: frequency of response category j in tree t
- ▶ $I(\Theta)$: Fisher information matrix